

High-Energy QCD

Saturation and fluctuation effects

Gregory Soyez

SPhT, CEA Saclay



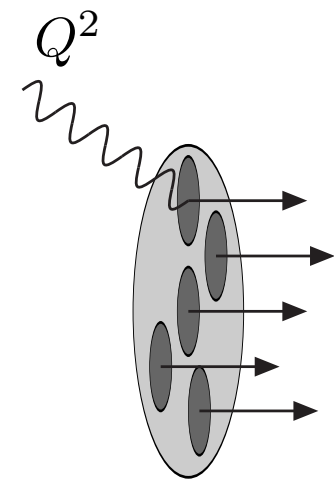
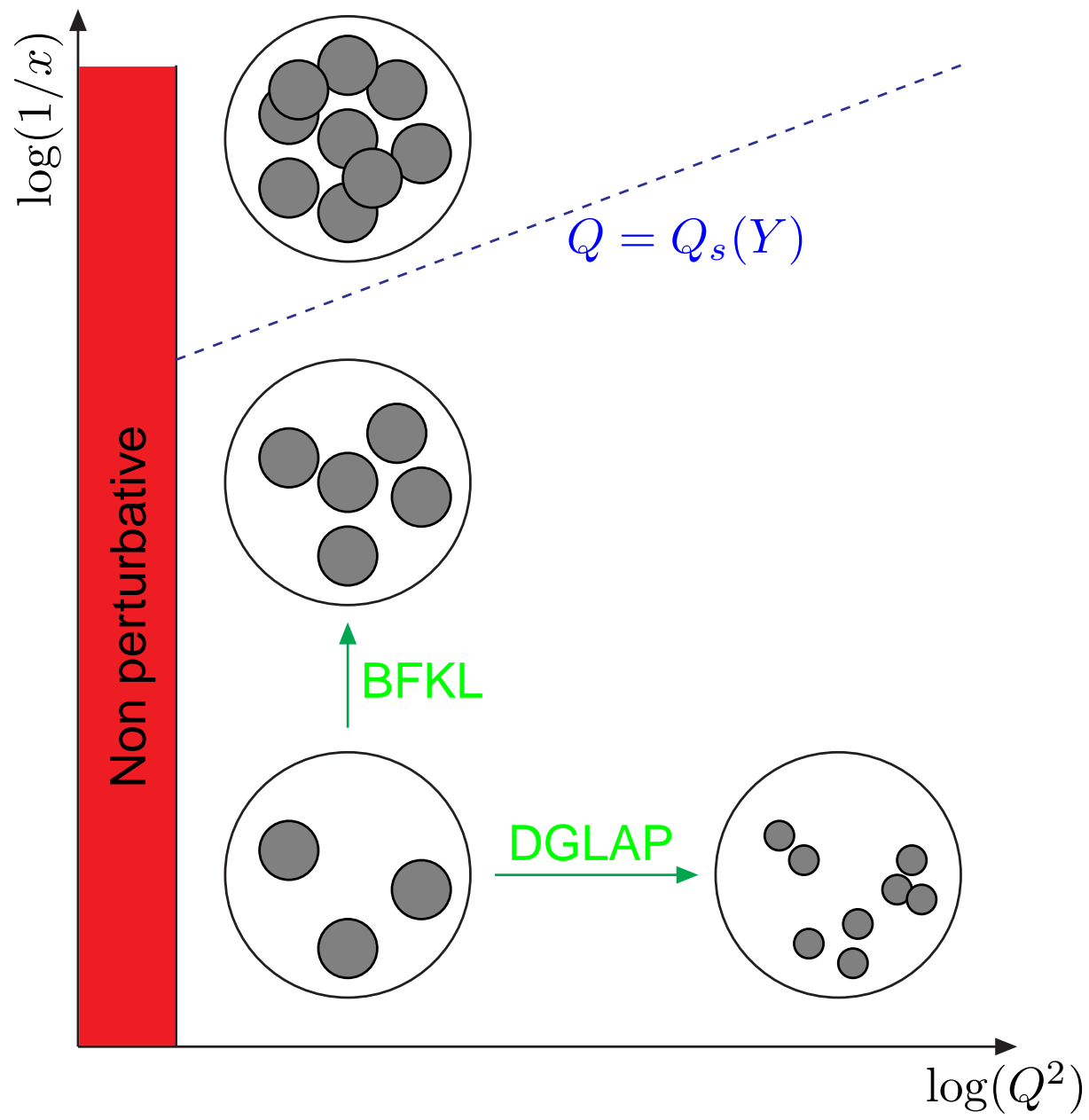
Based on : [G.S.](#), hep-ph/0504129, Phys. Rev. D72:016007,2005

[E. Iancu](#), [G.S.](#), [D. Triantafyllopoulos](#), hep-ph/0510094, Nucl. Phys. A768 (2006) 194

[Y. Hatta](#), [E. Iancu](#), [C. Marquet](#), [G.S.](#), [D. Triantafyllopoulos](#), hep-ph/0601150

[C. Marquet](#), [R. Peschanski](#), [G.S.](#), hep-ph/0512186

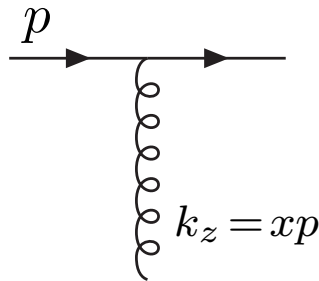
- **Perturbative evolution in high-energy QCD:**
 - Leading log approx.: **Balitsky-Fadin-Kuraev-Lipatov (BFKL) equation**
 - Saturation effects: **Balitsky-Kovchegov (BK) equation**
 - Fluctuation effects: **new evolution as a reaction-diffusion process**
- **Asymptotic solutions:** BK equation
 - Equivalence with statistical physics
 - Asymptotic properties: saturation scale and **geometric scaling**
- **Asymptotic solution:** including fluctuations
 - Stochastic evolution
 - Consequences: **diffusive scaling**
 - **Present physical picture of high-energy QCD**
- **Outlook**



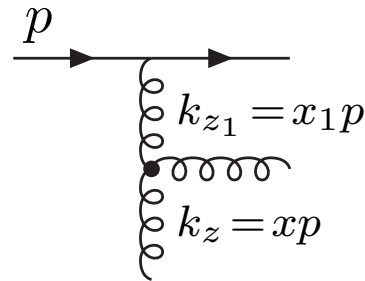
How to obtain
this in QCD ?

Perturbative evolution in high-energy QCD

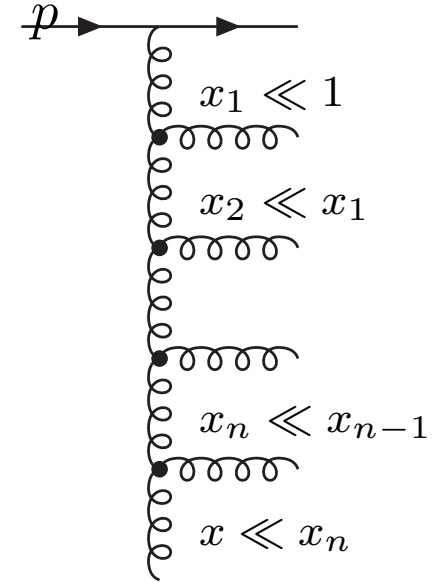
Bremsstrahlung:



$$x \ll 1$$



$$x \ll x_1 \ll 1$$



Probability of emission

$$dP \sim \alpha_s \frac{dk^2}{k^2} \frac{dx}{x}$$

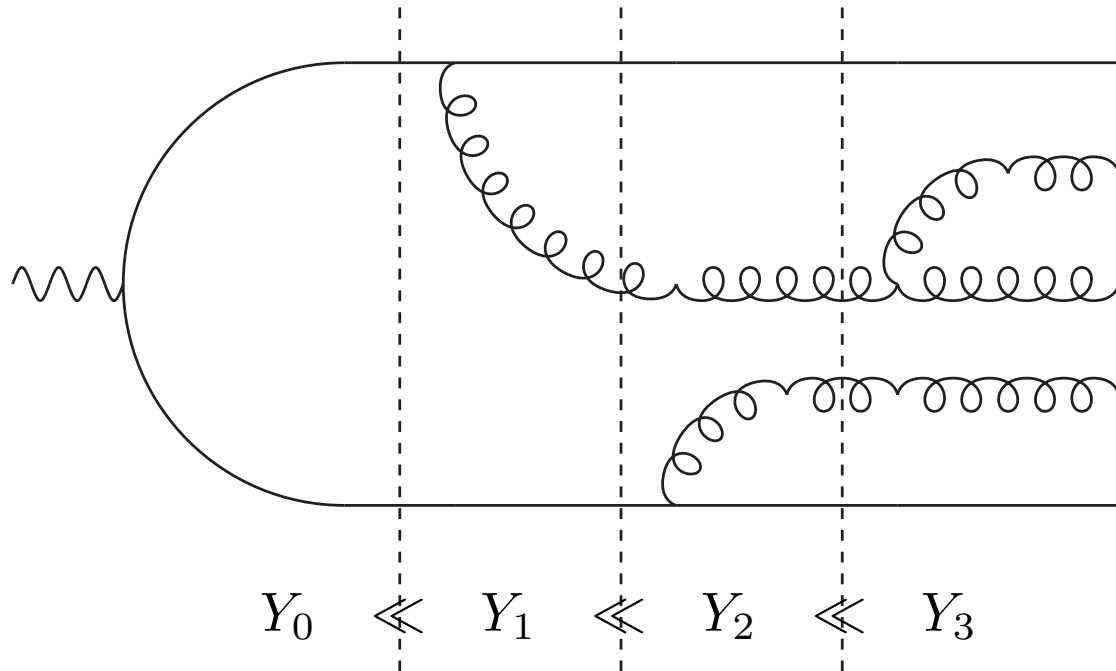
In the small- x limit

$$\int_x^1 \frac{dx_1}{x_1} \sim \alpha_s \log(1/x)$$

n -gluon emission $\longrightarrow \alpha_s^n \log^n(1/x)$

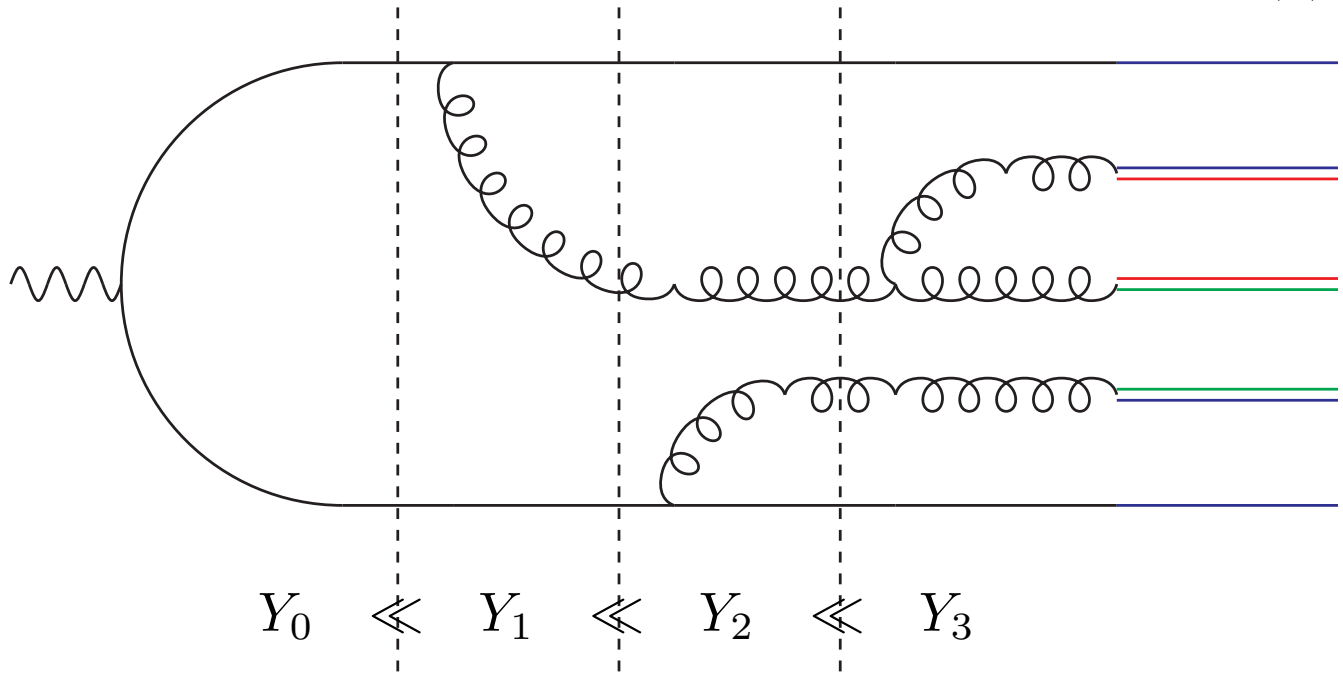
Consider a **fast-moving $q\bar{q}$ dipole** (Rapidity: $Y = \log(s)$)

