

Progresses in defining jets for the LHC

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in collaboration with G. Salam, M. Cacciari and J. Rojo
[arXiv:0704:0292](https://arxiv.org/abs/0704.0292), [arXiv:0802:1189](https://arxiv.org/abs/0802.1189), [arXiv:0810.1304](https://arxiv.org/abs/0810.1304)

- Foreword: why jets? what are they?

introducing the basic terminology/concepts

- Part 1: building solid jet definitions

new algorithms to meet the fundamental requirements

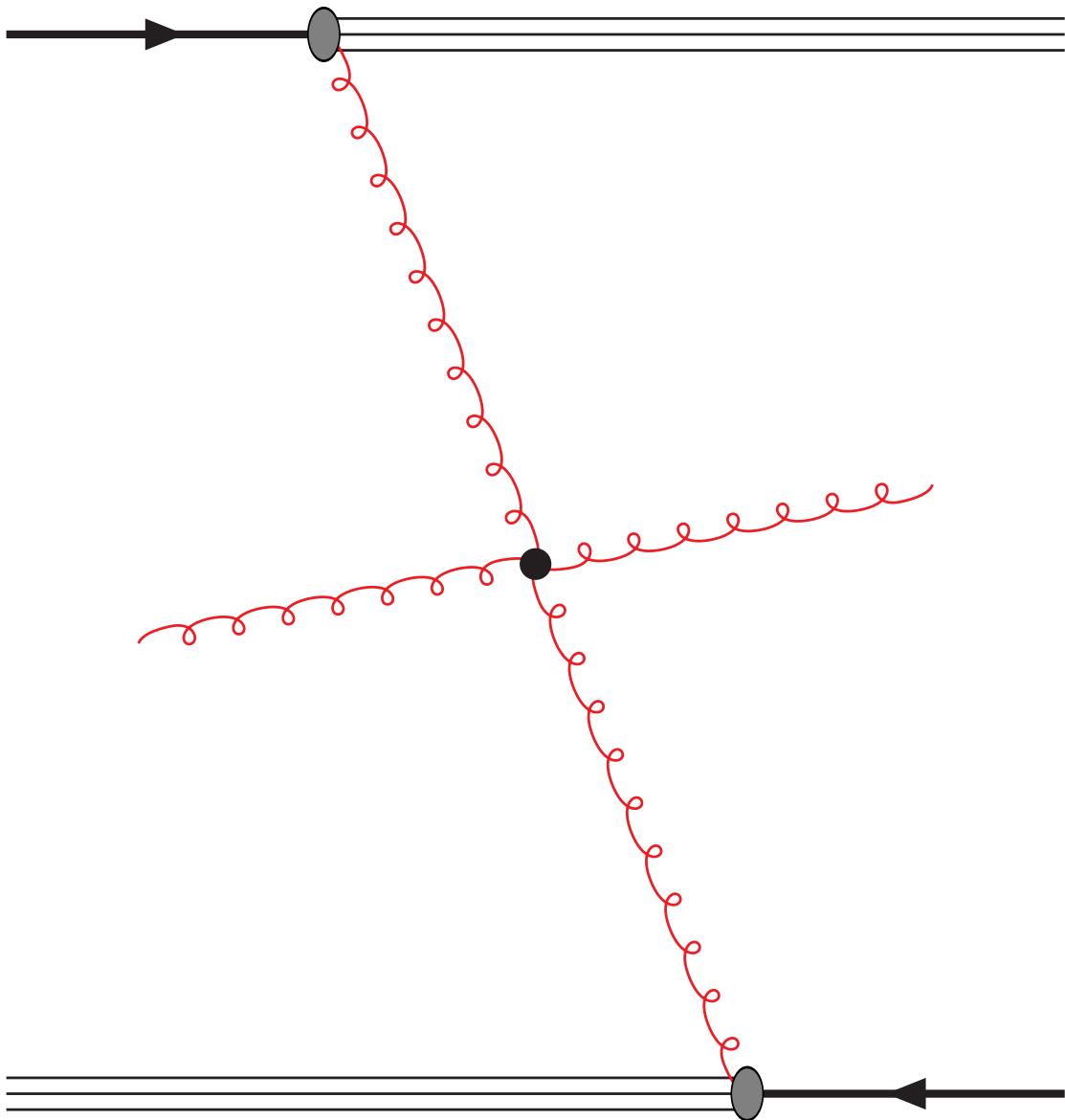
- Part 2: optimizing jets in pp collisions

which jet algorithm is best suited?

- how to quantify the reconstruction efficiency
- Results without pileup
- Results with pileup (subtraction)

Foreword: why jets? what are they?

General (over)simplified picture



Hard scattering ($2 \rightarrow n$)

computed exactly at $\mathcal{O}(\alpha_s^p)$

$gg \rightarrow gg, gg \rightarrow ggg,$

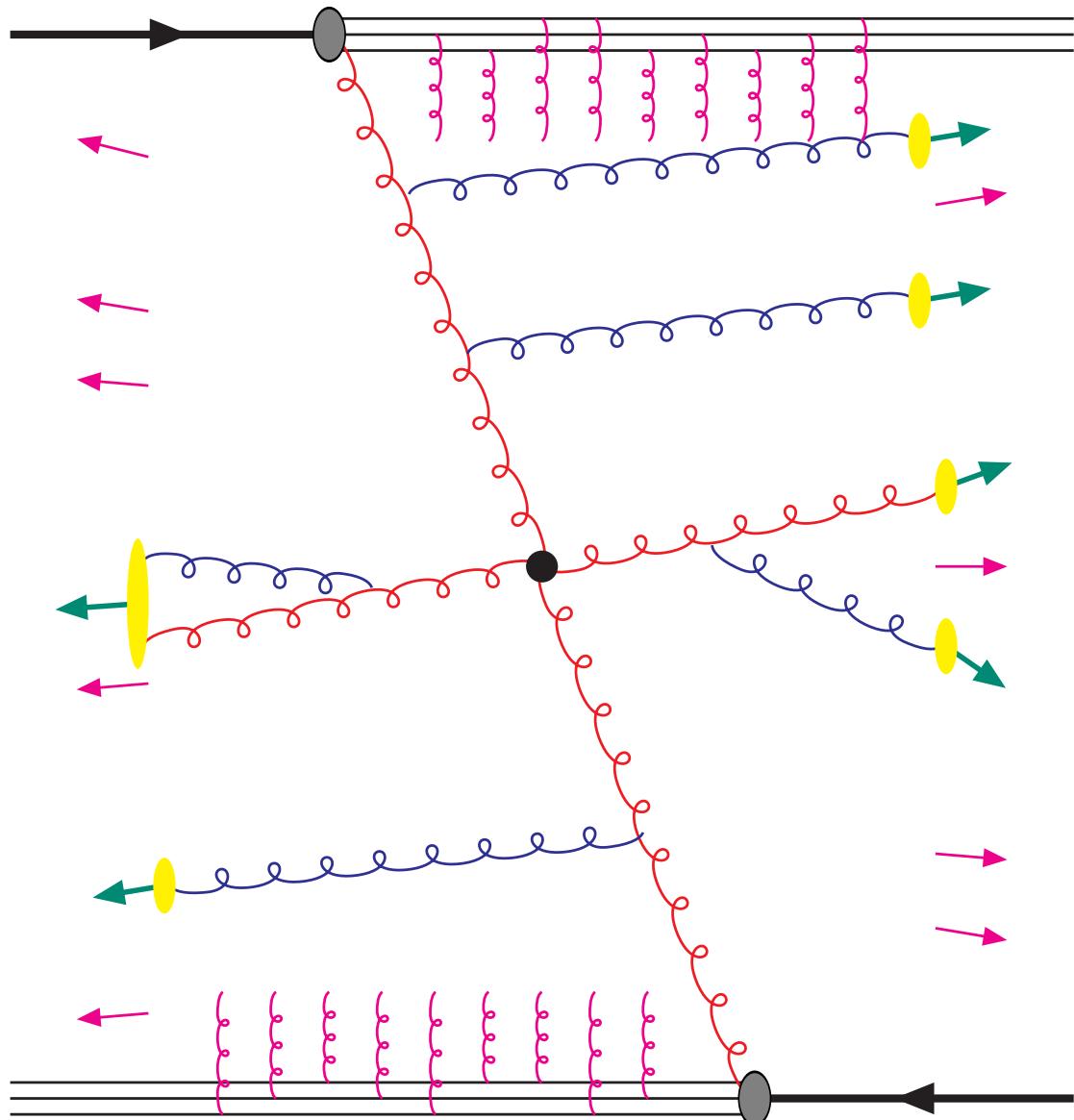
$gg \rightarrow gggg,$

$gg \rightarrow H \rightarrow b\bar{b},$

$gg \rightarrow t\bar{t} \rightarrow \mu\nu_\mu b\bar{b}q\bar{q},$

$gg \rightarrow Z' \rightarrow q\bar{q}, \dots$

General (over)simplified picture



Hard scattering ($2 \rightarrow n$)

Parton level

\approx resummed collinear div.

$$\sum_i \alpha_s^i \log^i(p_t^2/\mu^2)$$

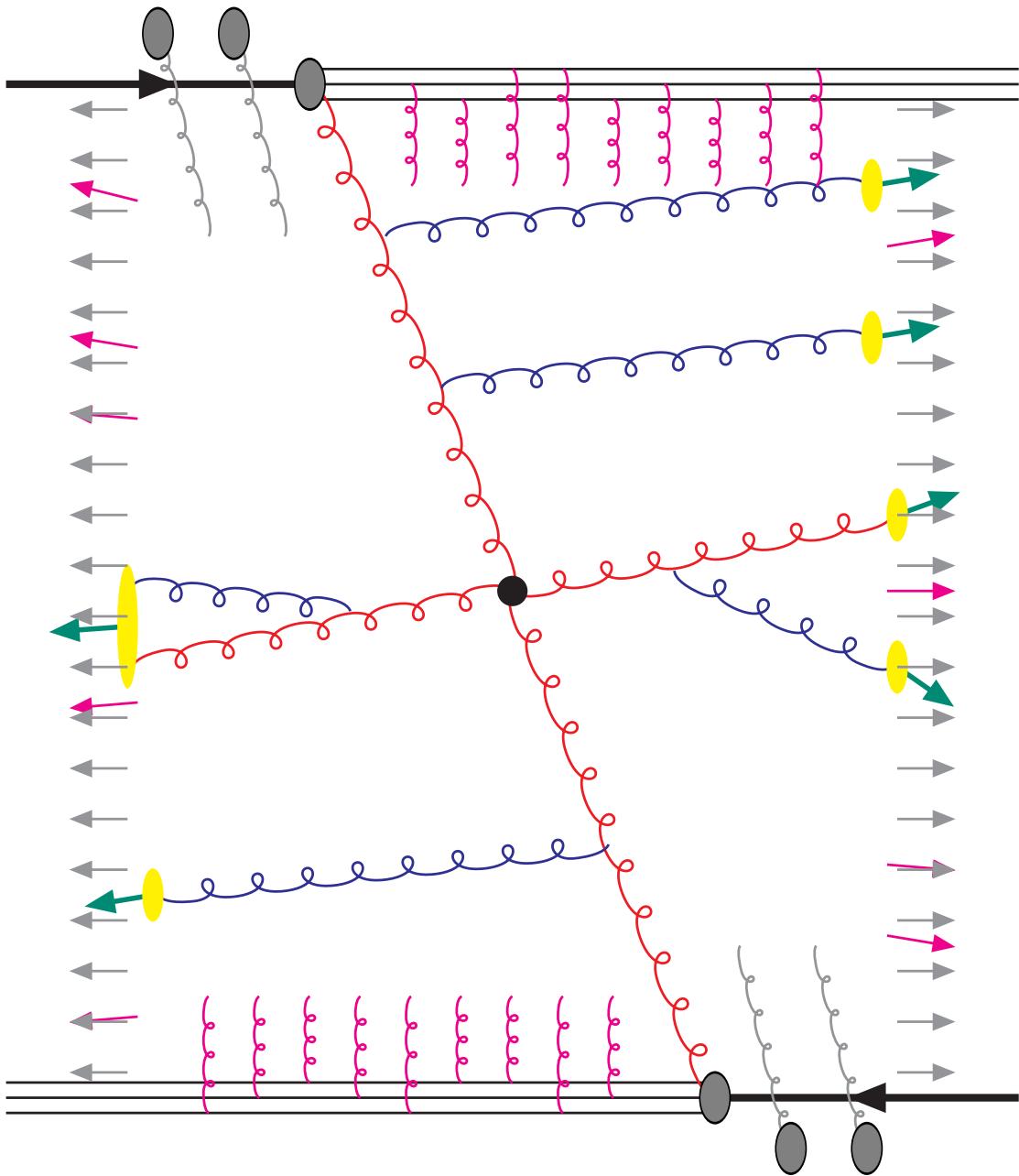
Hadron level: hadronisation

Underlying event

beam remnants interactions

\Rightarrow soft background

General (over)simplified picture



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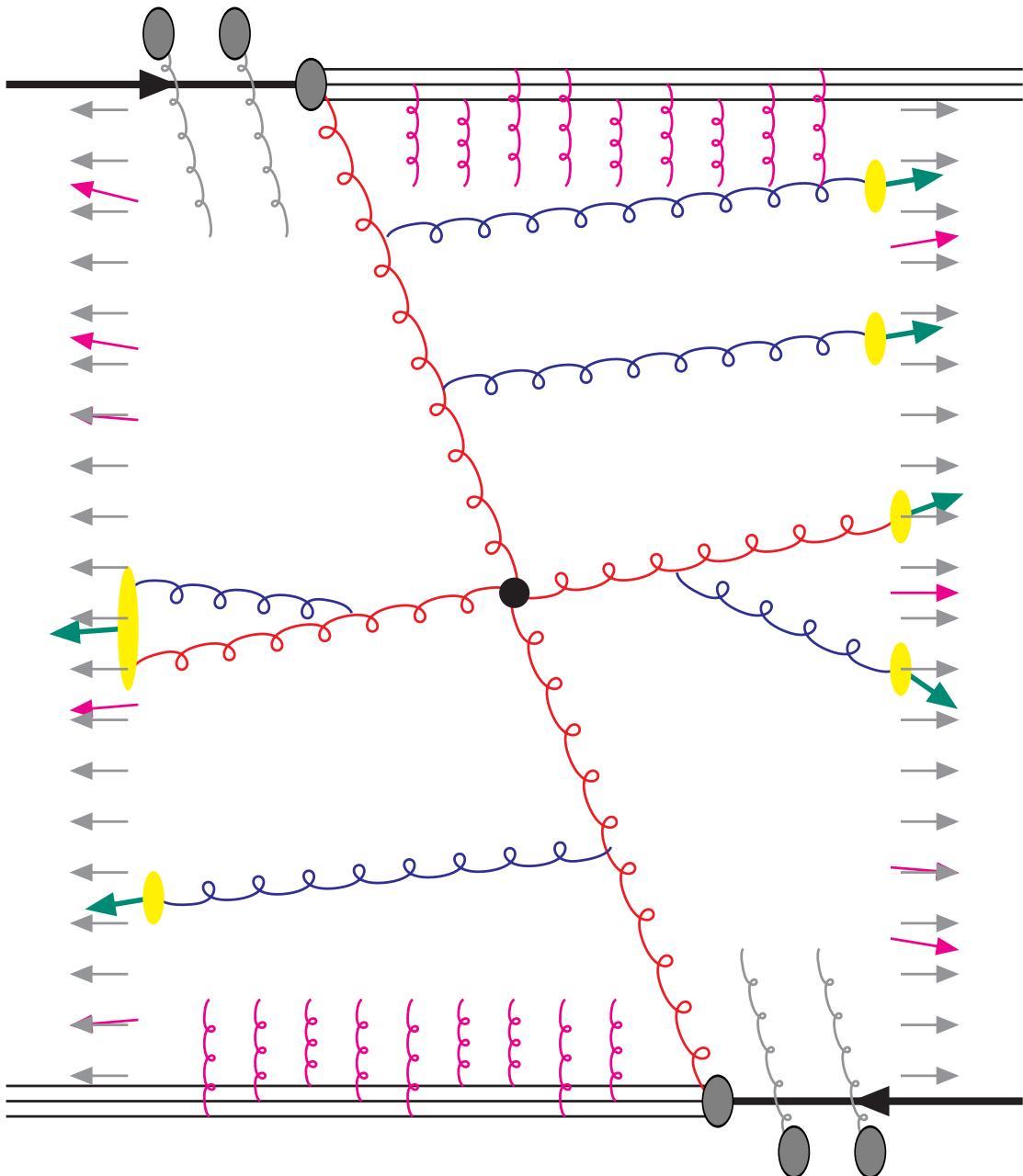
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⇒ soft background