[Mueller,93]



- Probability $\bar{\alpha}K$ of emission
- Independent emissions in coordinate space (transverse plane)

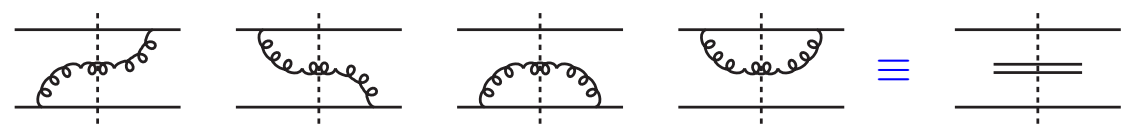
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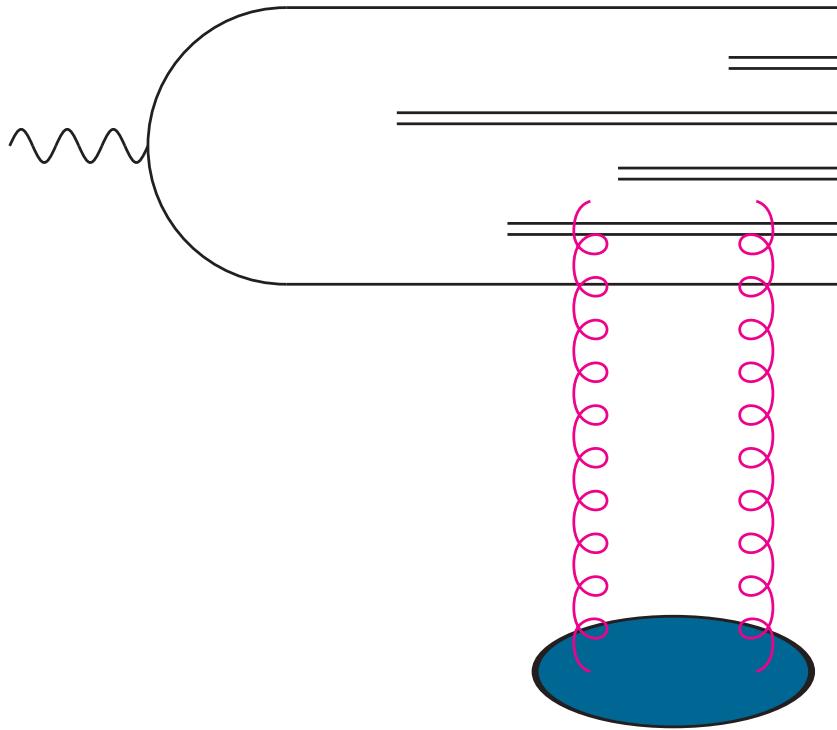
[Mueller,93]

$n(r, Y)$ dipoles
of size r

- Probability $\bar{\alpha}K$ of emission
- Independent emissions in coordinate space (transverse plane)
- Large- N_c approximation



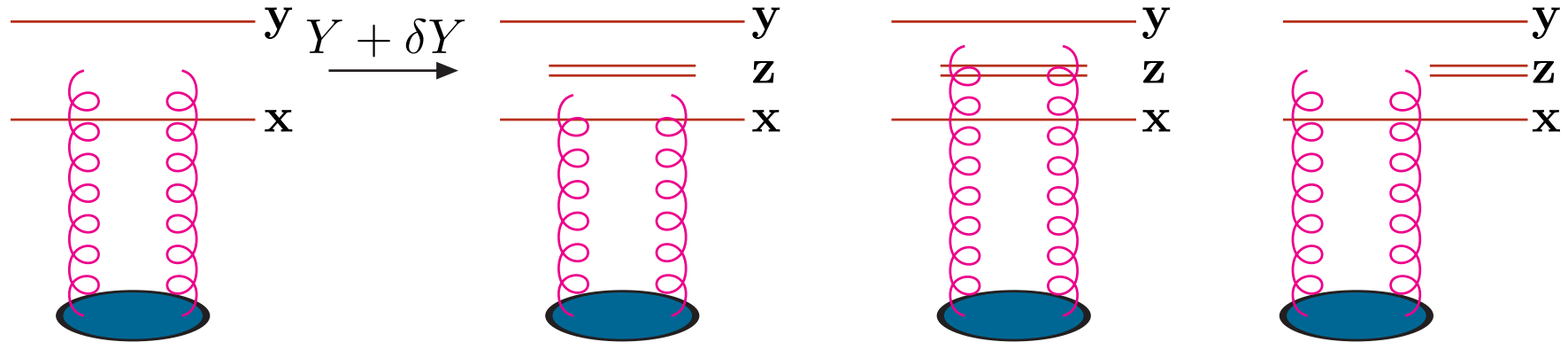
How to observe this system ?



$$T(r, Y) \approx \alpha_s^2 n(r, Y)$$

Count the number of dipoles of a given size

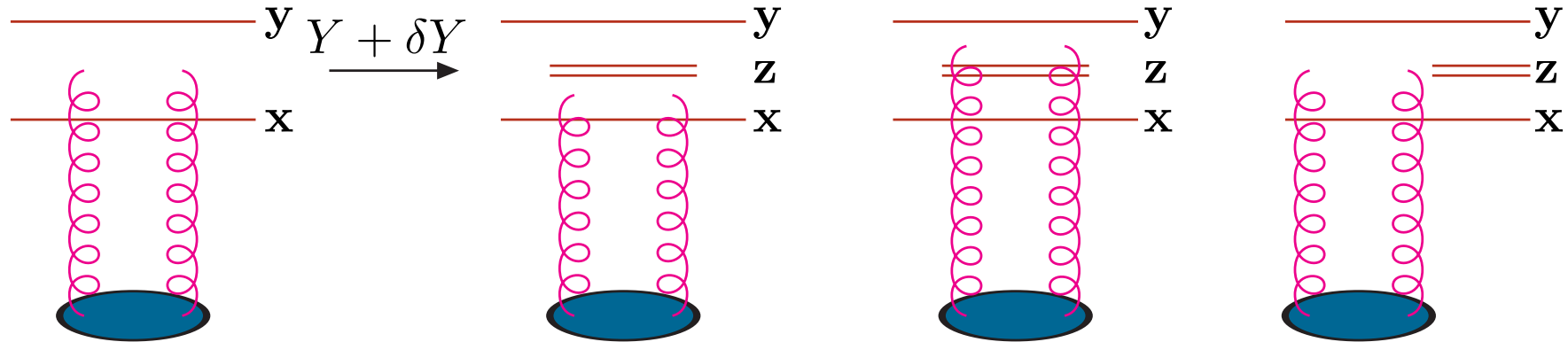
Consider a small increase in rapidity



$$\partial_Y T(\mathbf{x}, \mathbf{y}; Y)$$

$$T(\mathbf{x}, \mathbf{z}; Y) + T(\mathbf{z}, \mathbf{y}; Y) - T(\mathbf{x}, \mathbf{y}; Y)$$

Consider a small increase in rapidity \Rightarrow **splitting**



$$\begin{aligned} & \partial_Y T(\mathbf{x}, \mathbf{y}; Y) \\ &= \bar{\alpha} \int d^2 z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{z} - \mathbf{y})^2} [T(\mathbf{x}, \mathbf{z}; Y) + T(\mathbf{z}, \mathbf{y}; Y) - T(\mathbf{x}, \mathbf{y}; Y)] \end{aligned}$$

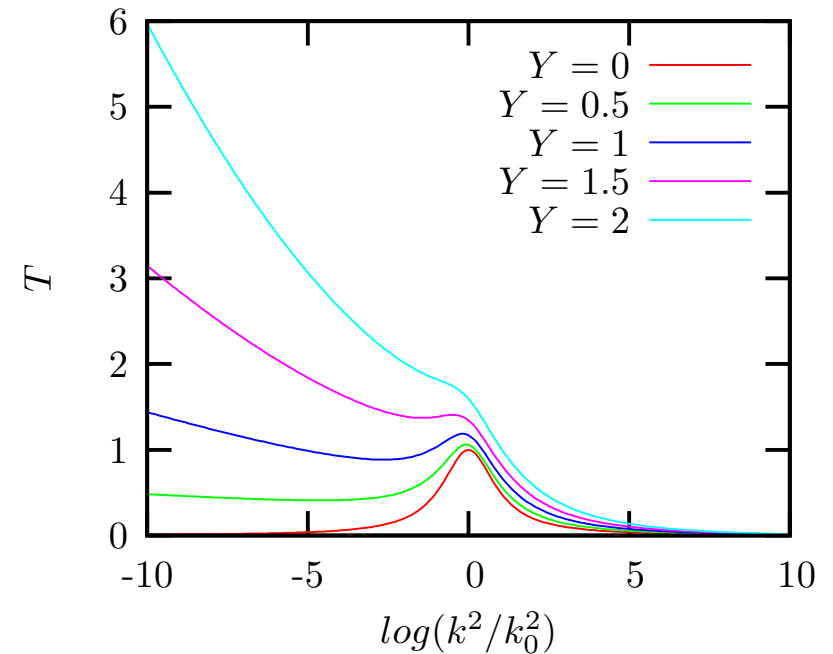
[Balitsky, Fadin, Kuraev, Lipatov, 78]

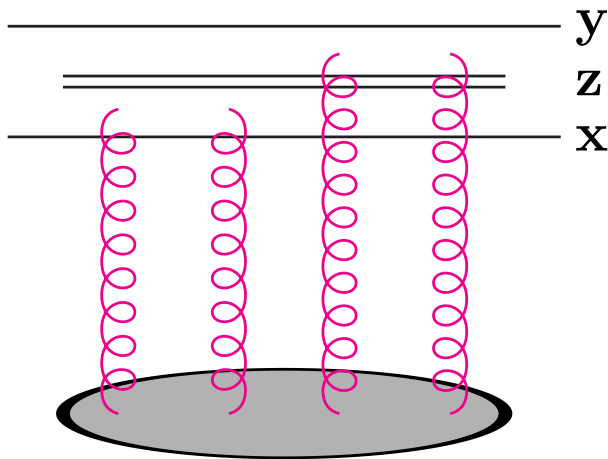
The solution goes like

$$T(Y) \sim e^{\omega Y} \quad \text{with} \quad \omega = 4\bar{\alpha} \log(2) \approx 0.5$$