Pileup

≈ uniform soft background

General (over)simplified picture



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⇒ soft background

Pileup

≈ uniform soft background

“Jets” ≡ hard partons

Parton ambiguous

⇒ multiple jet definitions

Two classes of algorithms

| Class 1: recombination | Cass 2: cone |
|--|---|
| Successive recombinations of the “closest” ^(a) pair of particle | find directions of energy flow ≡ stable cones ^(b) |
| Nice perturbative behaviour | Small sensitivity to soft radiation (UE,PU) |
| Often used in $e^\pm e^\pm$, $e^\pm p$ | Often used in pp |

(a) Distance: (stop when $d_{\min} > R$)

$$k_t: \quad d_{i,j} = \min(k_{t,i}^2, k_{t,j}^2)(\Delta\phi_{i,j}^2 + \Delta y_{i,j}^2)$$

Aachen/Cam.: $d_{i,j} = \Delta\phi_{i,j}^2 + \Delta y_{i,j}^2$

(b) stable cones (radius R) such that:

the total momentum of its contents points in the direction of its centre

How the cone works...

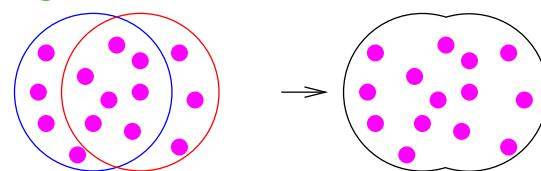
- Seeded (iterative) approaches: iterate from an initial position until stable
 - seed = initial particle
 - seed = midpoint between stable cones found at first step
 - One has to deal with overlapping stable cones: 2 subclasses
-

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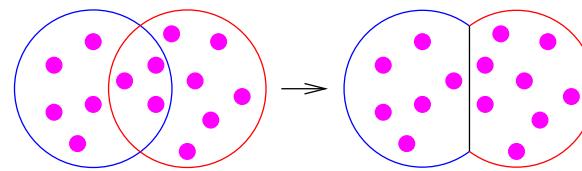
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Class 2(a): cone with split-merge (ex.: JetClu, Atlas, MidPoint):

$$\tilde{p}_{t,\text{shared}} > f\tilde{p}_{t,\text{min}}$$



$$\tilde{p}_{t,\text{shared}} \leq f\tilde{p}_{t,\text{min}}$$

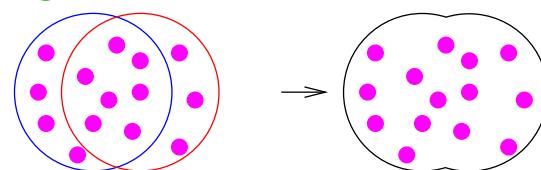


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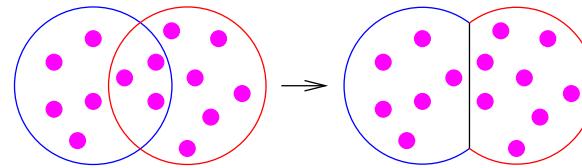
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Class 2(b): cone with progressive removal (ex.: Iterative Cone)

- iterate from the hardest seed
- remove the stable cone as a jet and start again

Idea: “regular/circular” jets

Recombination:

- k_t algorithm
- Cambridge/Aachen alg.

Cone:

- CDF JetClu
- CDF MidPoint
- D0 (run II) Cone
- PxCone
- ATLAS Cone
- CMS Iterative Cone
- PyCell/CellJet
- GetJet

Part 1
21st century: towards a solid toolkit

SNOWMASS accords, Tevatron 1990 (i.e. old!):

Several important properties that should be met by a jet definition are [3]:

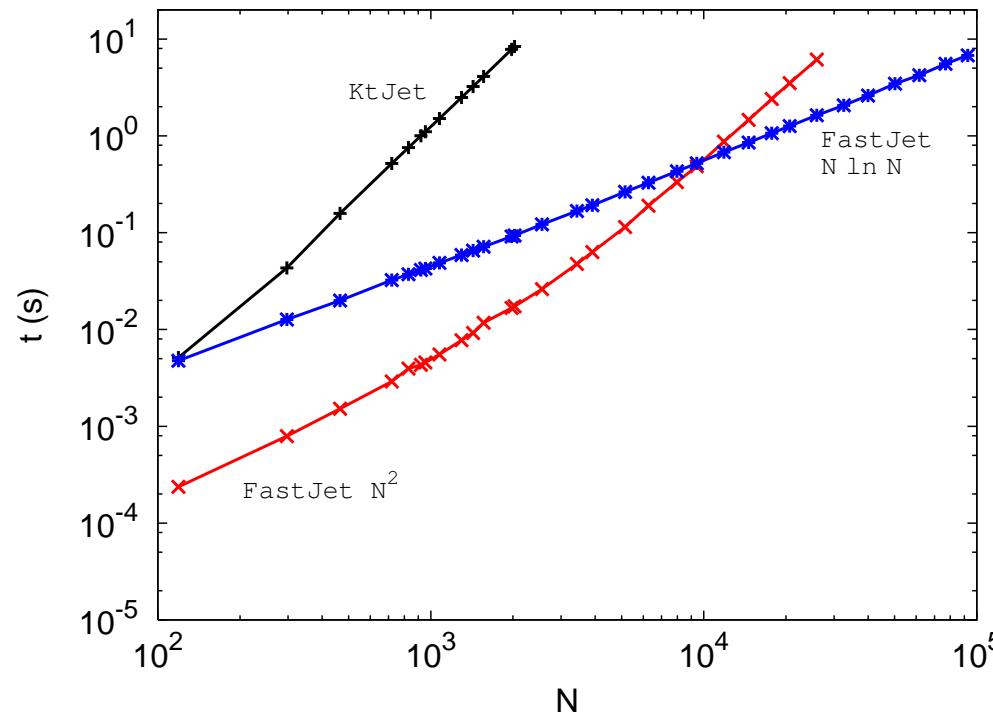
1. Simple to implement in an experimental analysis;
2. Simple to implement in the theoretical calculation;
3. Defined at any order of perturbation theory;
4. Yields finite cross section at any order of perturbation theory;
5. Yields a cross section that is relatively insensitive to hadronization.

i.e. usable by theoreticians (e.g. finite perturbative results)
and experimentalists (e.g. fast enough, not much UE sensitivity)

Speed improvement

[M. Cacciari, G. Salam, 06]

- Speeding up the k_t and Cam/Aachen algorithms using computational-geometry techniques: $\mathcal{O}(N^3) \rightarrow \mathcal{O}(N \log N)$



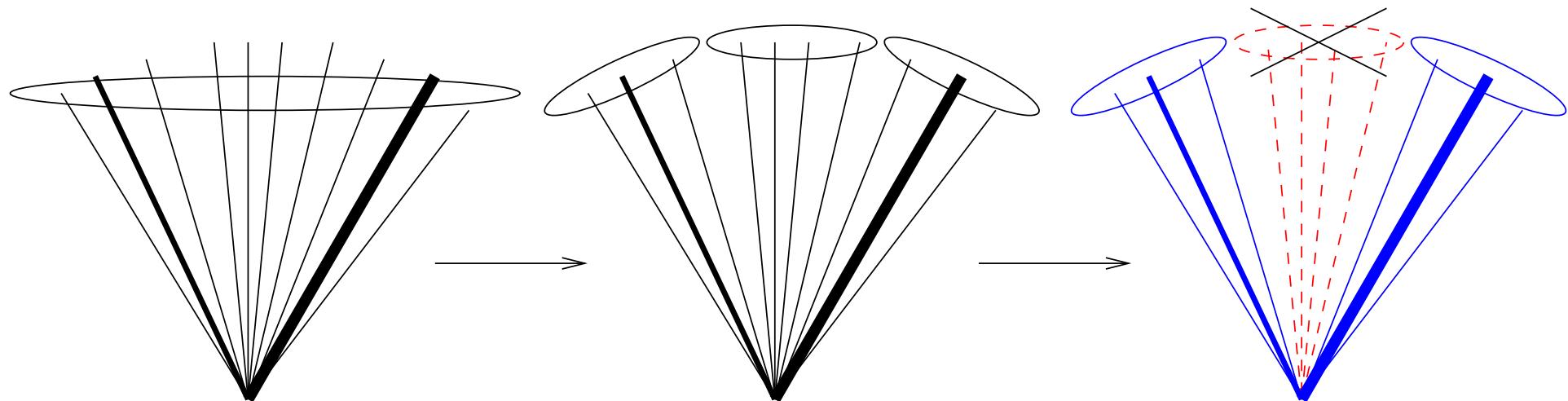
- C++ implementation in FastJet
<http://www.fastjet.fr> (M. Cacciari, G. Salam, G.S.)

Filtering using jet substructure

More refined clustering (“2nd generation of algorithms”)

Cambridge+Filtering algorithm:

- Cluster with Aachen/Cambridge and radius R
- For each jet, recluster it with Aachen/Cambridge and radius R_{sub}
keep only n_{sub} hardest sub-jets of the initial jet



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Aim: remove the soft background

Properties:

- Proven to improve jet reconstruction, in $H \rightarrow b\bar{b}$
[J.Butterworth, A.Davison, M.Rubin, G.Salam, 08]
- Additional parameters that deserve appropriate studies
- We will use the simplest choice: $R_{\text{sub}} = R/2$, $n_{\text{sub}} = 2$

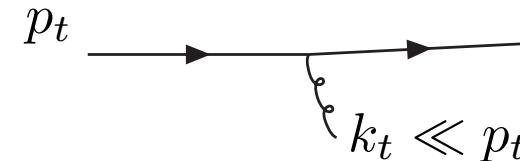
QCD probability for gluon bremsstrahlung at angle θ and \perp -mom. k_t :

$$dP \propto \alpha_s \frac{d\theta}{\theta} \frac{dk_t}{k_t}$$

Two divergences:



Collinear



Soft

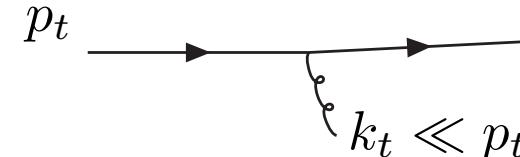
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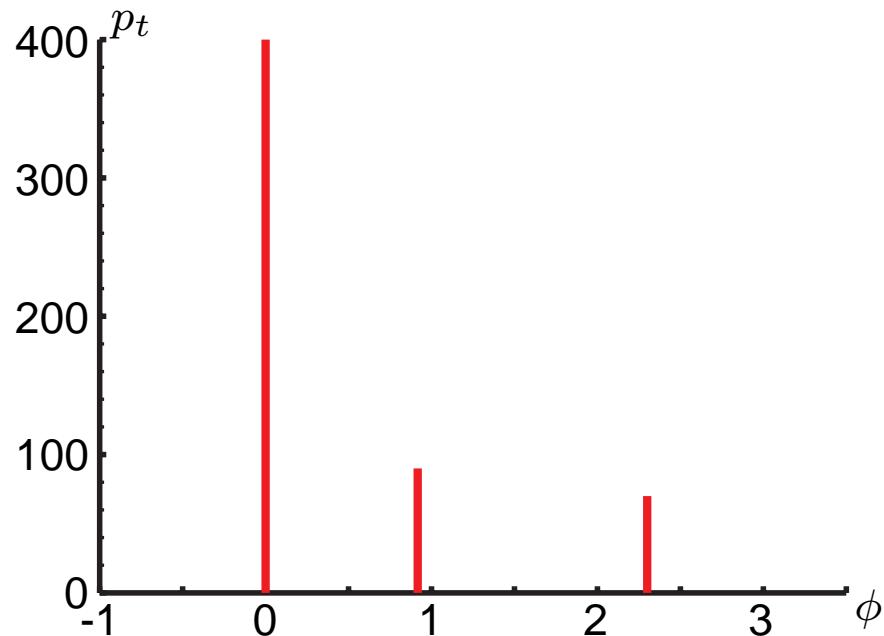


Soft

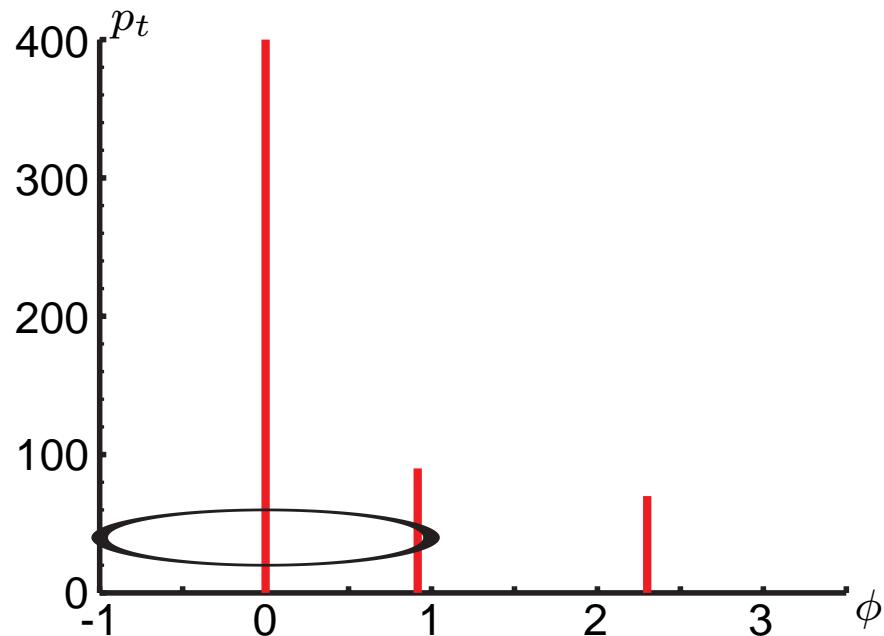
For pQCD to make sense, the (hard) jets should not change when

- one has a collinear splitting
i.e. replaces one parton by two at the same place (η, ϕ)
- one has a soft emission *i.e.* adds a very soft gluon