- Fast growth of the amplitude
- Intercept value too large
- Violation of the Froissart bound:
 $T(Y) \leq C \log^2(s) \quad T(r, b) \leq 1$

+ problem of diffusion in the infrared





Multiple scattering

- ★ Proportional to T^2
- ★ important when $T \approx 1$

$$\begin{aligned} & \partial_Y \langle T(\mathbf{x}, \mathbf{y}; Y) \rangle \\ &= \bar{\alpha} \int d^2 z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{z} - \mathbf{y})^2} [\langle T(\mathbf{x}, \mathbf{z}; Y) \rangle + \langle T(\mathbf{z}, \mathbf{y}; Y) \rangle - \langle T(\mathbf{x}, \mathbf{y}; Y) \rangle \\ & \quad - \langle T(\mathbf{x}, \mathbf{z}; Y) T(\mathbf{z}, \mathbf{y}; Y) \rangle] \end{aligned}$$

contains

$$\partial \langle T(\mathbf{x}, \mathbf{y}; Y) \rangle \longrightarrow \langle T(\mathbf{x}, \mathbf{z}; Y) T(\mathbf{z}, \mathbf{y}; Y) \rangle$$

In general: complete hierarchy

[Balitsky, 96]

$$\partial_Y \langle T^k \rangle \longrightarrow \underbrace{\langle T^k \rangle}_{\text{BFKL}}, \underbrace{\langle T^{k+1} \rangle}_{\text{saturation}}$$

Mean field approx.: $\langle T_{\mathbf{xz}} T_{\mathbf{zy}} \rangle = \langle T_{\mathbf{xz}} \rangle \langle T_{\mathbf{zy}} \rangle$

$$\partial_Y \langle T_{\mathbf{xy}} \rangle = \frac{\bar{\alpha}}{2\pi} \int d^2 z \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{z} - \mathbf{y})^2} [\langle T_{\mathbf{xz}} \rangle + \langle T_{\mathbf{zy}} \rangle - \langle T_{\mathbf{xy}} \rangle - \langle T_{\mathbf{xz}} \rangle \langle T_{\mathbf{zy}} \rangle]$$

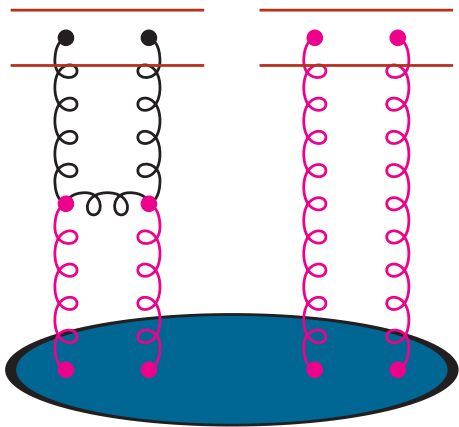
[Balitsky 96, Kovchegov 99]

Simplest perturbative evolution equation satisfying unitarity constraint

Consider evolution of $\langle T^{(2)} \rangle$

[E. Iancu, D. Triantafyllopoulos, 05]

Also A. Mueller, S. Munier, A. Shoshi, S. Wong



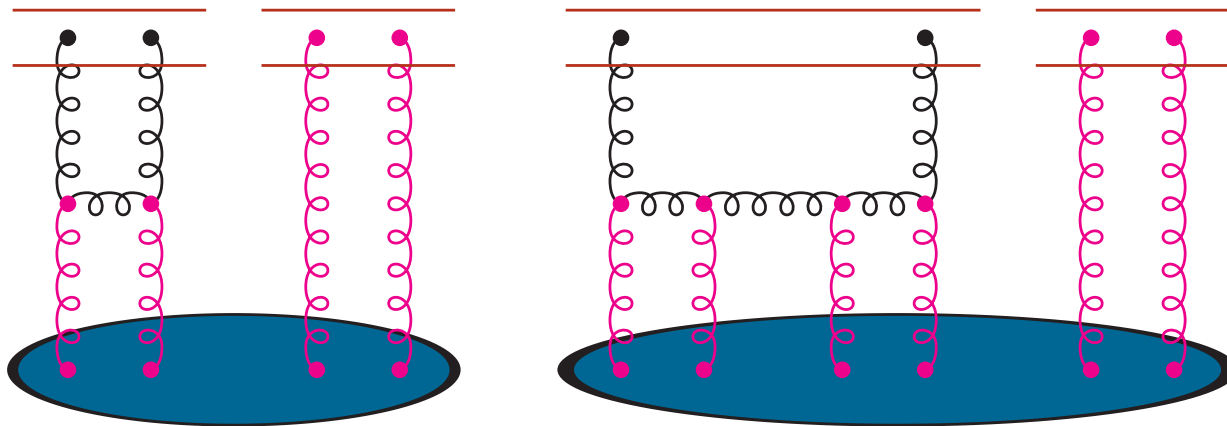
● Usual BFKL ladder

$$\partial_Y \langle T^{(2)} \rangle \propto \langle T^{(2)} \rangle$$

Consider evolution of $\langle T^{(2)} \rangle$

[E. Iancu, D. Triantafyllopoulos, 05]

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- Usual BFKL ladder
- fan diagram \longrightarrow saturation effects

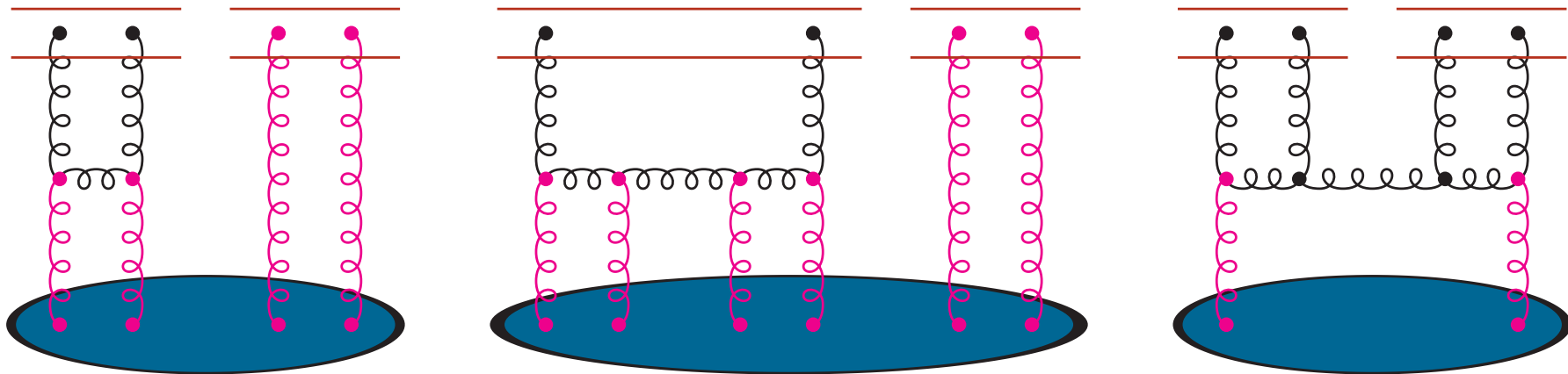
$$\partial_Y \langle T^{(2)} \rangle \propto \langle T^{(2)} \rangle$$

$$\partial_Y \langle T^{(2)} \rangle \propto \langle T^{(3)} \rangle$$

Consider evolution of $\langle T^{(2)} \rangle$

[E. Iancu, D. Triantafyllopoulos, 05]

Also A. Mueller, S. Munier, A. Shoshi, S. Wong



- Usual BFKL ladder
- fan diagram \longrightarrow saturation effects
- splitting \longrightarrow fluctuations, pomeron loops

$$\partial_Y \langle T^{(2)} \rangle \propto \langle T^{(2)} \rangle$$

$$\partial_Y \langle T^{(2)} \rangle \propto \langle T^{(3)} \rangle$$

$$\partial_Y \langle T^{(2)} \rangle \propto \langle T \rangle$$

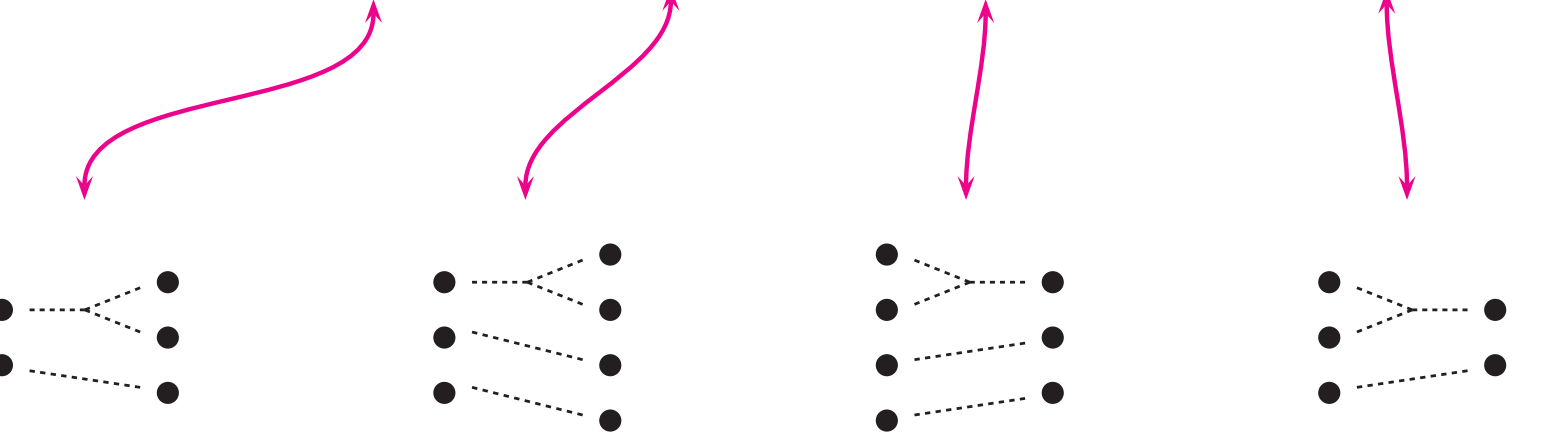
⇒ complicated hierarchy

$$\begin{aligned}
 & \partial_Y \langle T^{(2)}(\mathbf{x}_1, \mathbf{y}_1; \mathbf{x}_2, \mathbf{y}_2) \rangle \\
 &= \frac{\bar{\alpha}}{2\pi} \int d^2z \frac{(\mathbf{x}_2 - \mathbf{y}_2)^2}{(\mathbf{x}_2 - \mathbf{z})^2 (\mathbf{z} - \mathbf{y}_2)^2} \left[\langle T^{(2)}(\mathbf{x}_1, \mathbf{y}_1; \mathbf{x}_2, \mathbf{z}) \rangle + \langle T^{(2)}(\mathbf{x}_1, \mathbf{y}_1; \mathbf{z}, \mathbf{y}_2) \rangle \right. \\
 & \quad \left. - \langle T^{(2)}(\mathbf{x}_1, \mathbf{y}_1; \mathbf{x}_2, \mathbf{y}_2) \rangle - \langle T^{(3)}(\mathbf{x}_1, \mathbf{y}_1; \mathbf{x}_2, \mathbf{z}; \mathbf{z}, \mathbf{y}_2) \rangle + (1 \leftrightarrow 2) \right] \\
 &+ \frac{\bar{\alpha}}{2\pi} \left(\frac{\alpha_s}{2\pi} \right)^2 \int_{\mathbf{u}\mathbf{v}\mathbf{z}} \mathcal{M}_{\mathbf{u}\mathbf{v}\mathbf{z}} \mathcal{A}_0(\mathbf{x}_1 \mathbf{y}_1 | \mathbf{u}\mathbf{z}) \mathcal{A}_0(\mathbf{x}_2 \mathbf{y}_2 | \mathbf{z}\mathbf{v}) \nabla_{\mathbf{u}}^2 \nabla_{\mathbf{v}}^2 \langle T^{(1)}(\mathbf{u}, \mathbf{v}) \rangle
 \end{aligned}$$

- **Saturation**: important when $T^{(2)} \sim T^{(1)} \sim 1$ i.e. **near unitarity**
- **Fluctuations**: important when $T^{(2)} \sim \alpha_s^2 T^{(1)}$ or $T \sim \alpha_s^2$ i.e. **dilute regime**

Reaction-diffusion process $A \xrightleftharpoons[\sigma]{\gamma} A + A$

Master equation: $P_n \equiv$ proba to have n particles

$$\partial_t P_n = \underbrace{\gamma (n-1) P_{n-1}}_{\text{gain}} - \underbrace{\gamma n P_n}_{\text{loss}} + \underbrace{\sigma n(n+1) P_{n+1}}_{\text{gain}} - \underbrace{\sigma n(n-1) P_n}_{\text{loss}}$$


Particle densities: we observe a subset of k particles

$$\langle n^k \rangle \equiv \sum_{N=k}^{\infty} \frac{N!}{(N-k)!} P_N$$

Reaction-diffusion process $A \xrightleftharpoons[\sigma]{\gamma} A + A$

Master equation: $P_n \equiv$ proba to have n particles

$$\partial_t P_n = \underbrace{\gamma (n-1) P_{n-1}}_{\text{gain}} - \underbrace{\gamma n P_n}_{\text{loss}} + \underbrace{\sigma n(n+1) P_{n+1}}_{\text{gain}} - \underbrace{\sigma n(n-1) P_n}_{\text{loss}}$$

Evolution equation: $\langle n^k \rangle \equiv$ particle density/correlators

$$\partial_t \langle n^k \rangle = \gamma k \langle n^k \rangle + \gamma k(k-1) \langle n^{k-1} \rangle - \sigma k(k+1) \langle n^{k+1} \rangle$$

Scattering amplitude for this system off a target

$$\mathcal{A}(t) = \sum_{k=0}^{\infty} (-)^k \langle n^k \rangle_{t_0} \langle T^k \rangle_{t-t_0}$$

t_0 -independent \Rightarrow

$$\partial_t \langle T^k \rangle = \underbrace{\gamma \langle T^k \rangle}_{\text{BFKL}} - \underbrace{\gamma \langle T^{k+1} \rangle}_{\text{sat.}} + \underbrace{\sigma \langle T^{k-1} \rangle}_{\text{fluct.}}$$

For QCD **particle = (effective) dipoles**

Dipole plitting \equiv BFKL kernel

$$\gamma \sim \bar{\alpha} \frac{(\mathbf{x} - \mathbf{y})^2}{(\mathbf{x} - \mathbf{z})^2 (\mathbf{z} - \mathbf{y})^2}$$

Effective dipole merging

$\sigma(\mathbf{x}_1 \mathbf{y}_1, \mathbf{x}_2 \mathbf{y}_2 \rightarrow \mathbf{u} \mathbf{v})$

$$\sim \bar{\alpha} \alpha_s^2 \nabla_{\mathbf{u}}^2 \nabla_{\mathbf{v}}^2 \left\{ \mathcal{M}_{\mathbf{u} \mathbf{v} \mathbf{z}} \log^2 \left[\frac{(\mathbf{x}_1 - \mathbf{u})^2 (\mathbf{y}_1 - \mathbf{z})^2}{(\mathbf{x}_1 - \mathbf{z})^2 (\mathbf{y}_1 - \mathbf{u})^2} \right] \log^2 \left[\frac{(\mathbf{x}_2 - \mathbf{v})^2 (\mathbf{y}_2 - \mathbf{z})^2}{(\mathbf{x}_2 - \mathbf{z})^2 (\mathbf{y}_2 - \mathbf{v})^2} \right] \right\}$$

Remarks:

- merging **not always positive**
- fluctuations = **gluon-number** fluctuations
- Can be obtained from **projectile** or **target** point of view
- Known at **large** N_c .

Solutions

The BK equation

[S. Munier, R. Peschanski]

b -independent situation: momentum space ($L = \log(k^2/k_0^2)$)

$$\partial_{\bar{\alpha}Y} T(k) = \chi_{\text{BFKL}}(-\partial_L) T(k) - T^2(k)$$

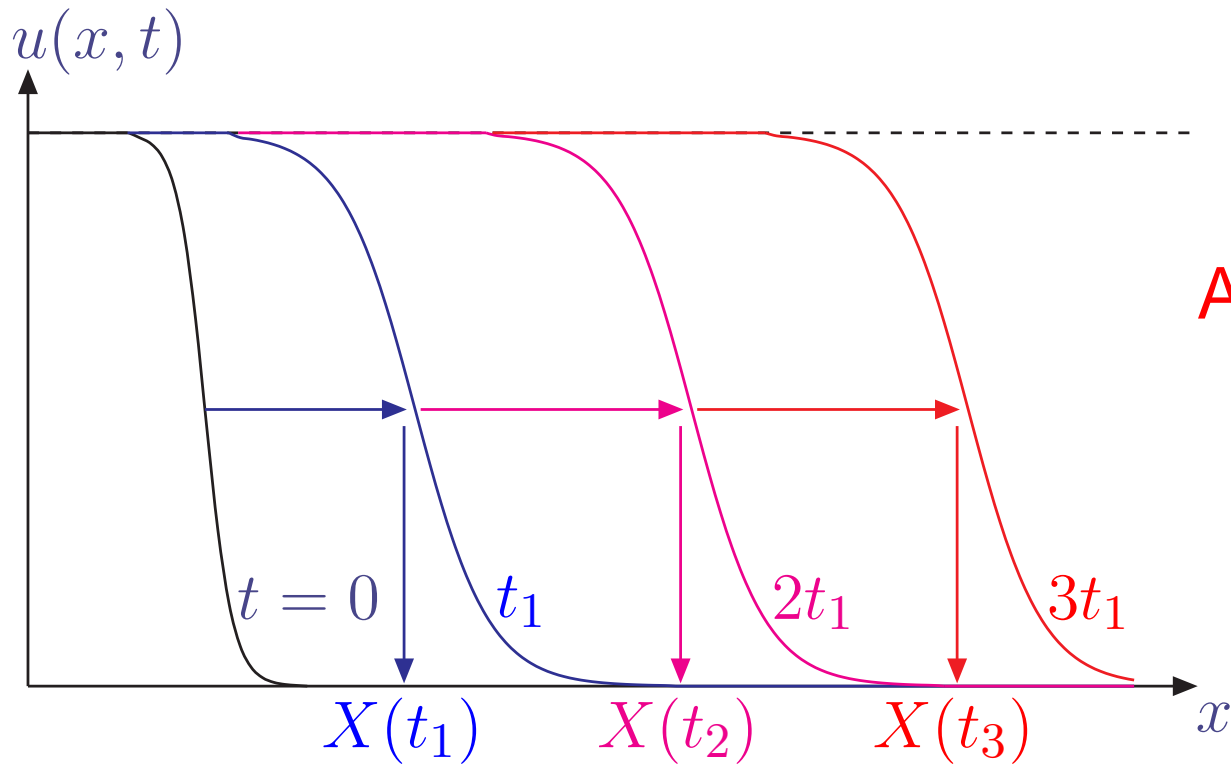
Diffusive approximation:

$$\chi_{\text{BFKL}}(-\partial_L) = \chi\left(\frac{1}{2}\right) + \frac{1}{2}(\partial_L + \frac{1}{2})^2$$

Time $t = \bar{\alpha}Y$, Space $x \approx \log(k^2)$, $u \propto T$

$$\partial_t u(x, t) = \partial_x^2 u(x, t) + u(x, t) - u^2(x, t)$$

Fisher-Kolmogorov-Petrovsky-Piscounov (F-KPP)



Asymptotic solution:
traveling wave

$$u(x, t) = u(x - v_c t)$$

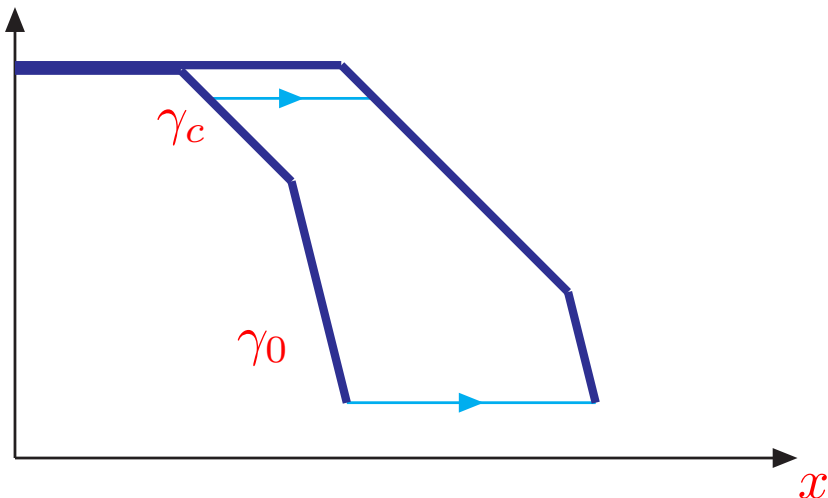
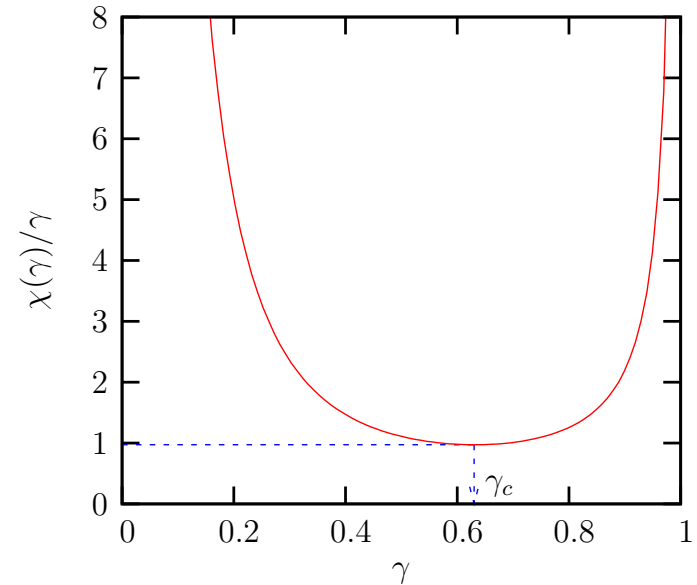
Position: $X(t) = X_0 + v_c t$