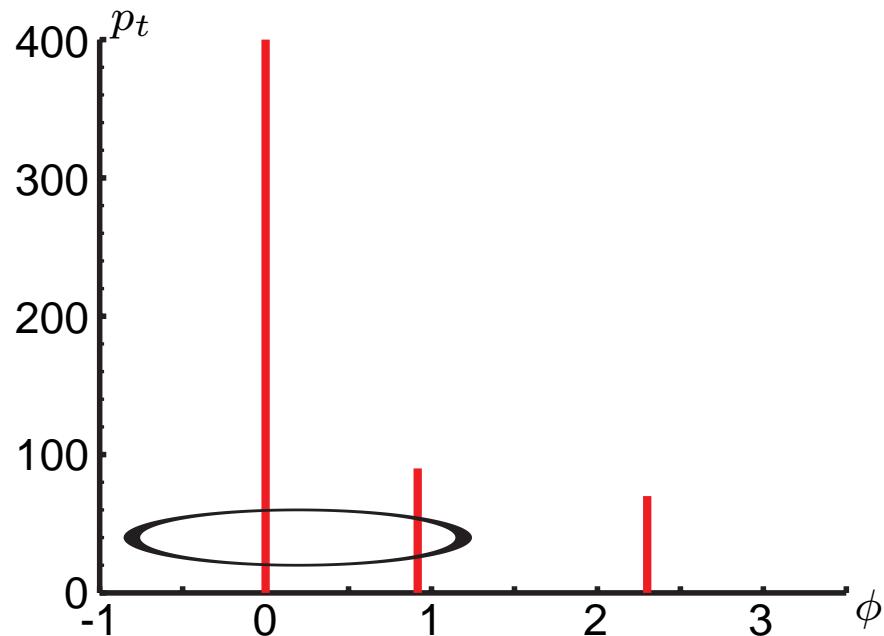
IR unsafety of the Midpoint alg



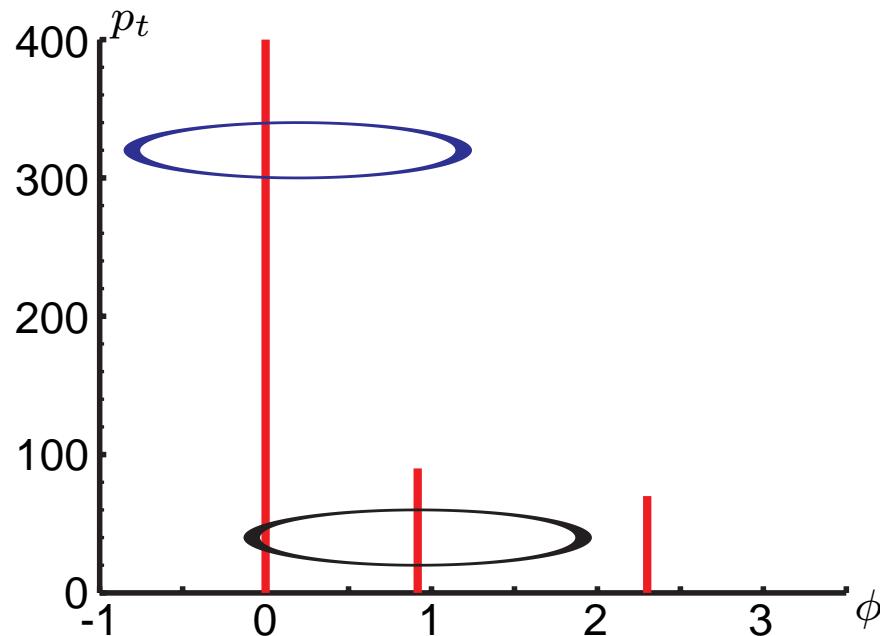
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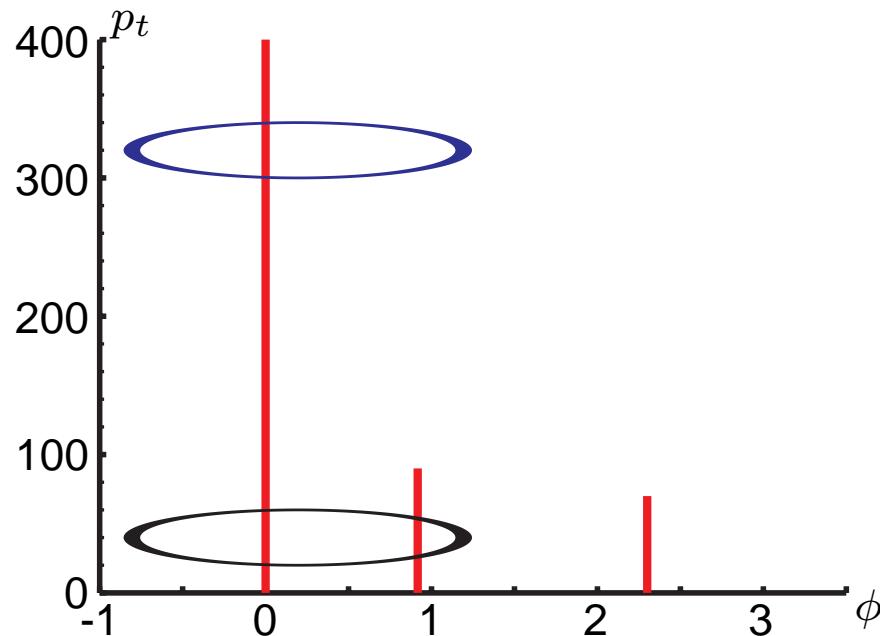
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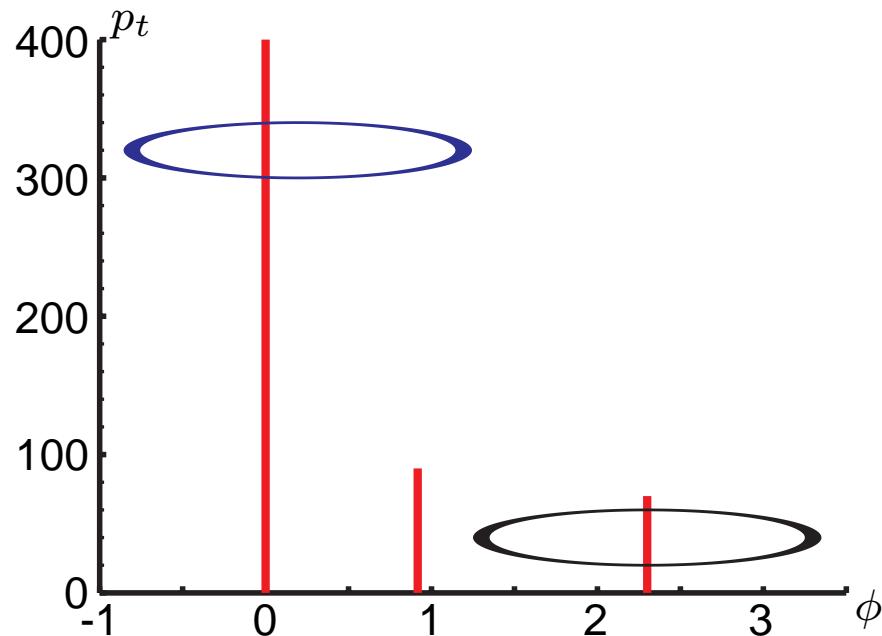
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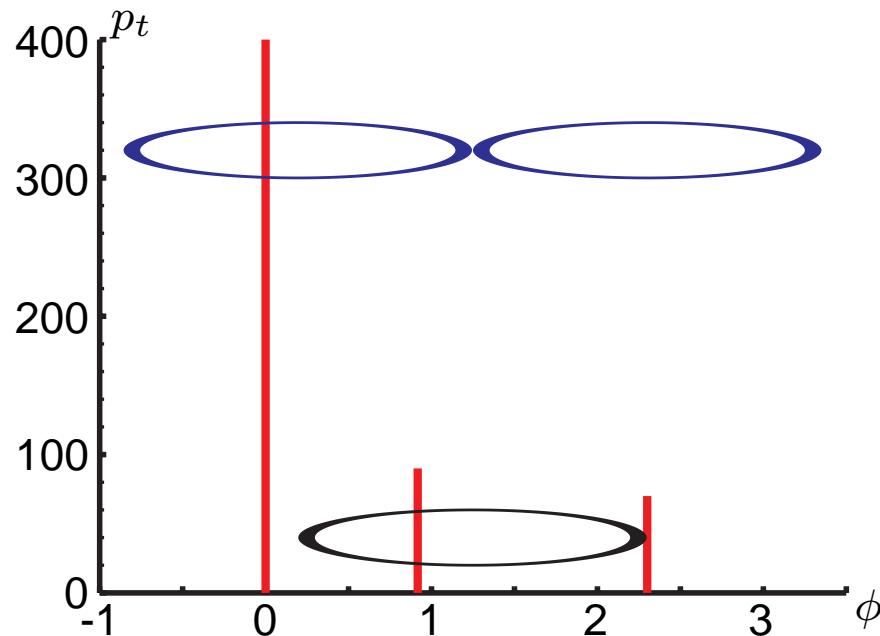
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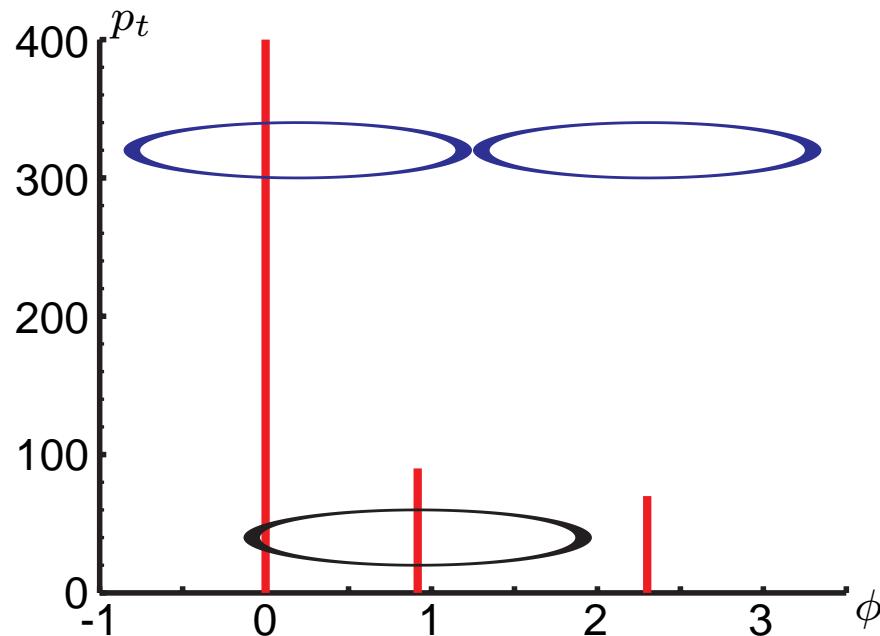
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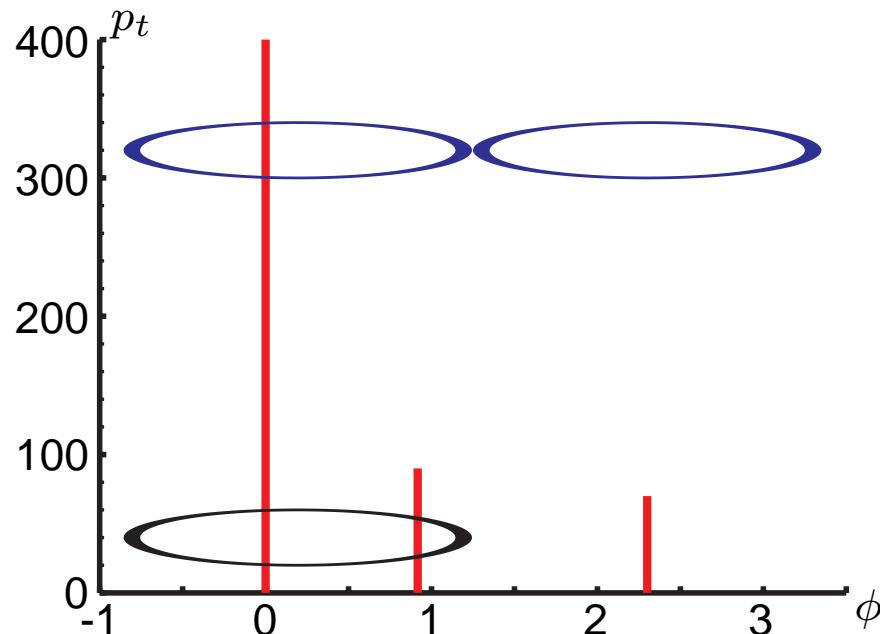
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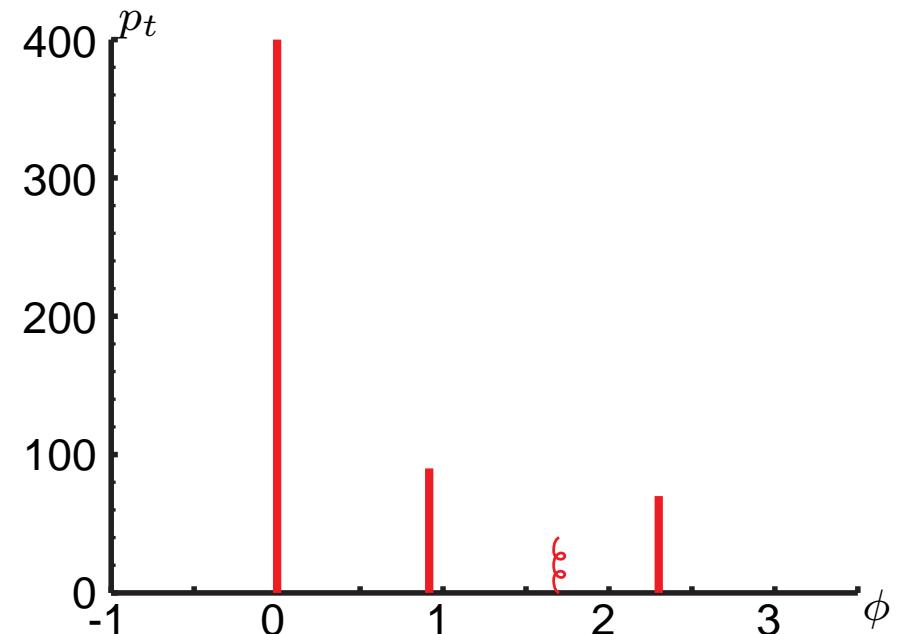
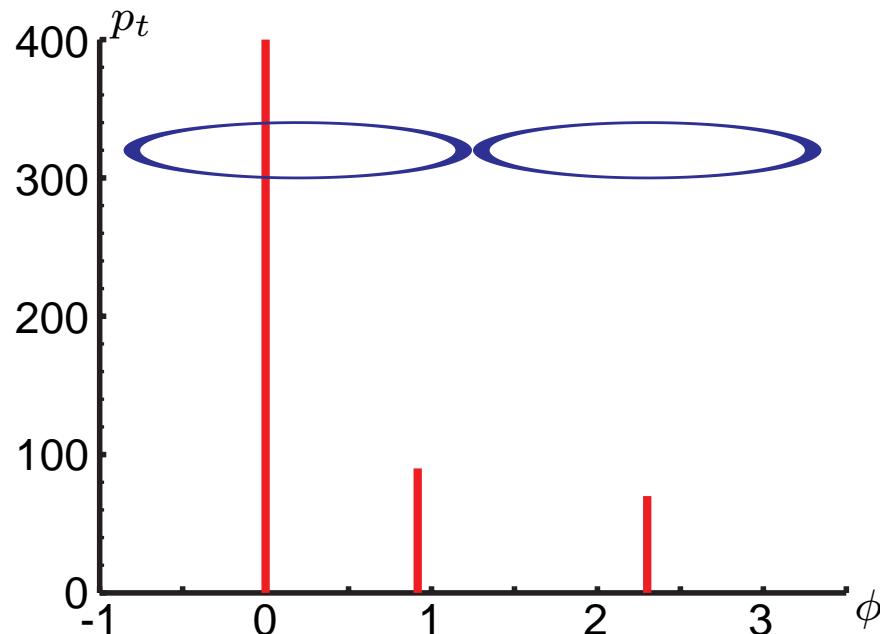
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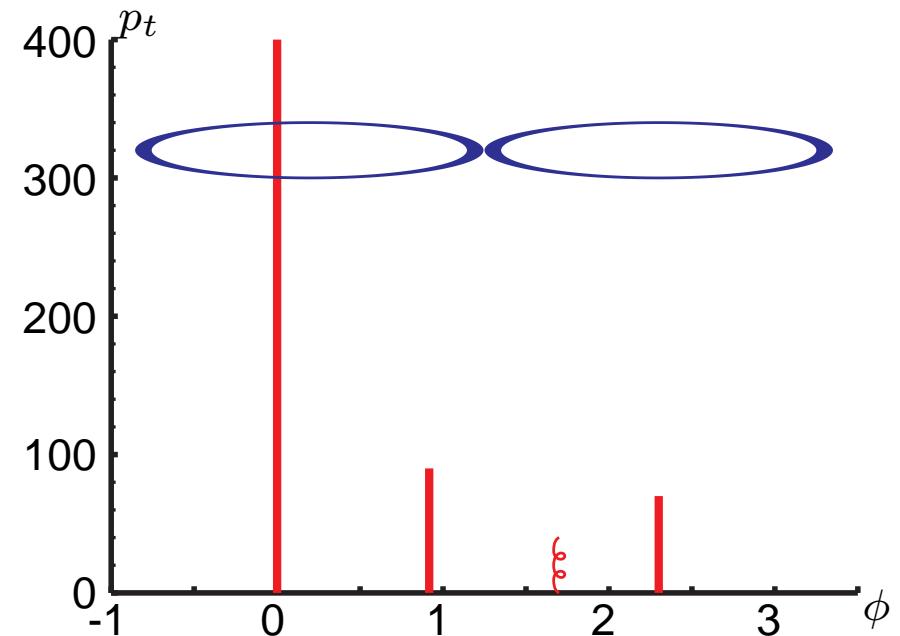
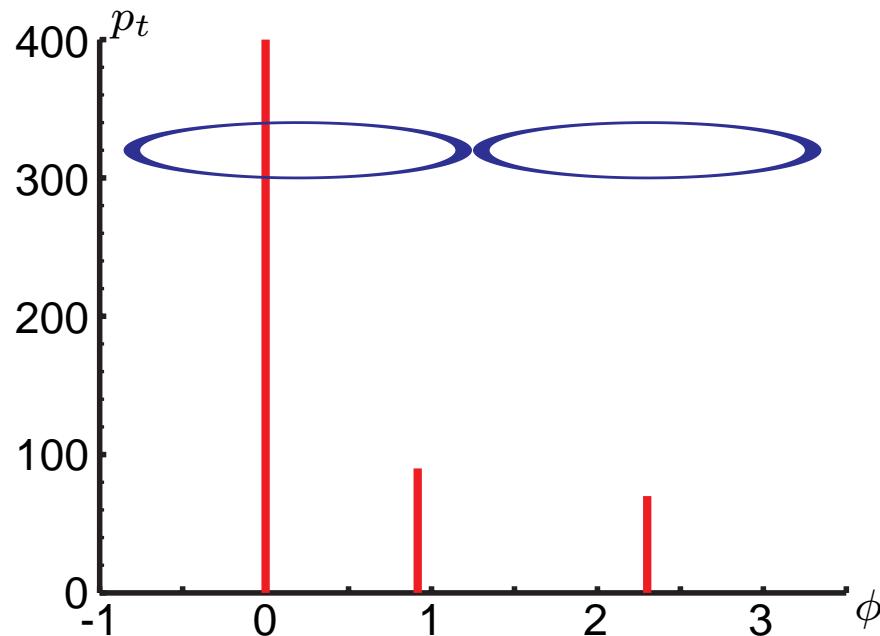
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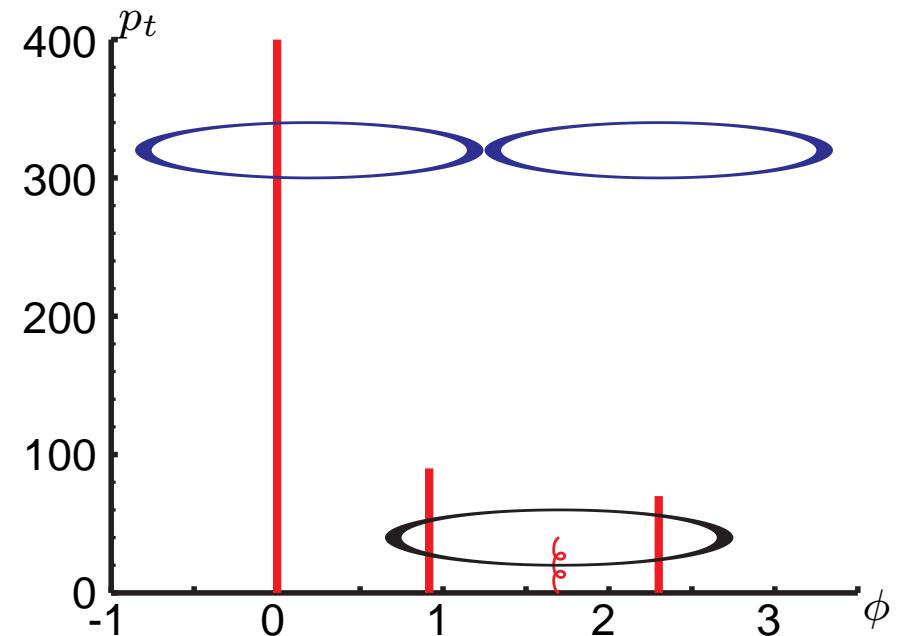
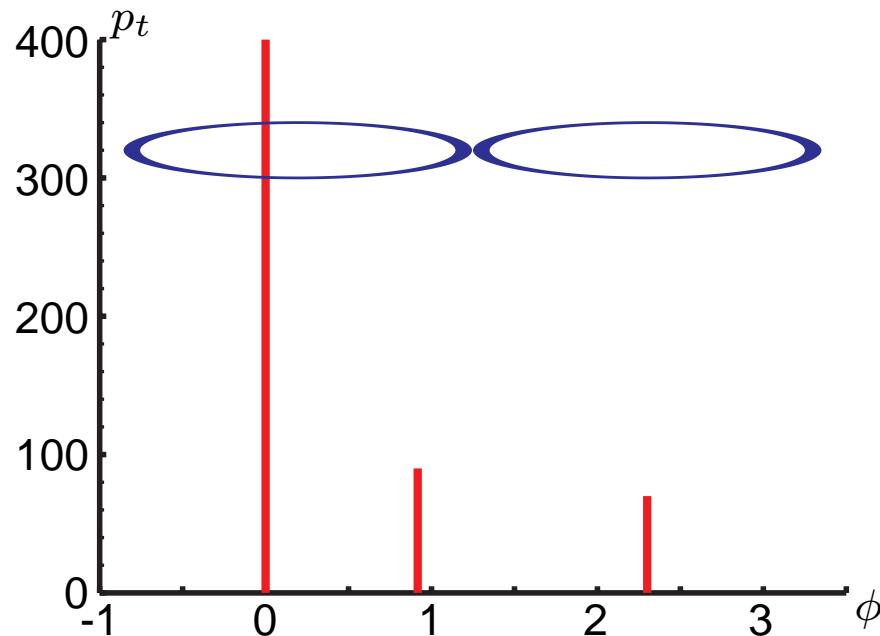
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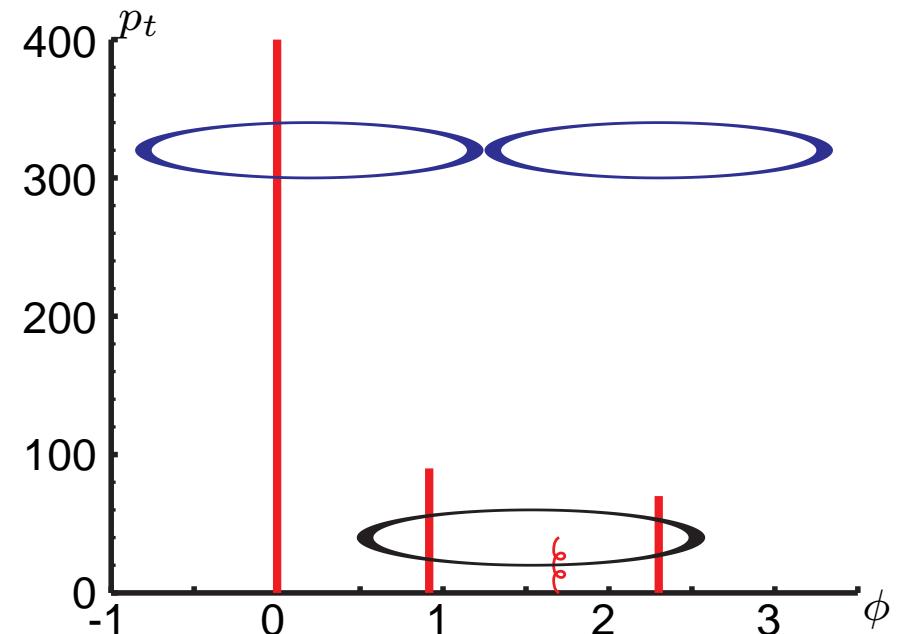
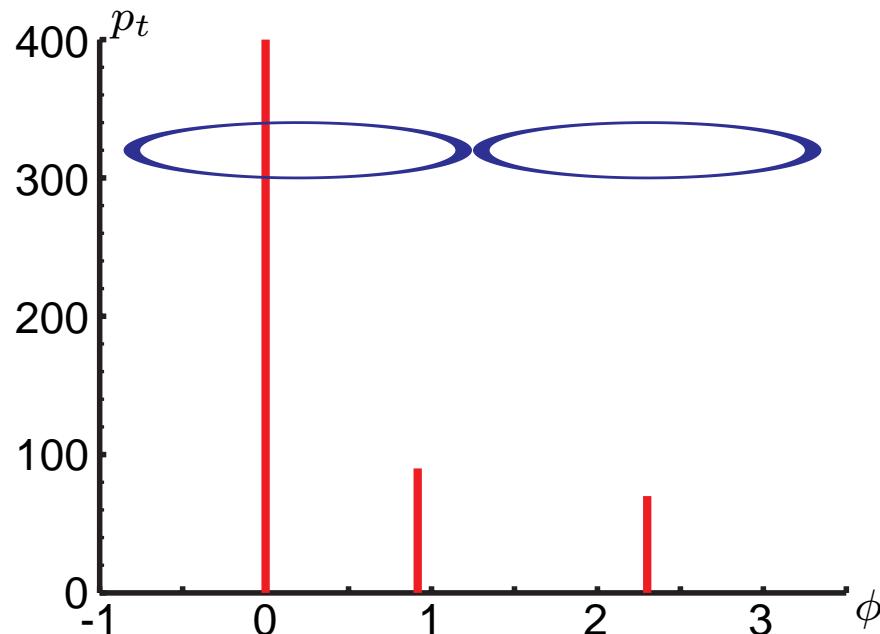
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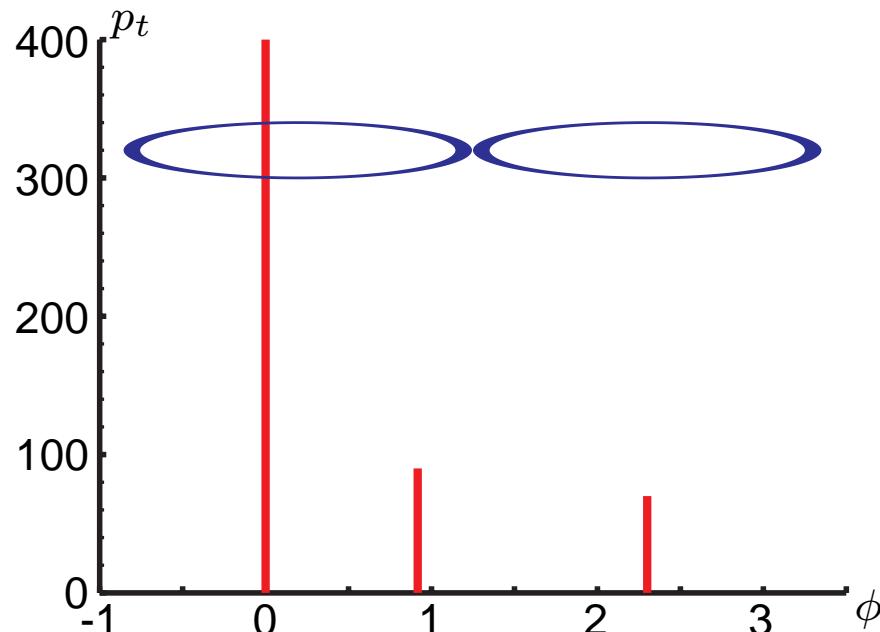
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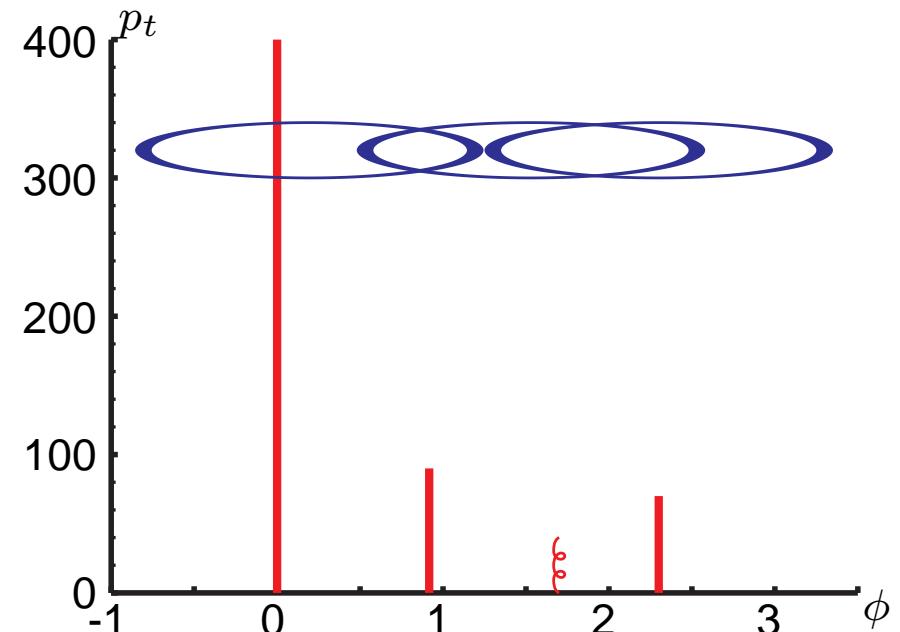
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Stable cones:

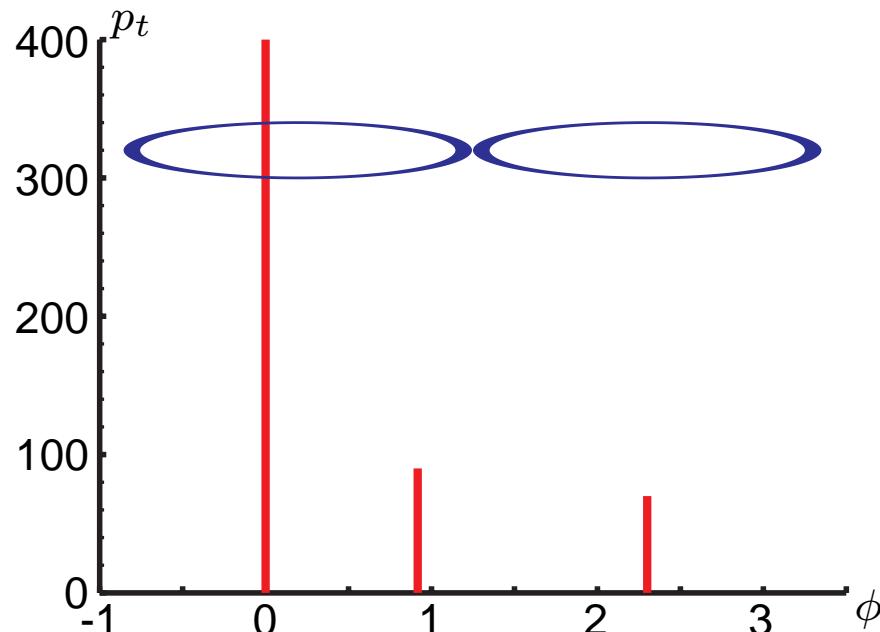
Midpoint:

$\{1,2\}$ & $\{3\}$



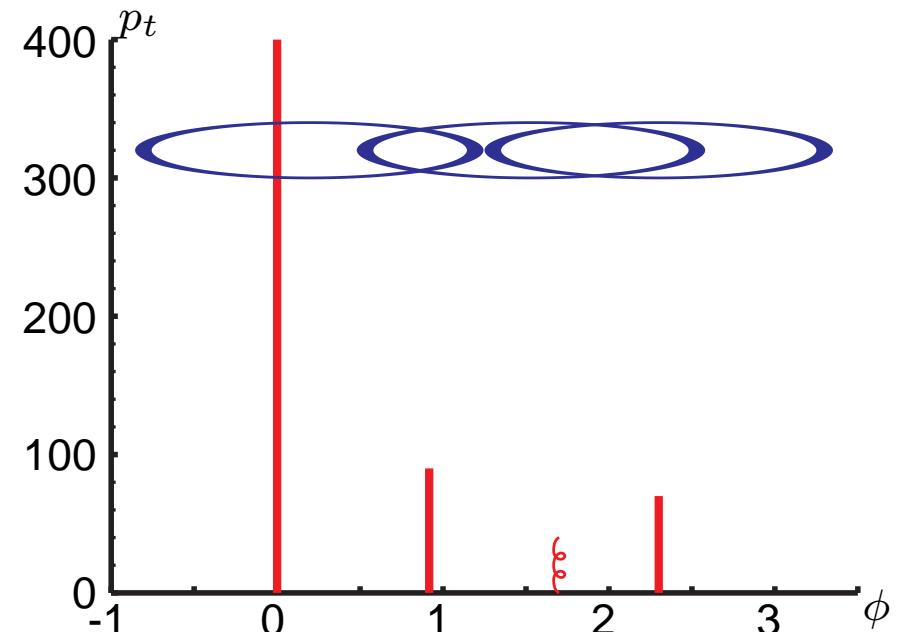
$\{1,2\}$ & $\{3\}$ & $\{2,3\}$

IR unsafety of the Midpoint alg



Stable cones:

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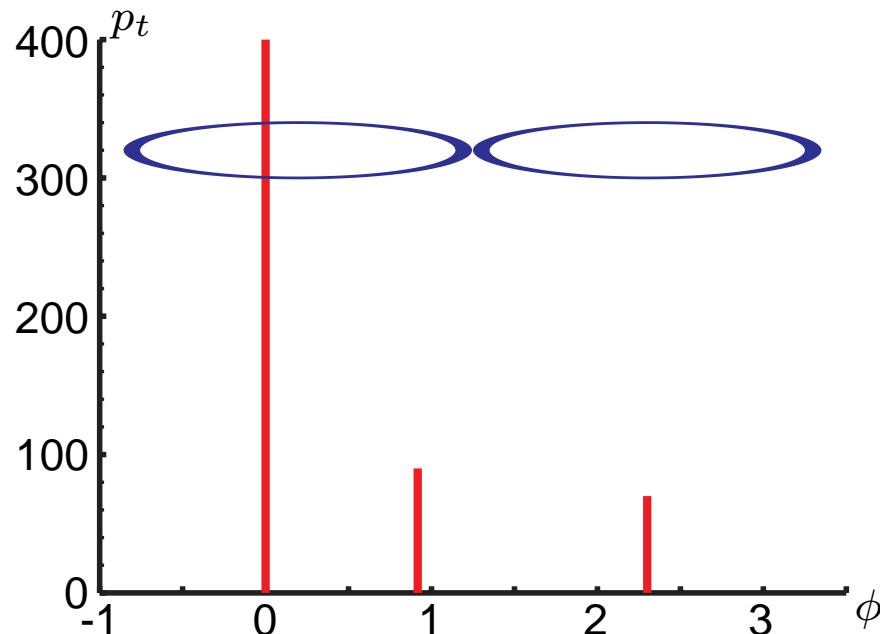
Jets: ($f = 0.5$)

Midpoint: $\{1,2\} \text{ & } \{3\}$

$\{1,2\} \text{ & } \{3\} \text{ & } \{2,3\}$

$\{1,2,3\}$

IR unsafety of the Midpoint alg



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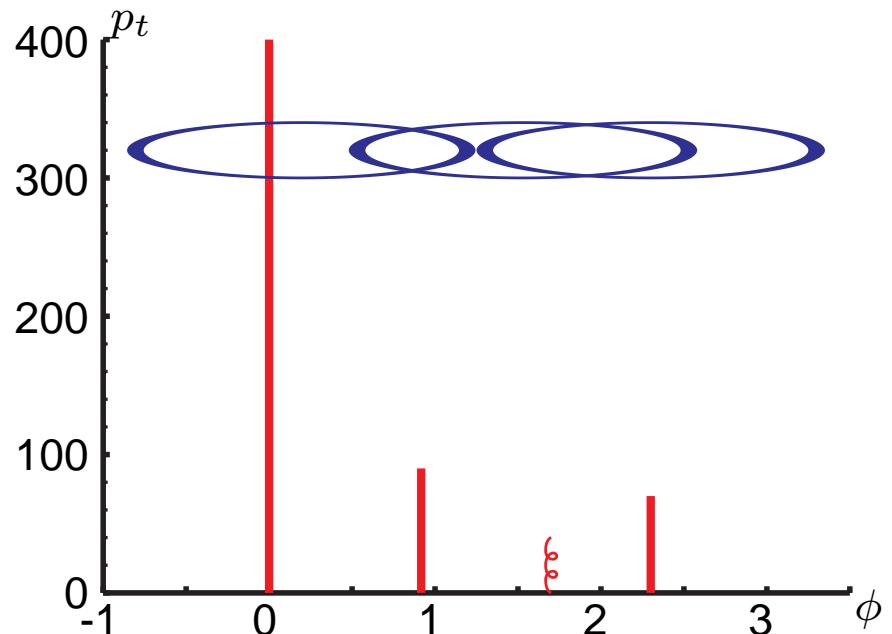
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Stable cone missed → IR unsafety of the midpoint algorithm

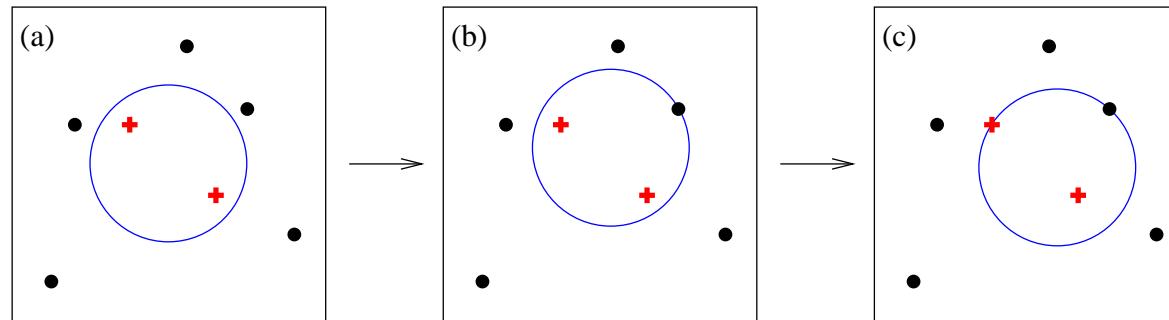
- Solution: use a seedless approach, find **ALL** stable cones
- Naive approach: check stability of each subset of particle

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- Naive approach: check stability of each subset of particle
Complexity is $\mathcal{O}(N2^N)$
⇒ definitely unrealistic: 10^{17} years for $N = 100$
- Midpoint complexity: $\mathcal{O}(N^3)$

Solution: SISConE

- Solution: use a seedless approach, find **ALL** stable cones
- Midpoint complexity: $\mathcal{O}(N^3)$

Idea: use geometric arguments



- Each enclosure can be moved (in any direction) until it touches a point
- ... then rotated until it touches a second one

⇒ Enumerate all pairs of particles
with 2 circle orientations and 4 possible inclusion/exclusion
→ find all enclosures

Solution: SISConE

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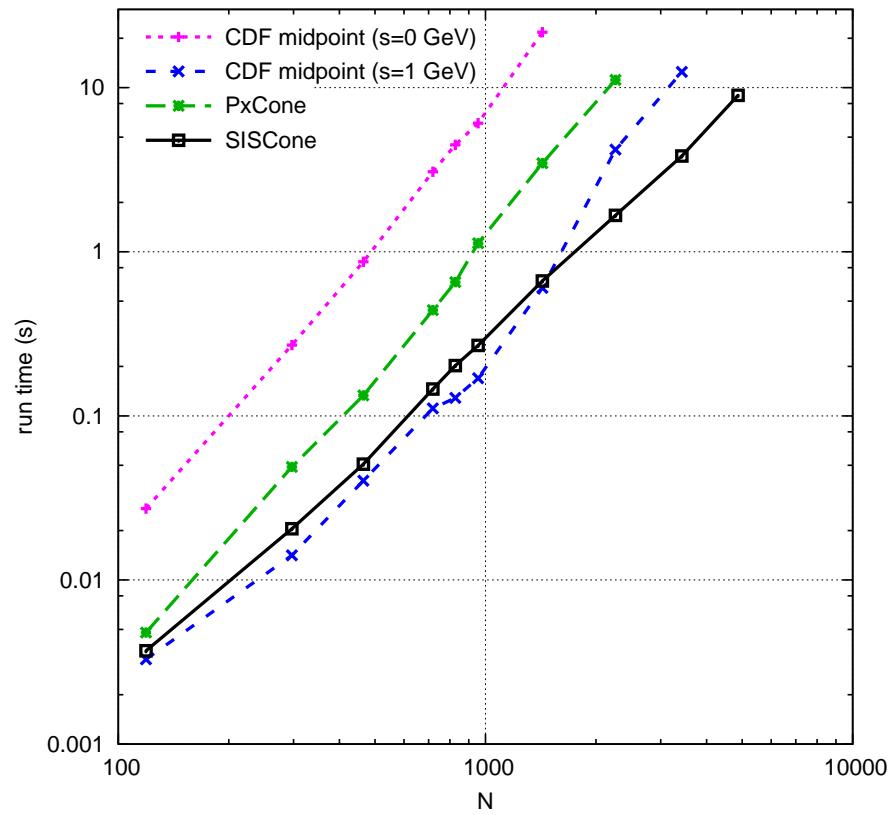
- Complexity: $\mathcal{O}(N^3)$, with improvements: $\mathcal{O}(N^2 \log(N))$