Mechanism: take only the linear part

$$\underbrace{\partial_Y T = \chi(-\partial_L)T - T^2}$$

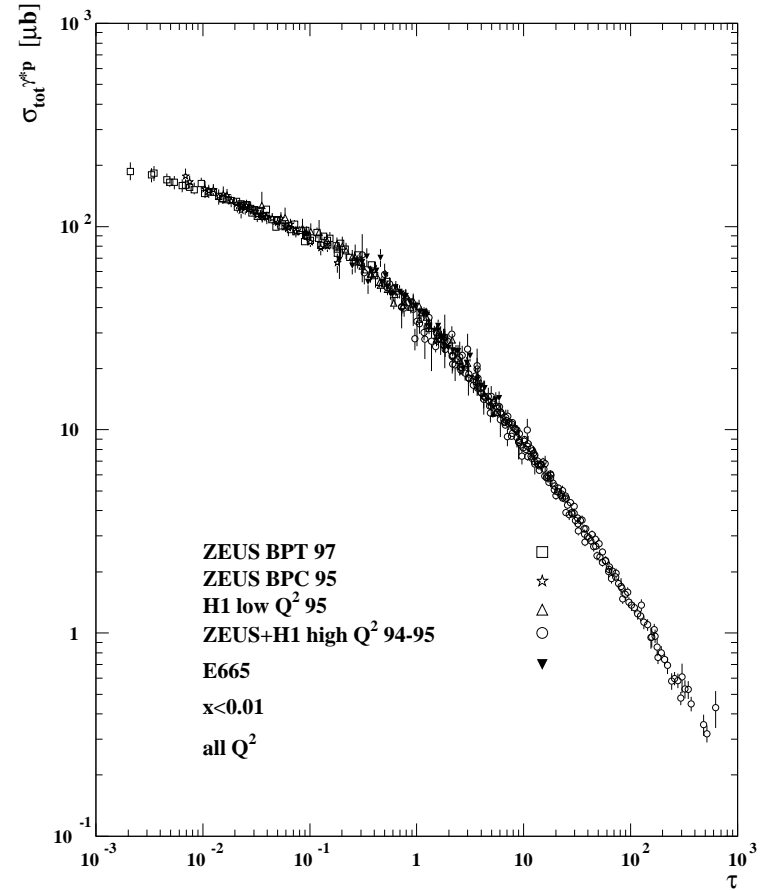
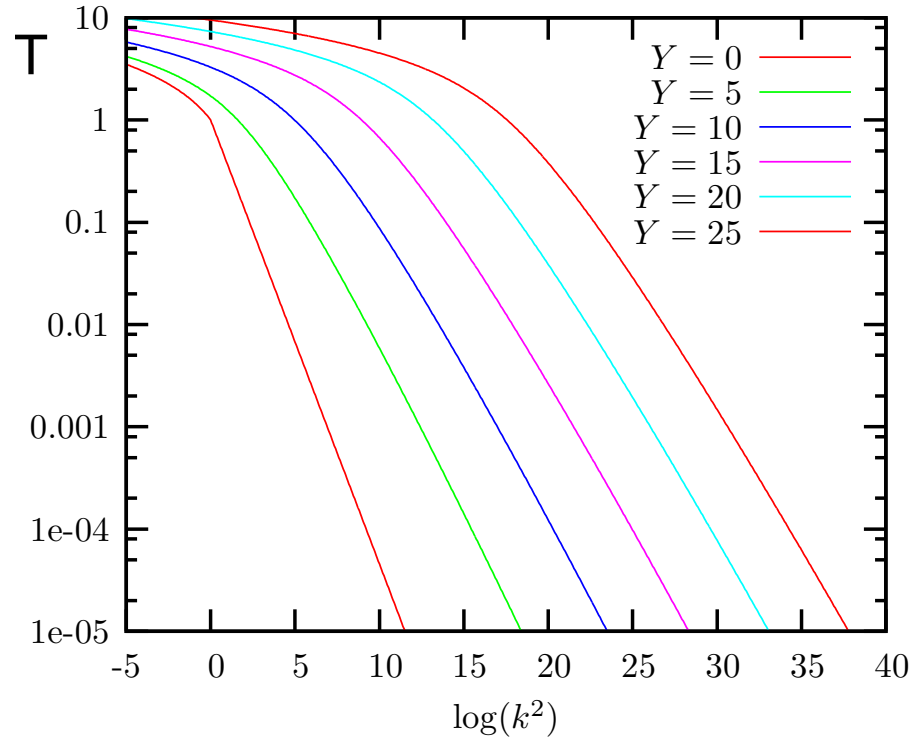
$$T(k) = \int \frac{d\gamma}{2i\pi} T_0(\gamma) \exp(\chi(\gamma)Y - \gamma L)$$

⇒ Wave of slope γ travels at speed $v = \chi(\gamma)/\gamma$

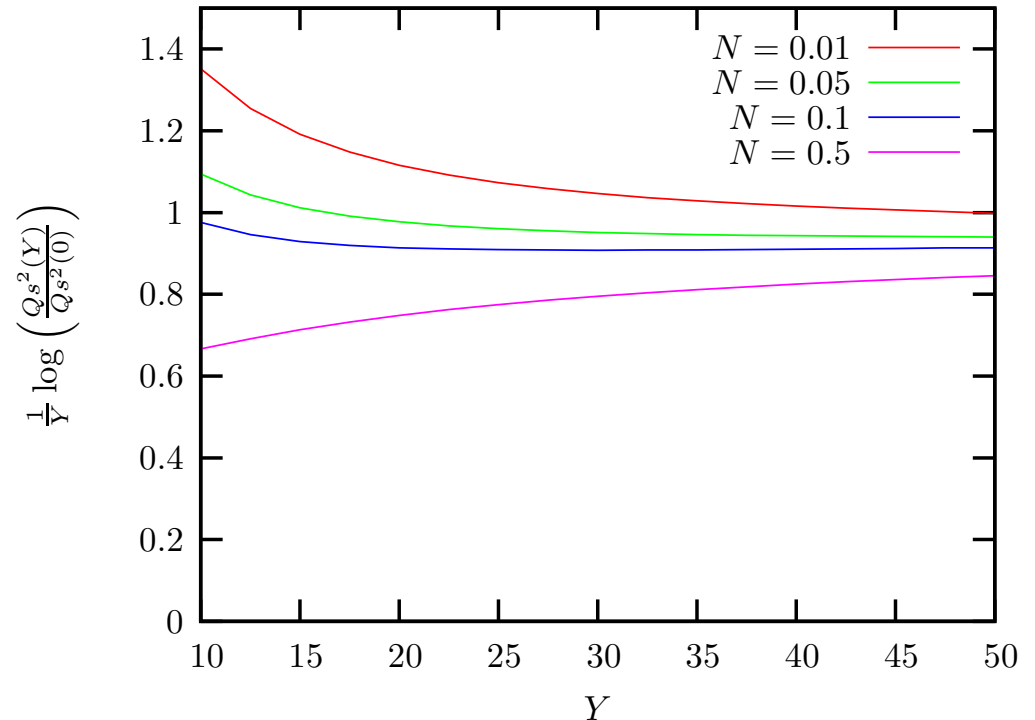
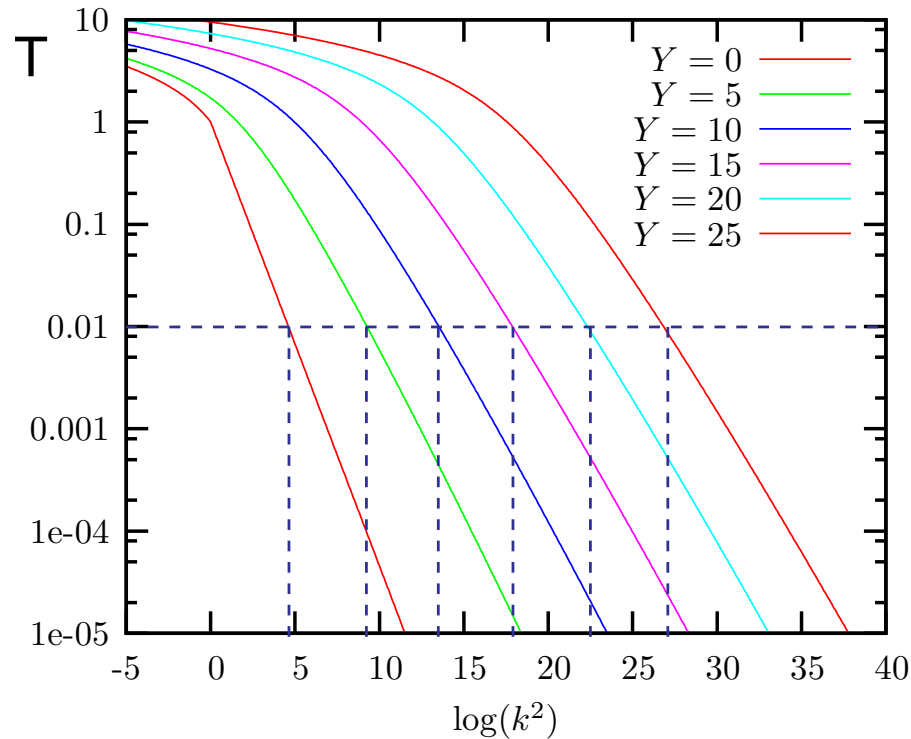


The minimal speed is selected during evolution

Numerical simulations:



Numerical simulations:



$$T(k, Y) = T\left(\frac{k^2}{Q_s^2(Y)}\right) \approx \left(\frac{k^2}{Q_s^2(Y)}\right)^{-\gamma_c}$$