→ C++ implementation: Seedless Infrared-Safe Cone algorithm (SISConE)
G.Salam, G.S., JHEP 04 (2007) 086; <http://projects.hepforge.org/siscone>

NB.: also available from FastJet

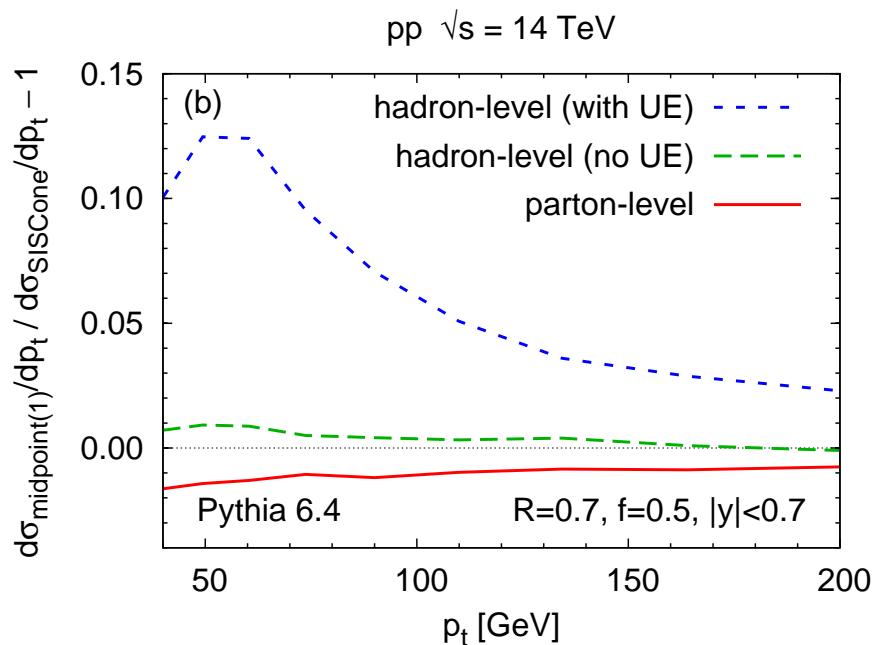
Physical impact

Execution timings:



- faster than midpoint without seed threshold
- at least as fast as as midpoint with seed threshols

(Midpoint-SIScone)/SIScone

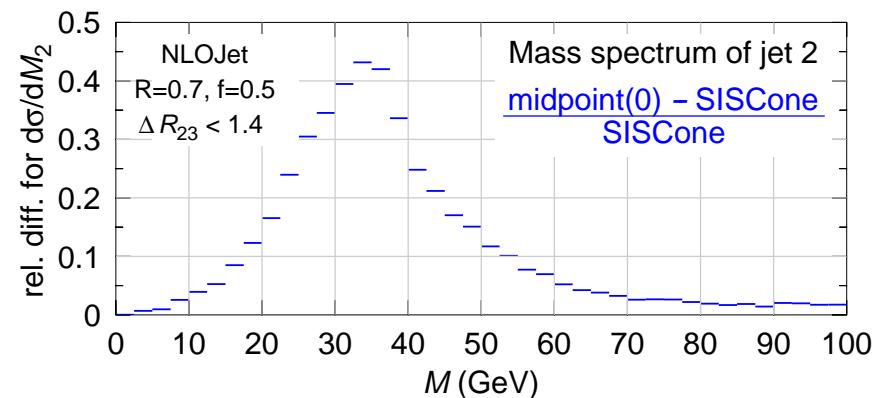
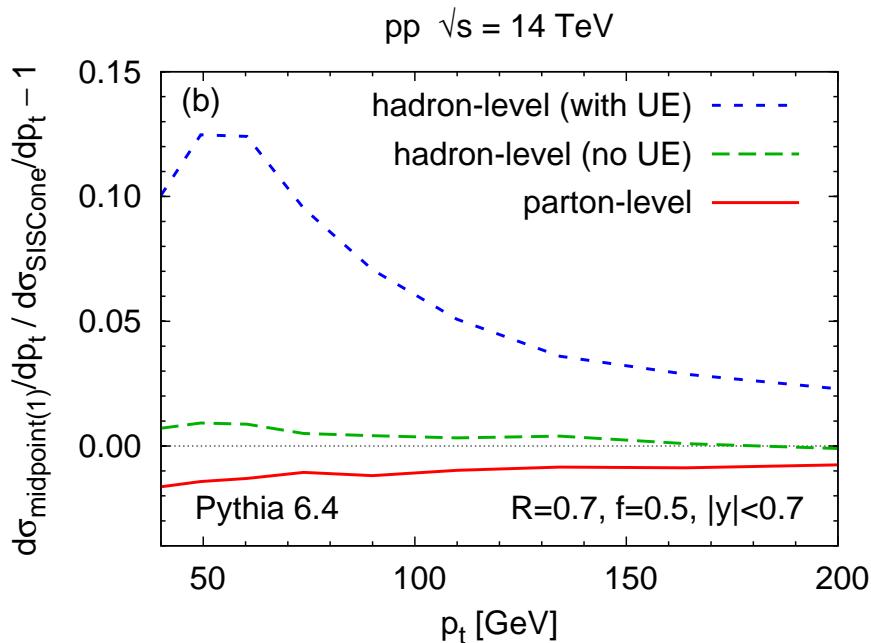


Inclusive cross-section:

- effect of a few %
- less UE sensitivity

Physical impact (2)

(Midpoint-SIScone)/SIScone



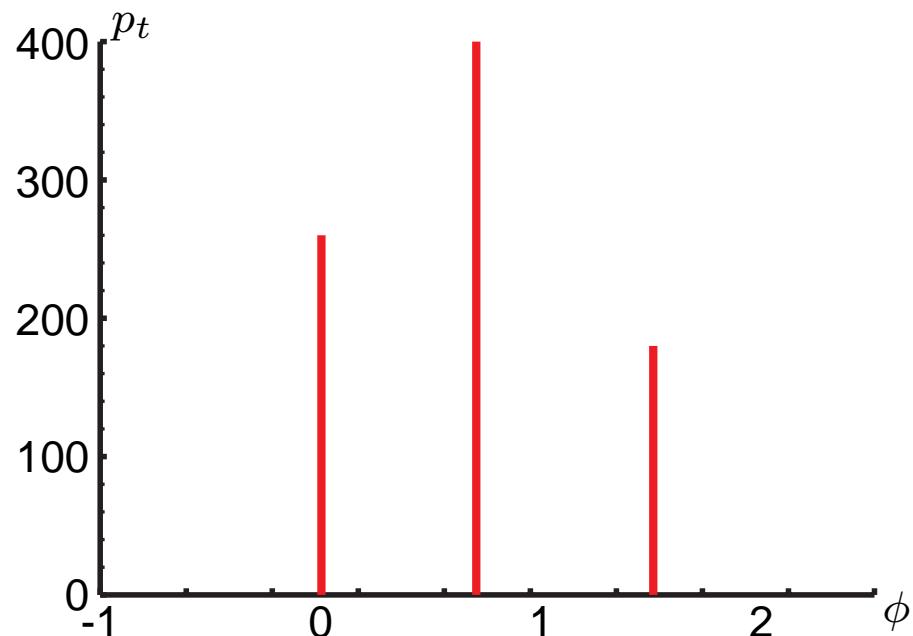
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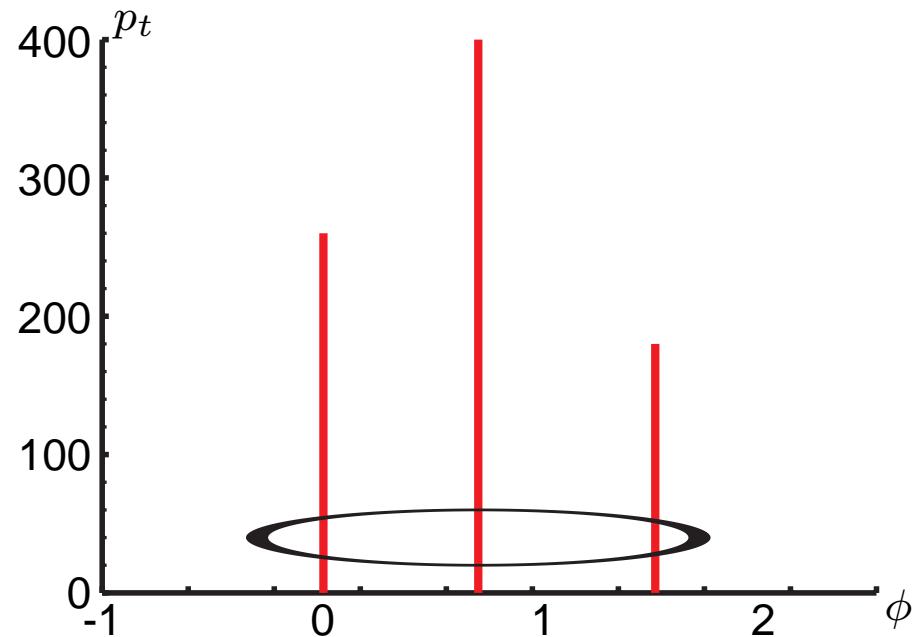
Masses in 3-jet events:

- effects $\sim 45\%$
- Important for LHC!