$$Q_s^2(Y) \propto \exp^{v_c Y}$$

Can we extend this including the b dependence

Go to momentum space

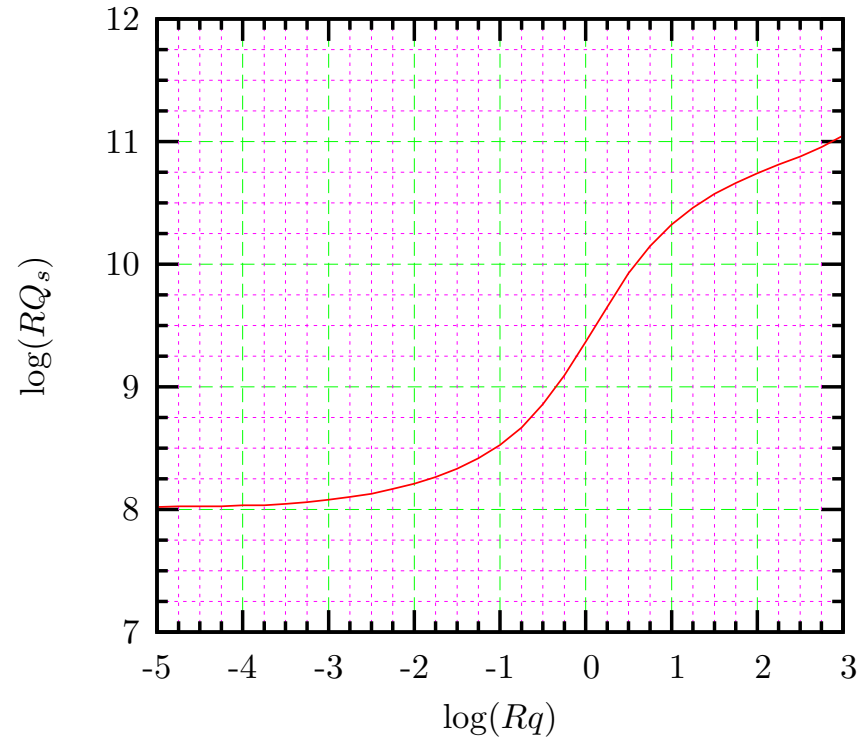
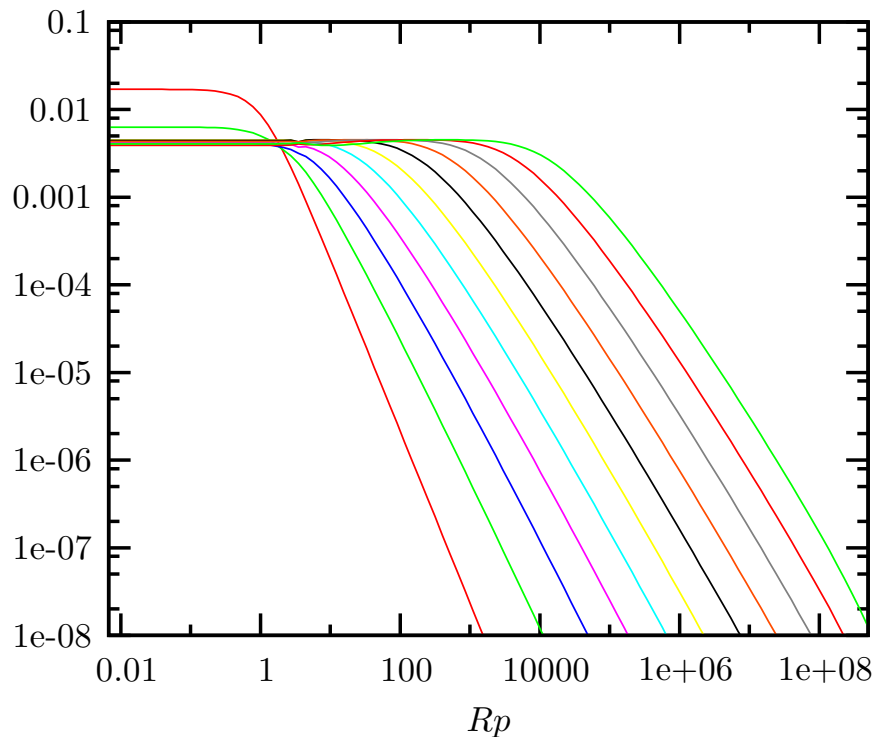
$$\tilde{T}(\mathbf{k}, \mathbf{q}) = \int d^2x d^2y e^{i\mathbf{k}\cdot\mathbf{x}} e^{i(\mathbf{q}-\mathbf{k})\cdot\mathbf{y}} \frac{T(\mathbf{x}, \mathbf{y})}{(\mathbf{x} - \mathbf{y})^2}$$

new form of the BK equation

$$\begin{aligned} \partial_Y \tilde{T}(\mathbf{k}, \mathbf{q}) &= \frac{\bar{\alpha}}{\pi} \int \frac{d^2k'}{(k - k')^2} \left\{ \tilde{T}(\mathbf{k}', \mathbf{q}) - \frac{1}{4} \left[\frac{k^2}{k'^2} + \frac{(q - k)^2}{(q - k')^2} \right] \tilde{T}(\mathbf{k}, \mathbf{q}) \right\} \\ &- \frac{\bar{\alpha}}{2\pi} \int d^2k' \tilde{T}(\mathbf{k}, \mathbf{k}') \tilde{T}(\mathbf{k} - \mathbf{k}', \mathbf{q} - \mathbf{k}') \end{aligned}$$

[C.Marquet, R.Peschanski, G.S., 05]

Dependence on momentum transfer k : traveling waves



One can prove analytically that:

- formation of a traveling wave at large p (or k)
- q dependence: scales like a constant or linearly ($Y = 25$)

Predicts geometric scaling for t -dependent processes

Solutions

Fluctuation effects

no b -dependence + coarse-graining \longrightarrow Langevin equation

$$\partial_Y T(k, Y) = \bar{\alpha} K_{\text{BFKL}} \otimes T(k, Y) - \bar{\alpha} T^2(k, Y) + \bar{\alpha} \sqrt{\kappa \alpha_s^2 T(k, Y)} \nu(k, Y)$$

with $\langle \nu(k, Y) \rangle = 0$

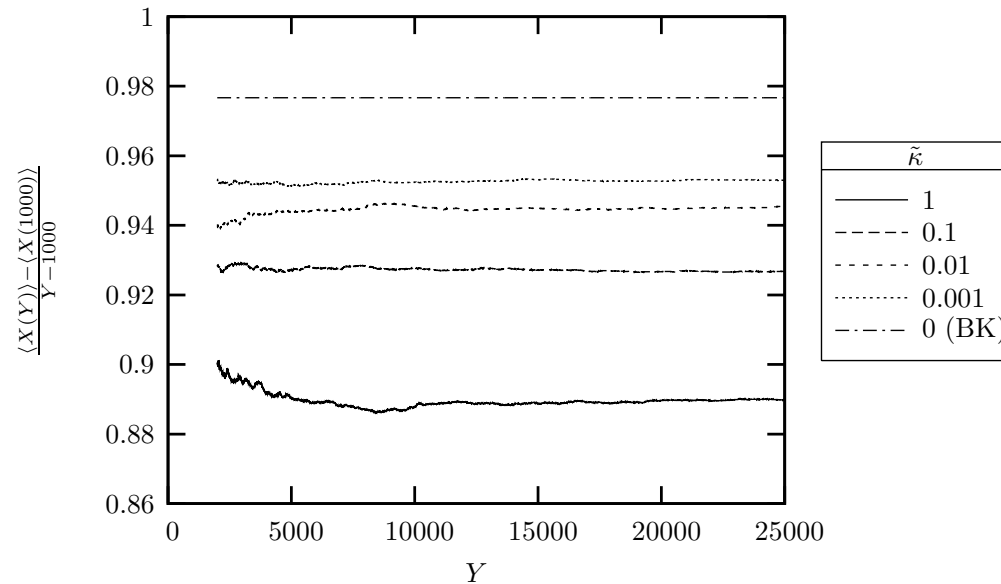
$$\langle \nu(k, Y) \nu(k', Y') \rangle = \frac{1}{\bar{\alpha}} \delta(Y - Y') k \delta(k - k')$$

Diffusive approximation

$$\partial_t u(x, t) = \partial_x^2 u + u - u^2 + \sqrt{2\kappa u} \nu(x, t)$$

stochastic F-KPP

[G.S., 05]

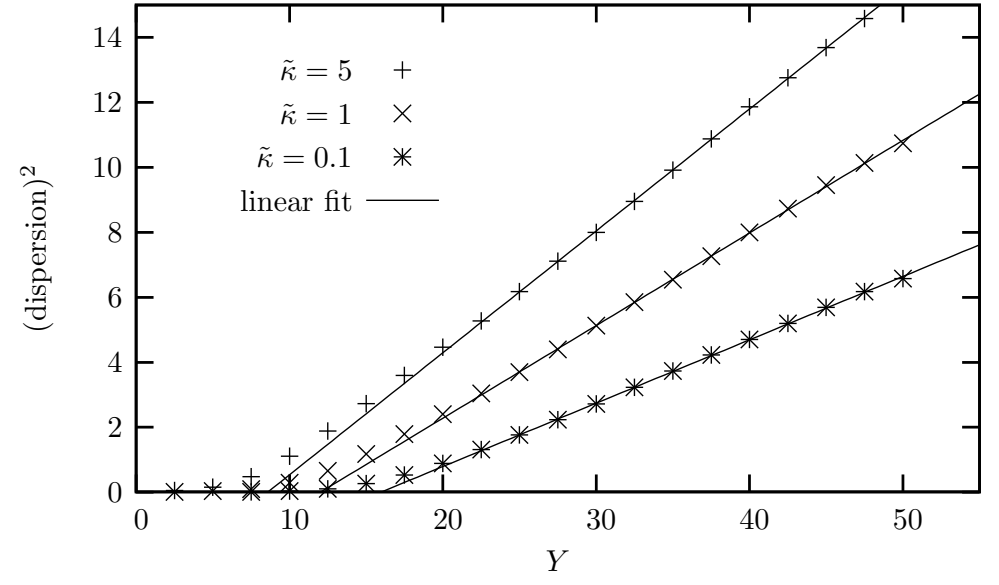
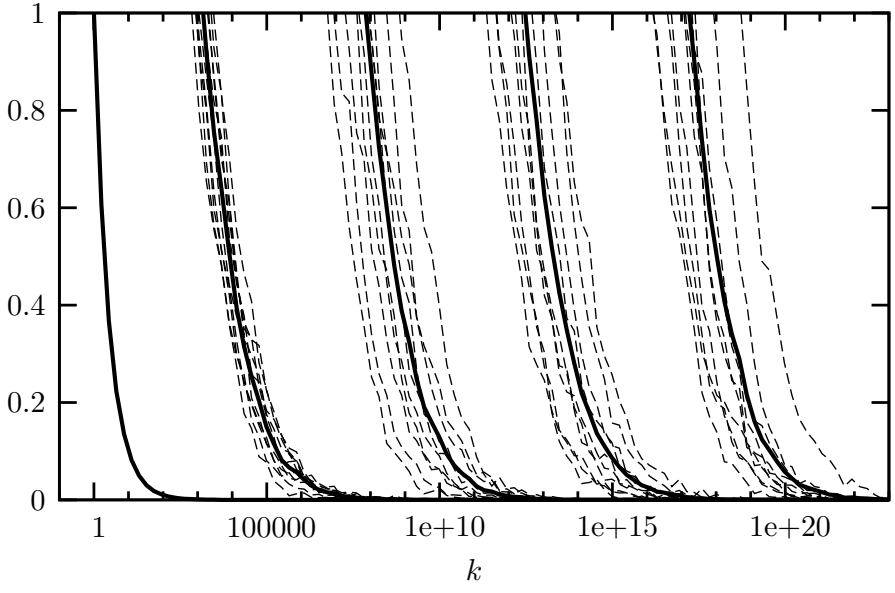


Decrease of the velocity/exponent of the saturation scale

For asymptotically small α_s (not true here) [A. Mueller, S. Munier, E. Brunet, B. Derrida]

$$v^* \xrightarrow{\alpha_s^2 \kappa \rightarrow 0} v_{BK} - \frac{\bar{\alpha} \pi^2 \gamma_c \chi''(\gamma_c)}{2 \log^2(\alpha_s^2 \kappa)}$$

[G.S., 05]