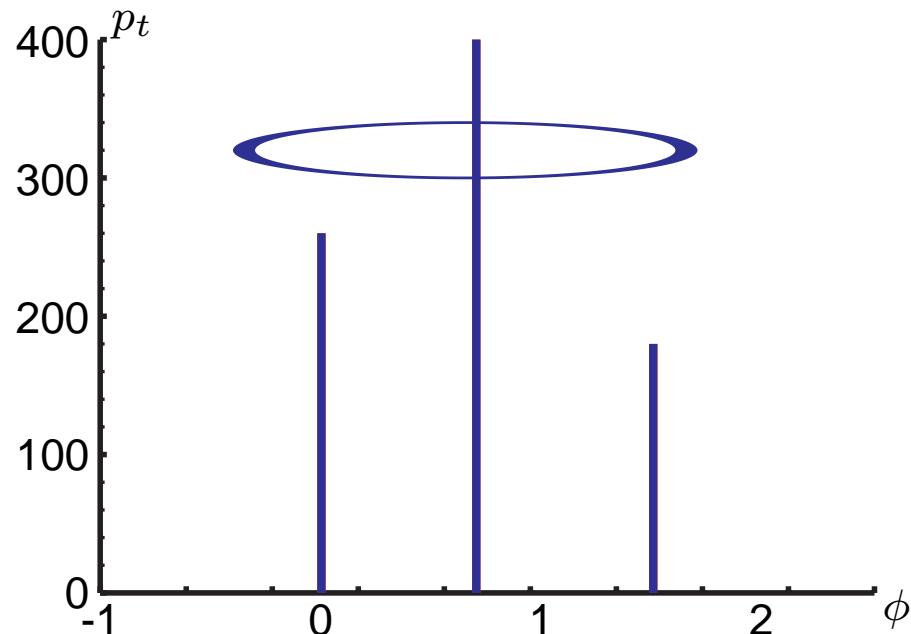
Coll. unsafety of the iterative cone



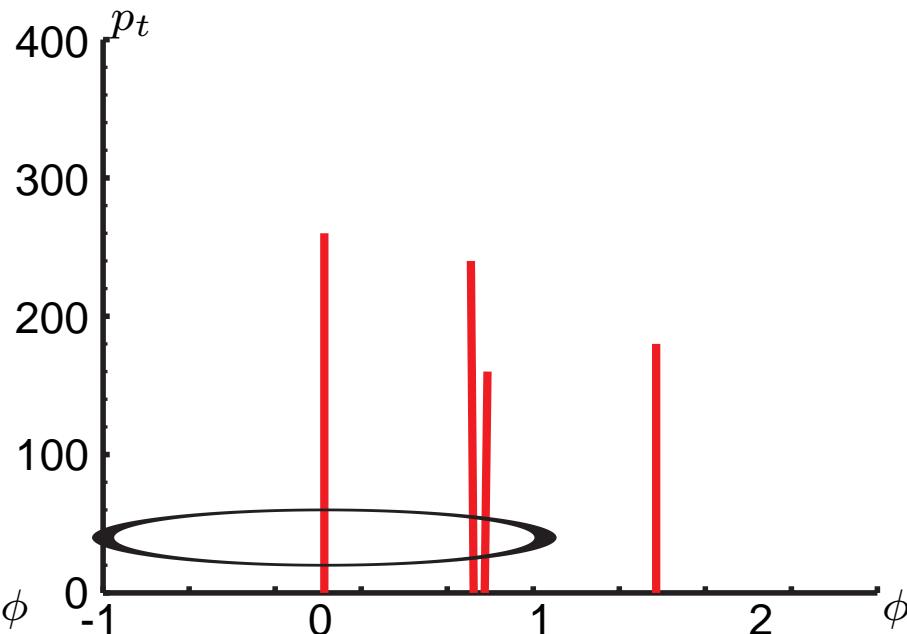
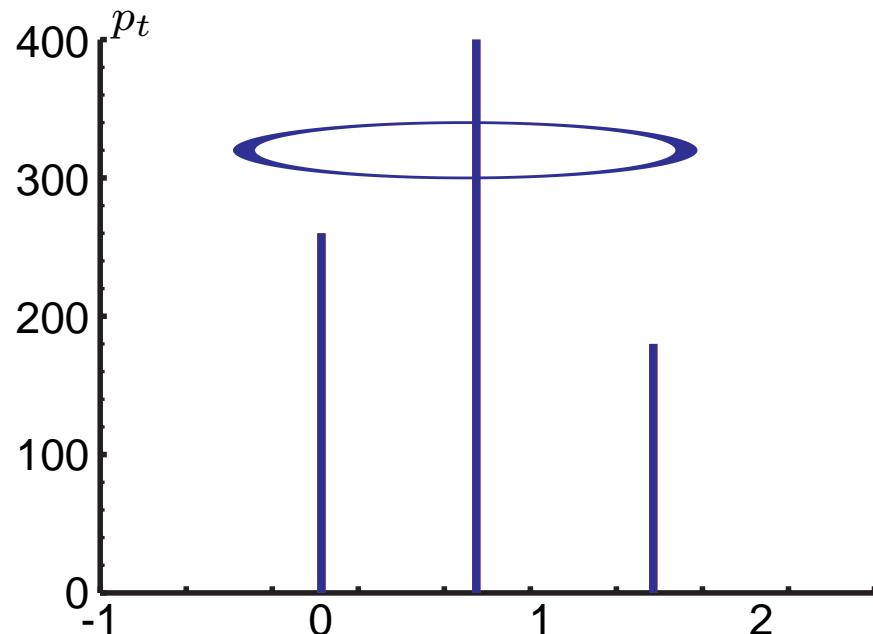
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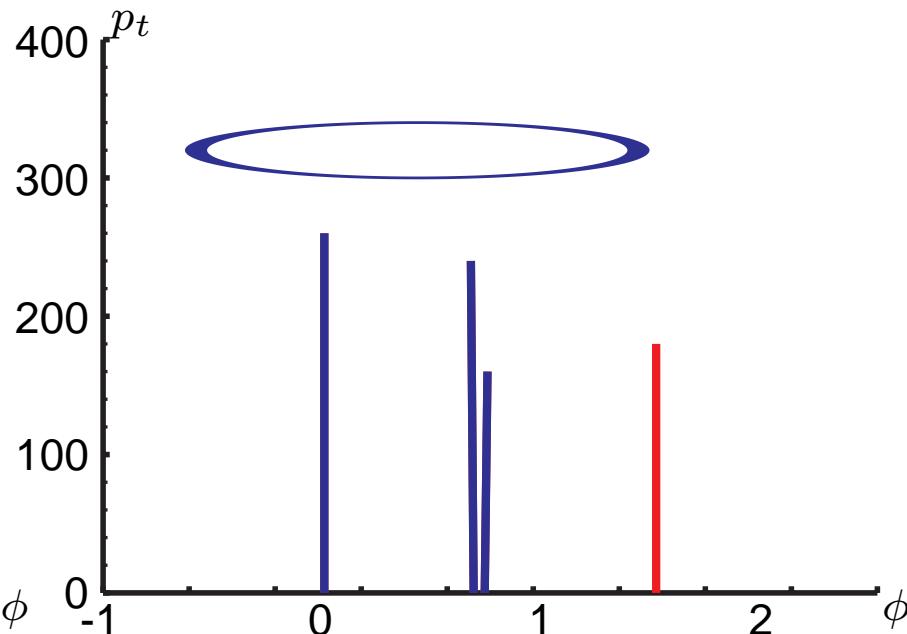
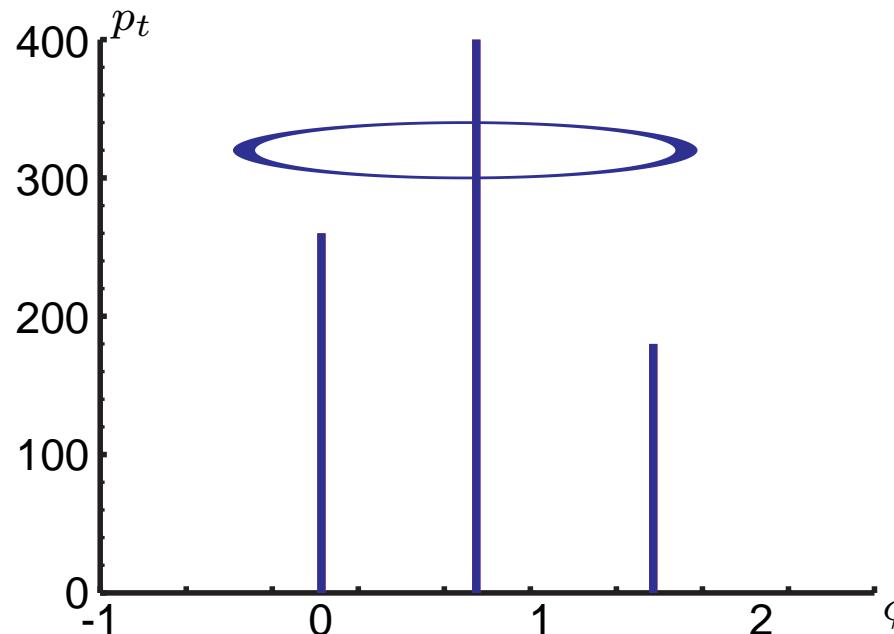
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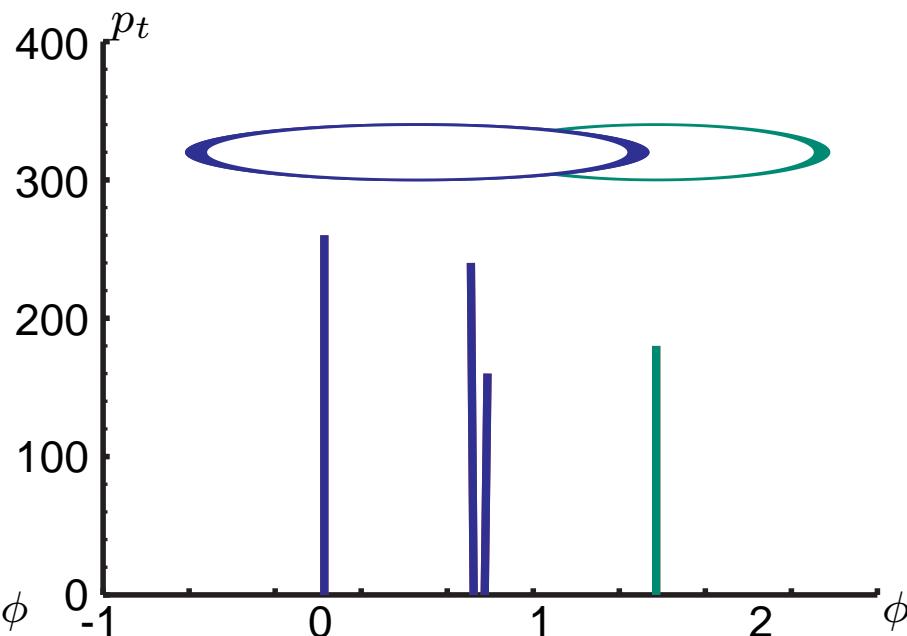
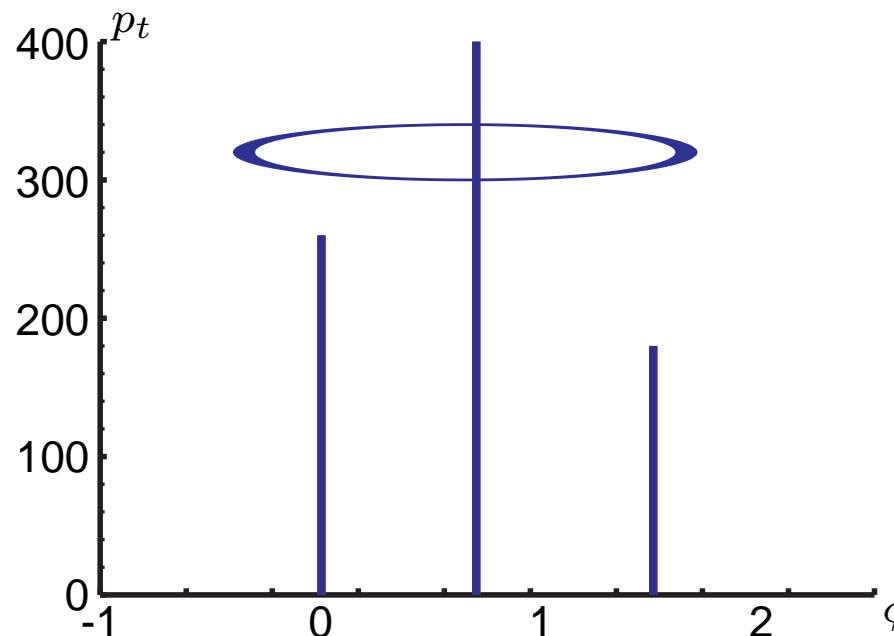
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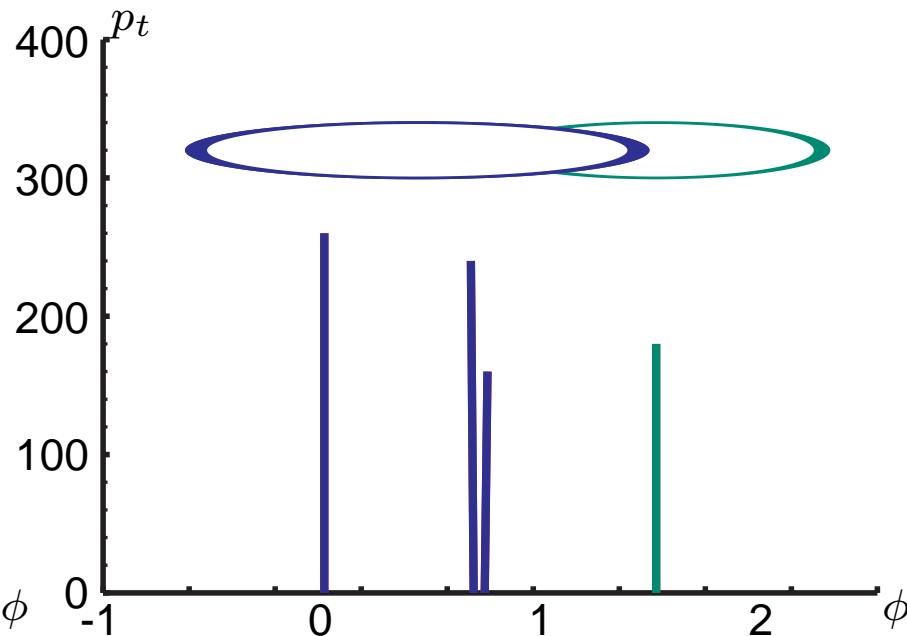
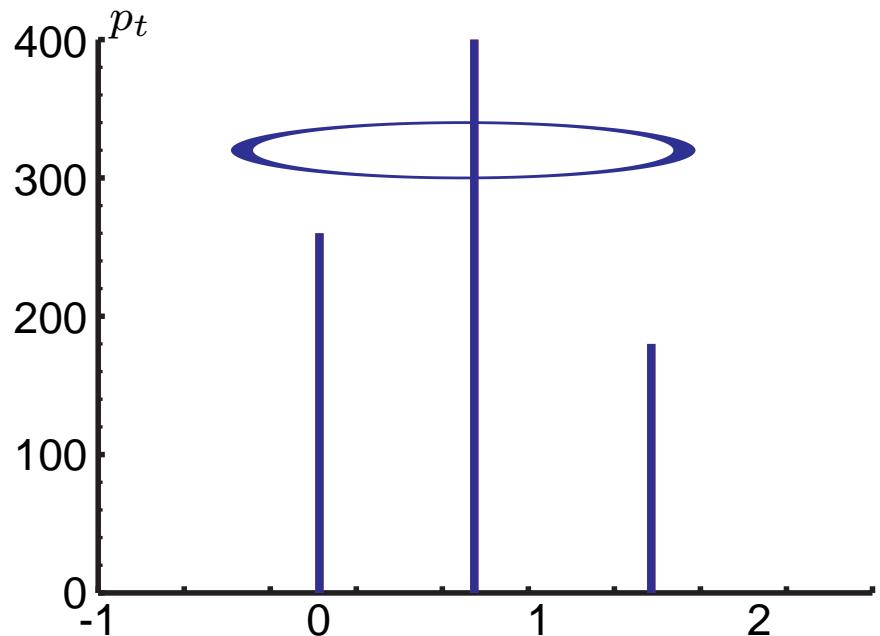
Coll. unsafety of the iterative cone



Coll. unsafety of the iterative cone



Coll. unsafety of the iterative cone



- Before collinear splitting: 1 jet
- After collinear splitting: 2 jets

→ **collinear unsafety of the iterative cone algorithm**

Come back to recombination-type algorithms:

$$d_{ij} = \min(k_{t,i}^{2p}, k_{t,j}^{2p}) (\Delta\phi_{ij}^2 + \Delta\eta_{ij}^2)$$

- $p = 1$: k_t algorithm
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- $p = -1$: anti- k_t algorithm [M.Cacciari, G.Salam, G.S., JHEP 04 (08) 063]

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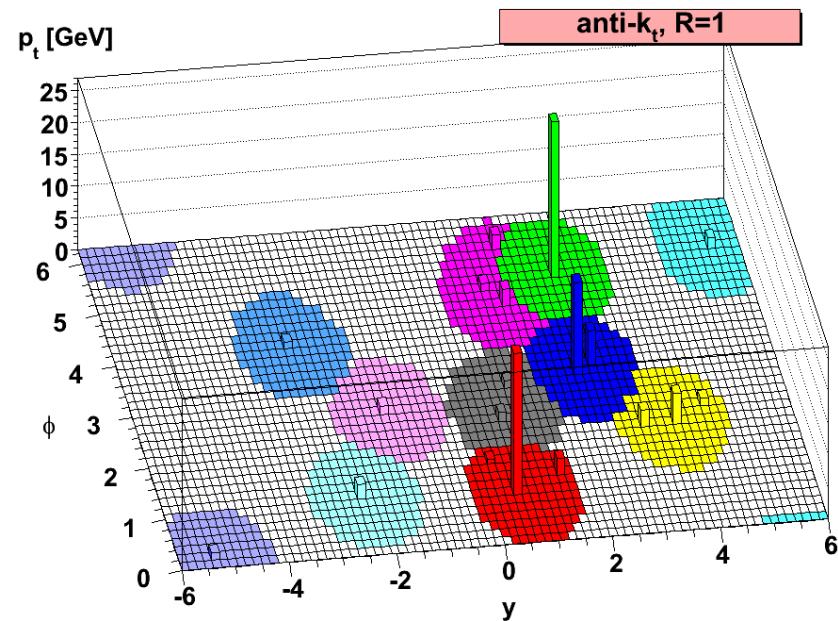
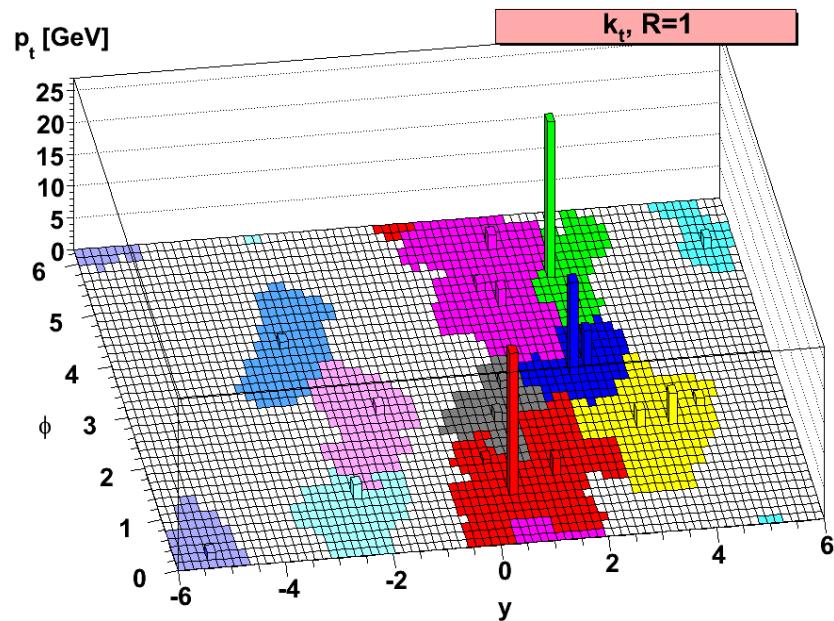
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- $p = 0$: Aachen/Cambridge algorithm
- $p = -1$: anti- k_t algorithm [M.Cacciari, G.Salam, G.S., JHEP 04 (08) 063]

Why should that be related to the iterative cone ?!?