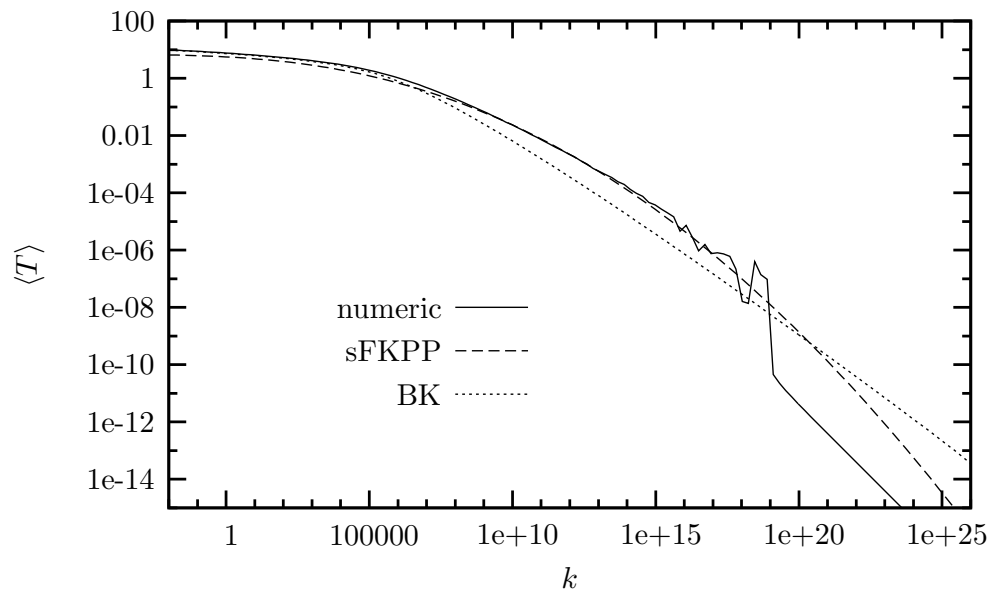
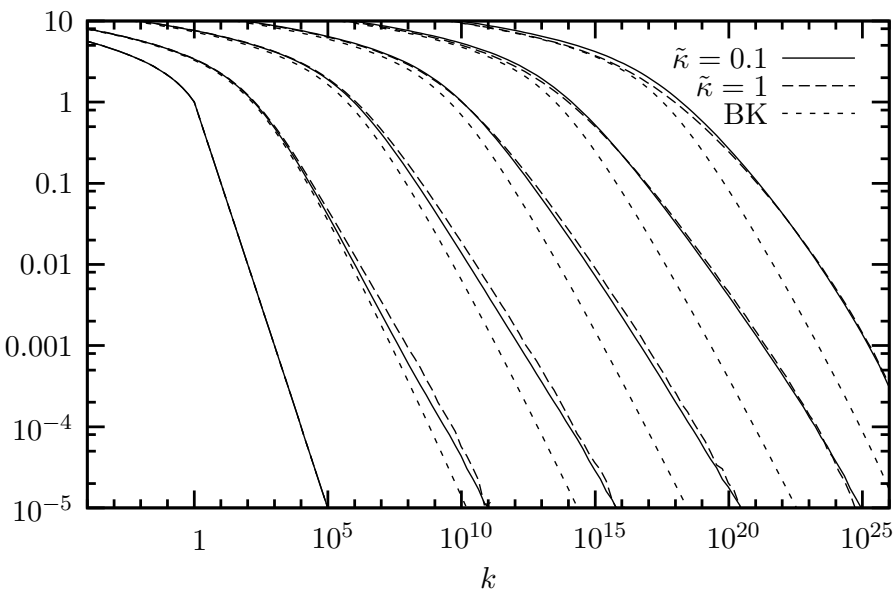


● Dispersion of the events

$$\Delta \log[Q_s^2(Y)] \approx \sqrt{D_{\text{diff}} \bar{\alpha} Y} \quad \text{with} \quad D_{\text{diff}} \underset{\alpha_s^2 \kappa \rightarrow 0}{\sim} \frac{1}{|\log^3(\alpha_s^2 \kappa)|}.$$

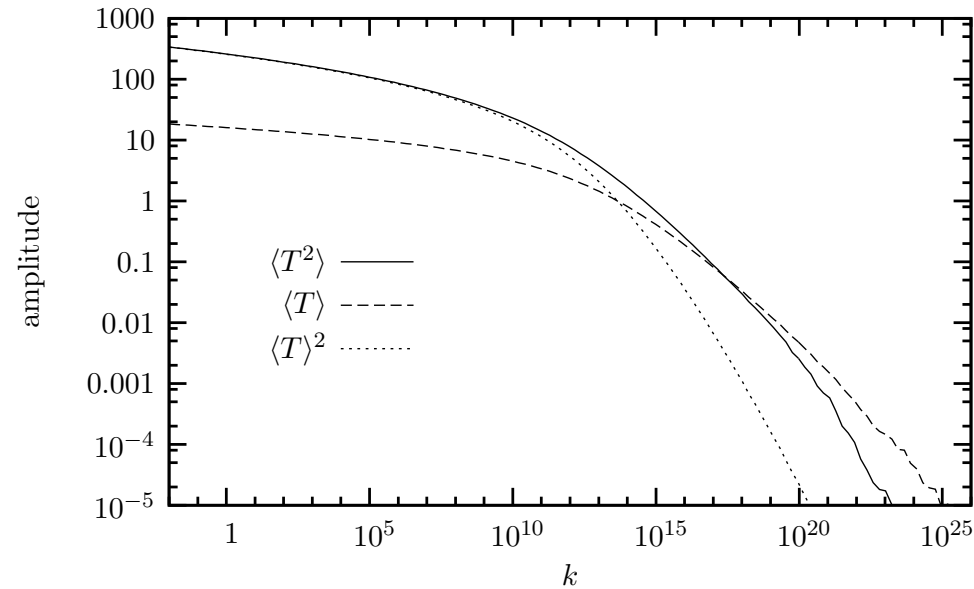
● No important dispersion in early stages of the evolution !

[G.S., 05]



- Clear effect of fluctuations
- Violations of geometric scaling (not in early stages)
- Agrees with predictions

[G.S., 05]



- Dense regime: $\langle T^2 \rangle \approx \langle T \rangle^2$
- Dilute regime: $\langle T^2 \rangle \approx \langle T \rangle$ (pre-asymptotics!)

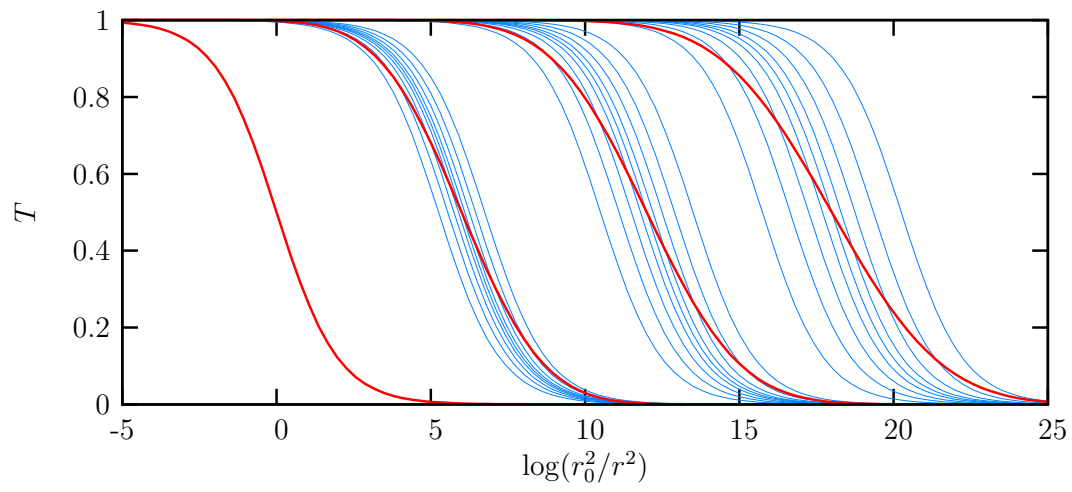
Evolution with saturation & fluctuations \equiv

- superposition of unitary front (with geometric scaling)
- with a dispersion (yielding geometric scaling violations)

$$\langle T(r, Y) \rangle = \int d\rho_s T_{\text{event}}(\rho - \rho_s) \frac{1}{\sqrt{\pi}\sigma} \exp\left(-\frac{(\rho_s - \bar{\rho}_s)^2}{\sigma^2}\right)$$

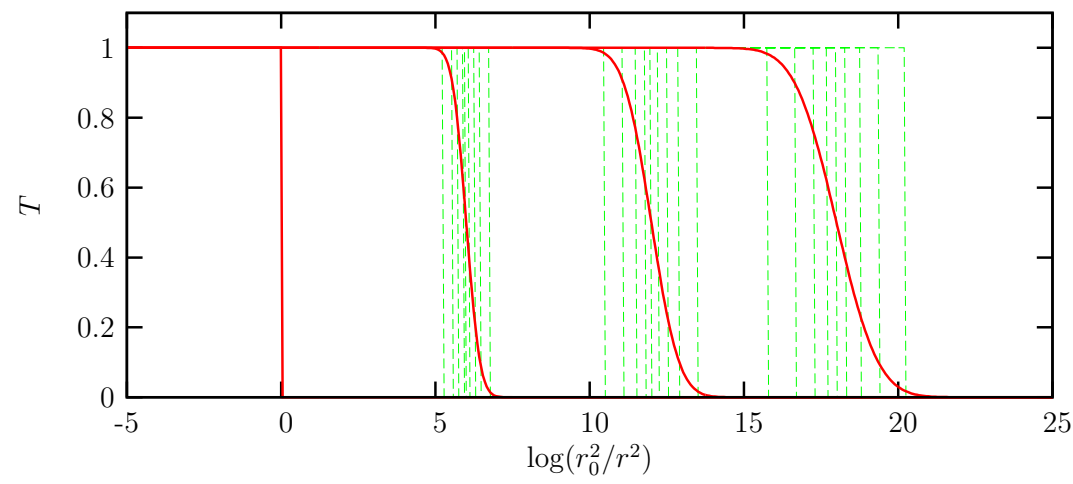
with $\rho = \log(1/r^2)$, $\rho_s = \log(Q_s^2)$

$$T_{\text{event}}(\rho - \rho_s) = \begin{cases} 1 & r > Q_s \\ (rQ_s)^\gamma & r < Q_s \end{cases}$$



dispersion $\sim DY$

- Y not too large \Rightarrow small dispersion $\Rightarrow \langle T \rangle \approx T_{\text{event}} \Rightarrow$ geometric scaling
- Y very high \Rightarrow dominated by dispersion *i.e.* $\langle T \rangle \approx T_{\text{sat}}$



NB.: $\langle T^2 \rangle = \langle T \rangle$

Intermediate energies	High energies
Mean field (BK)	Fluctuations
Geometric scaling $\langle T \rangle = f [\log(k^2 / Q_s^2)]$ $\langle T^{(k)} \rangle = \langle T \rangle^k$	Diffusive scaling $\langle T \rangle = f [\log(k^2 / Q_s^2) / \sqrt{DY}]$ $\langle T^{(k)} \rangle = \langle T \rangle$

At high-energy, amplitudes are dominated by hot-spots *i.e.* rare fluctuations at saturation

- true for strong fluctuations
- asymptotically true in general

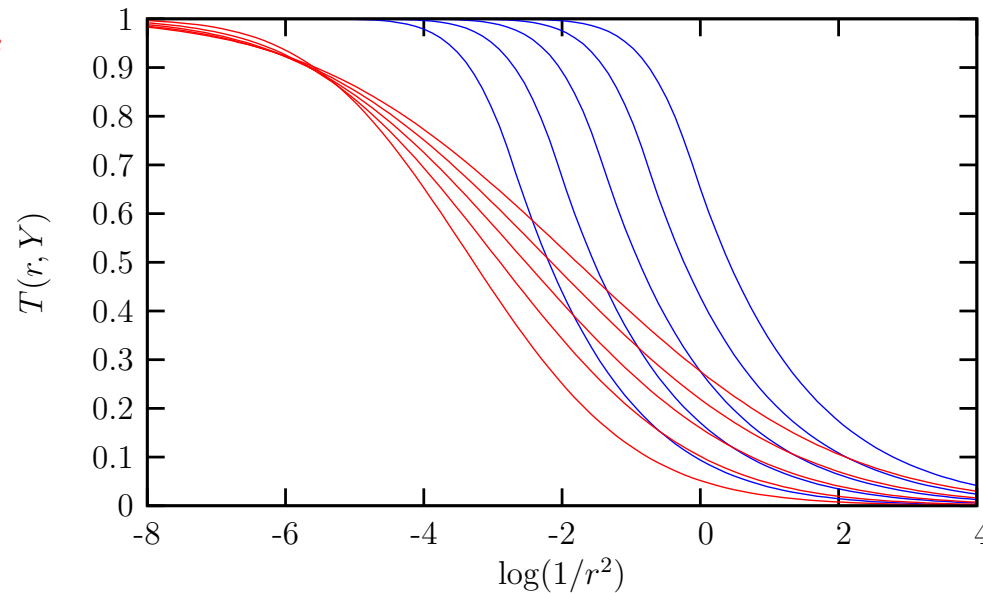
Saturation fit:

$$\langle T(r, Y) \rangle = \begin{cases} (r^2 Q_s^2)^{\gamma_c} e^{-\frac{2 \log^2(r Q_s)}{cY}} & r < Q_s \\ 1 - e^{-a - b \log^2(r Q_s)} & r > Q_s \end{cases} \quad Q_s^2(Y) = \lambda Y, \rho_s = \log(Q_s^2)$$

Saturation+fluctuations fit:

$$\langle T(r, Y) \rangle = \int d\rho_s T(r, \rho_s) \frac{1}{\sqrt{\pi\sigma}} e^{-\frac{(\rho_s - \bar{\rho}_s)^2}{\sigma^2}}$$

$$T(r, \rho_s) = \begin{cases} r^2 Q_s^2 & r < Q_s \\ 1 & r > Q_s \end{cases} \quad \text{colour transparency}$$

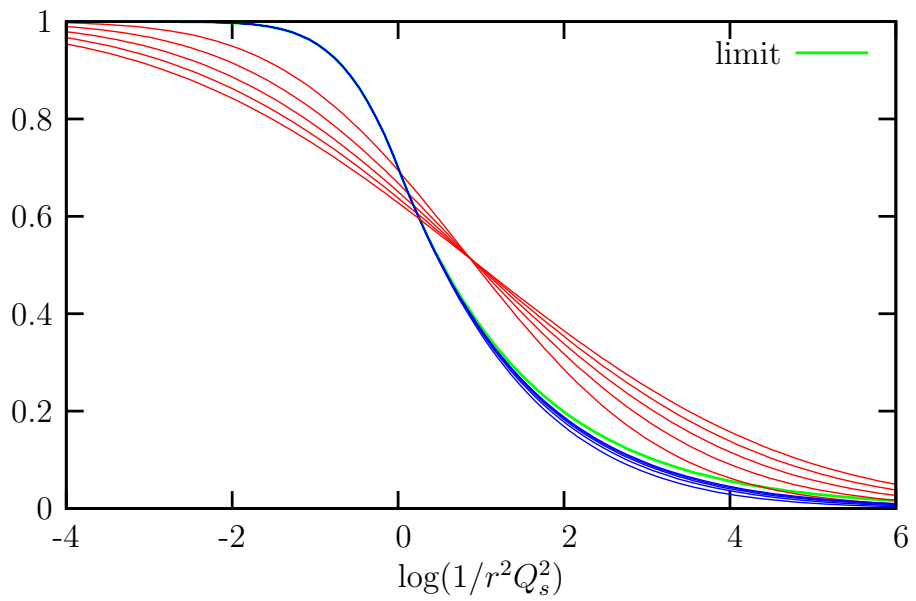


Saturation fit:

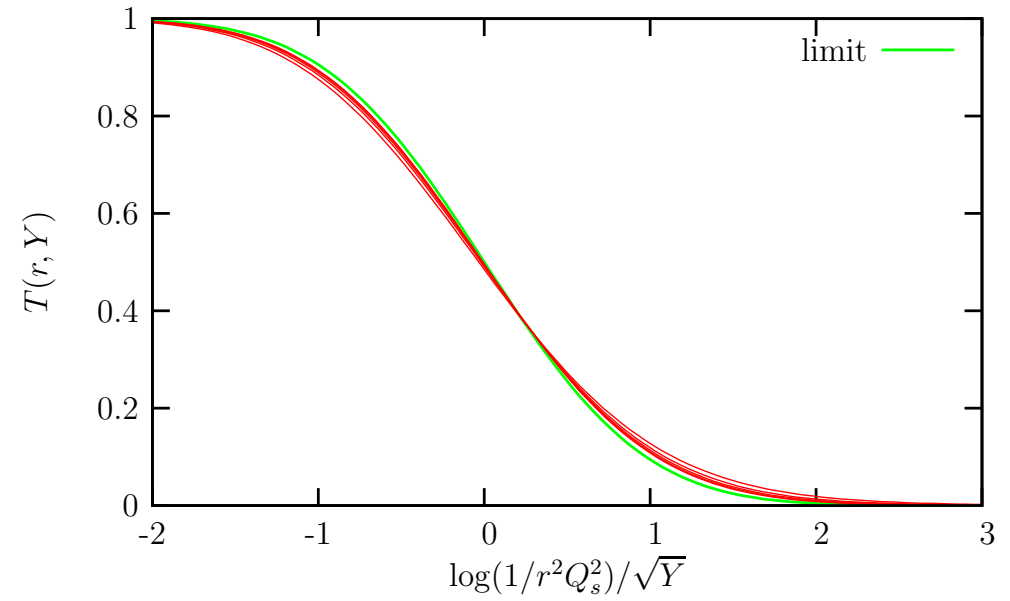
$$\langle T(r, Y) \rangle = (r^2 Q_s^2)^{\gamma_c} e^{-\frac{2 \log^2(r Q_s)}{cY}} \rightarrow r^2 Q_s^2$$

Saturation+fluctuations fit:

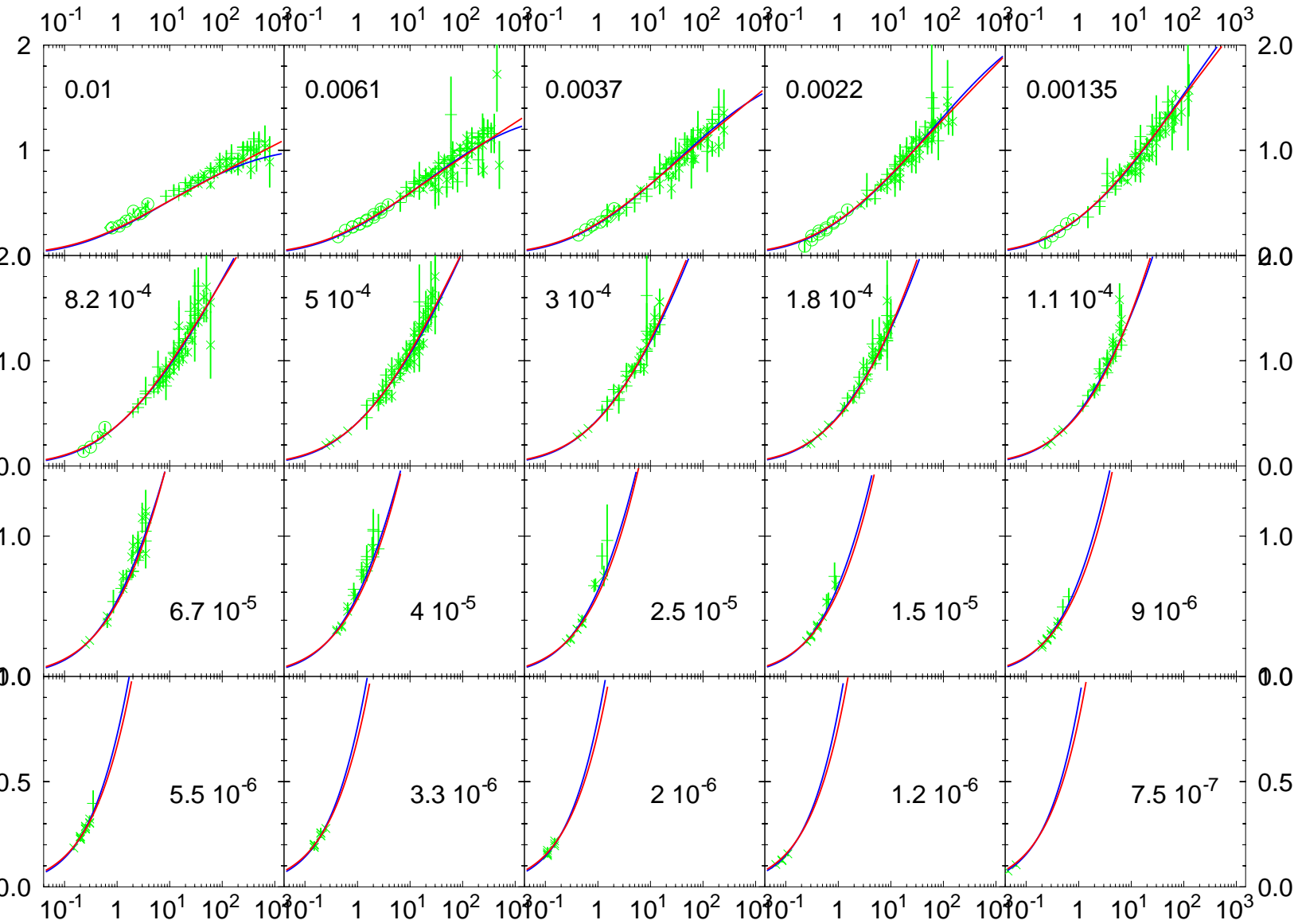
$$\langle T(r, Y) \rangle = \int d\rho_s T(r, \rho_s) \frac{1}{\sqrt{\pi\sigma}} e^{-\frac{(\rho_s - \bar{\rho}_s)^2}{\sigma^2}} \rightarrow \frac{1}{2} \operatorname{erfc} \left(\frac{-\log(r^2 \bar{Q}_s^2)}{\sqrt{2DY}} \right)$$



$Y \rightarrow \infty$
 \longrightarrow Geometric scaling



$Y \rightarrow \infty$
 \longrightarrow Diffusive scaling



Both fits
can describe
the data
for $x \leq 0.01$

● Effects of saturation

- Evolution equations for high-energy QCD
Balitsky, Balitsky-Kovchegov (dipole), JIMWLK (CGC)
- Good knowledge of the asymptotic solutions
Traveling waves \rightarrow geometric scaling, saturation scale $\propto \exp(\bar{\alpha} v_c Y)$

● Effects of fluctuations

- Known at large- N_c
- Consequences on saturation (e.g. geometric scaling violations)
Diffusive scaling
- analytical solutions: $\alpha_s \lll 1$
numerical solutions: coherent with statistical-physics analog

- **phenomenological tests:**
 - do we observe geometric scaling at nonzero momentum transfer ?
 - predictions for LHC ? diffusive scaling at high-energy ?

- **phenomenological tests:**
 - do we observe geometric scaling at nonzero momentum transfer ?
 - predictions for LHC ? diffusive scaling at high-energy ?
- **theoretical questions:**
 - importance of **geometric scaling violations**
 - **analytical predictions** (pomeron loops, triple pomeron vertex)
 - numerical simulations: **include impact parameter**
 - **beyond large- N_c**