- “large $k_t \Rightarrow$ small distance”
 - i.e. hard partons “eat” everything up to a distance R
 - i.e. circular/regular jets, jet borders unmodified by soft radiation
- infrared and collinear safe

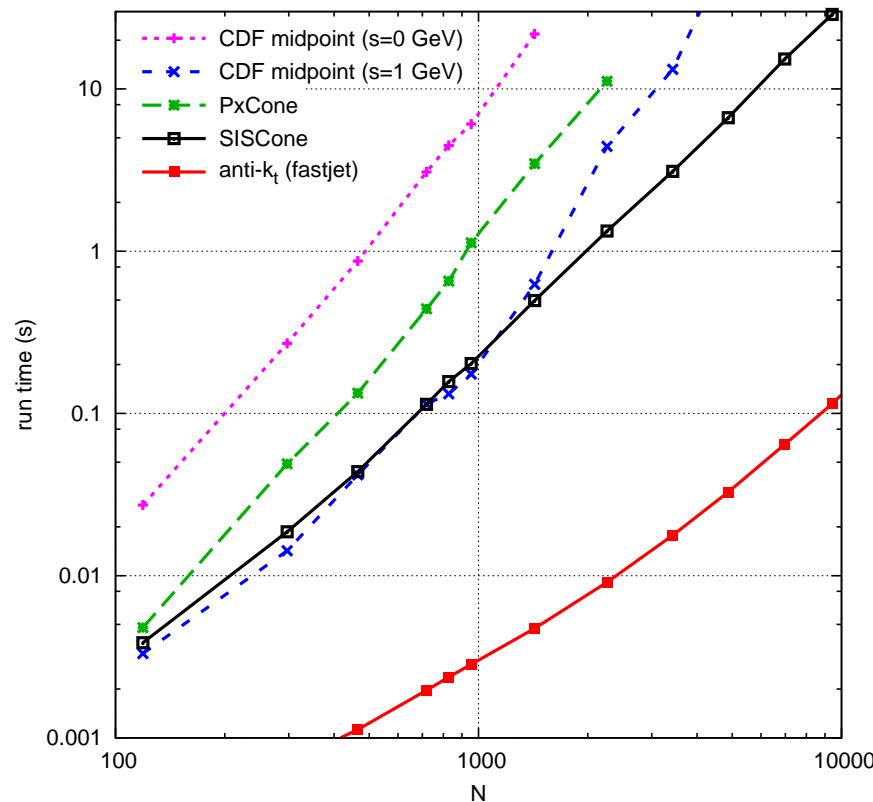
Hard event + homogeneous soft background



anti- k_t is soft-resilient

more later in this talk...

Execution timings:



As fast as the (fast) k_t ([M. Cacciari, G. Salam, 06])

Recombination:

- k_t algorithm
- Cambridge/Aachen alg.
- anti- k_t algorithm

4 available
safe algorithms

All accessible from FastJet

Cone:

- CDF JetClu
- CDF MidPoint
- D0 (run II) Cone
- PxCone
- ATLAS Cone
- CMS Iterative Cone
- PyCell/CellJet
- GetJet
- SIScone

Part 2
Jets in pp collisions
(a) Choosing the adapted jet definition

[M.Cacciari,J.Rojo,G.Salam,G.S., arXiv:0810.1304]

Sample processes to study

We analyse 3 processes typical of kinematic reconstructions:

- $Z' \rightarrow q\bar{q} \rightarrow 2 \text{ jets}$ and $H \rightarrow gg \rightarrow 2 \text{ jets}$:

simple environment: identify 2 jets and reconstruct $M_{Z',H}$

source of monochromatic quark/gluon jets

scale dependence: mass of the Z'/H varied between 100 GeV and 4 TeV

fictitious narrow Z', H

- $t\bar{t} \rightarrow W^+ b W^- \bar{b} \rightarrow q\bar{q} b\bar{q}\bar{q}\bar{b} \rightarrow 6 \text{ jets}$:

complex environment: identify 6 jets and reconstruct 2 top

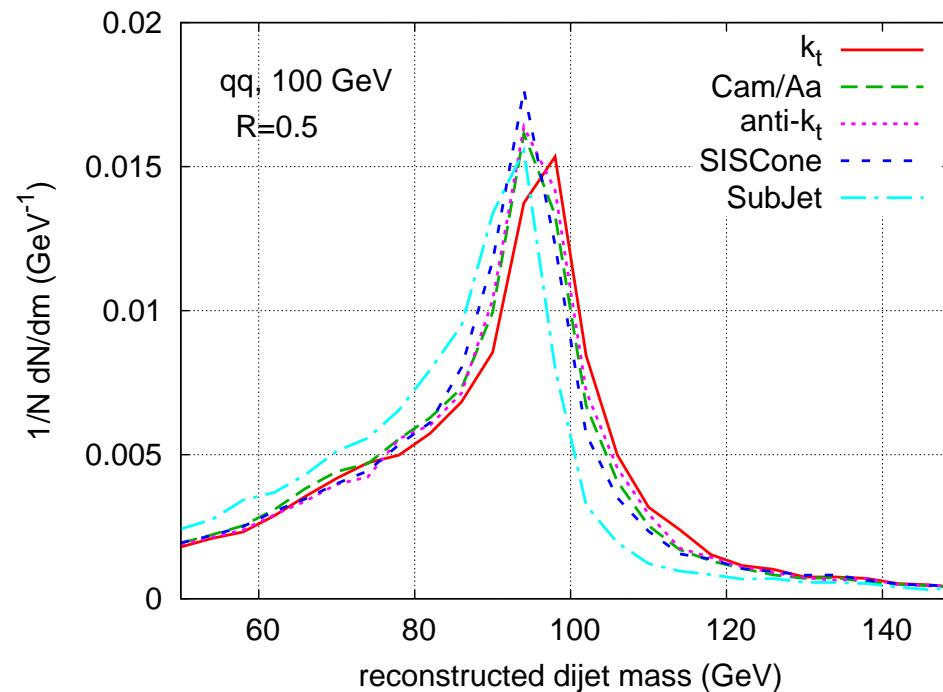
balance between reconstruction efficiency and identification

with

- the 5 IRC-safe algorithms: k_t , Cambridge, anti- k_t , SISCone, Cam+filtering
- jet radius varied between 0.1 and 1.5

Histograms

- We reconstruct histograms



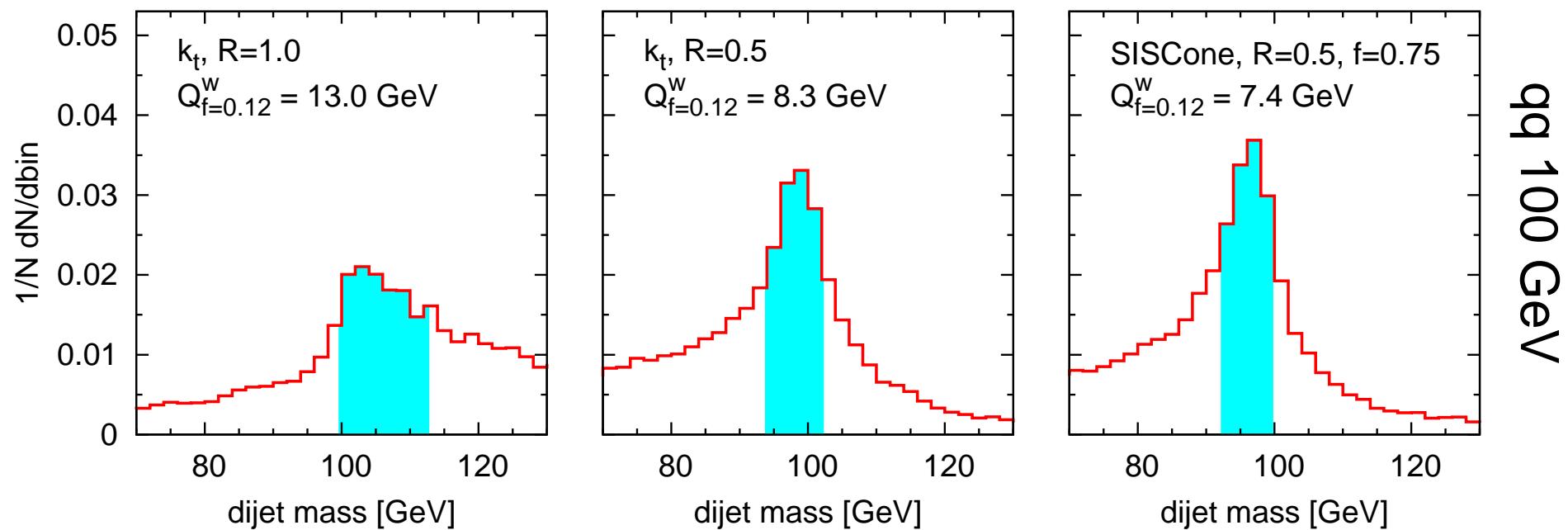
- How can we quantify the reconstruction efficiency?

Measure of the jet reconstruction efficiency

- Forget about measures related to parton-jet matching,
→ use the reconstructed mass peak
 - Forget about fits depending on the shape of the peak
- ⇒ maximise the signal over background ratio (S/\sqrt{B}):

figure of merit for quality measure

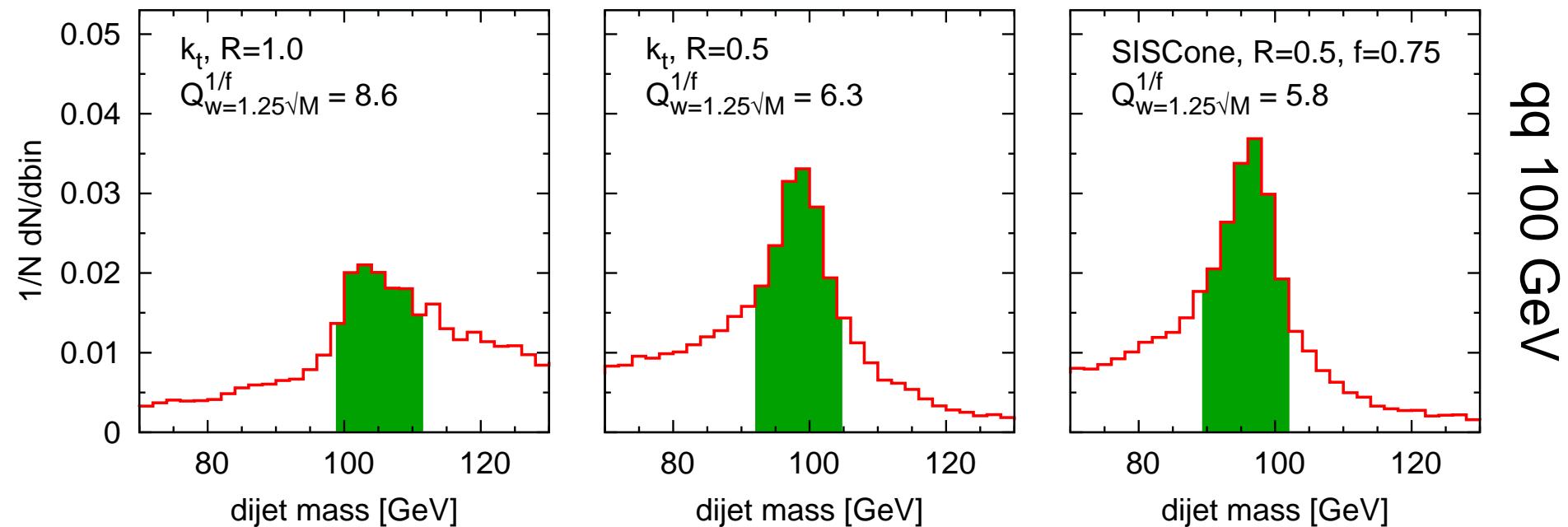
$Q_{f=z}^w(JA, R) = \text{minimal width of a window containing}$
 $a \text{ fraction } f = z \text{ of the events}$



Fixed signal, minimal width(background)

figure of merit for quality measure

$Q_f^{w=x\sqrt{M}}(JA, R) = (1/\text{maximal number of events})$
in a window of width $x\sqrt{M}$



Maximal signal, fixed width(background)

figure of merit for quality measure



- it intuitively does what it should

figure of merit for quality measure

- it intuitively does what it should
- relates to a signal significance (assuming constant background)

$$\frac{\Sigma(\text{JD}_1)}{\Sigma(\text{JD}_2)} = \left[\frac{N_{\text{signal}}}{\sqrt{N_{\text{bkg}}}} \right]_{\text{JD}} = \sqrt{\frac{Q_{f=z}^w(\text{JD}_2)}{Q_{f=z}^w(\text{JD}_1)}} = \frac{Q_f^{w=x\sqrt{M}}(\text{JD}_2)}{Q_f^{w=x\sqrt{M}}(\text{JD}_1)}$$

minmimal $Q \equiv$ better signal-to-background ratio

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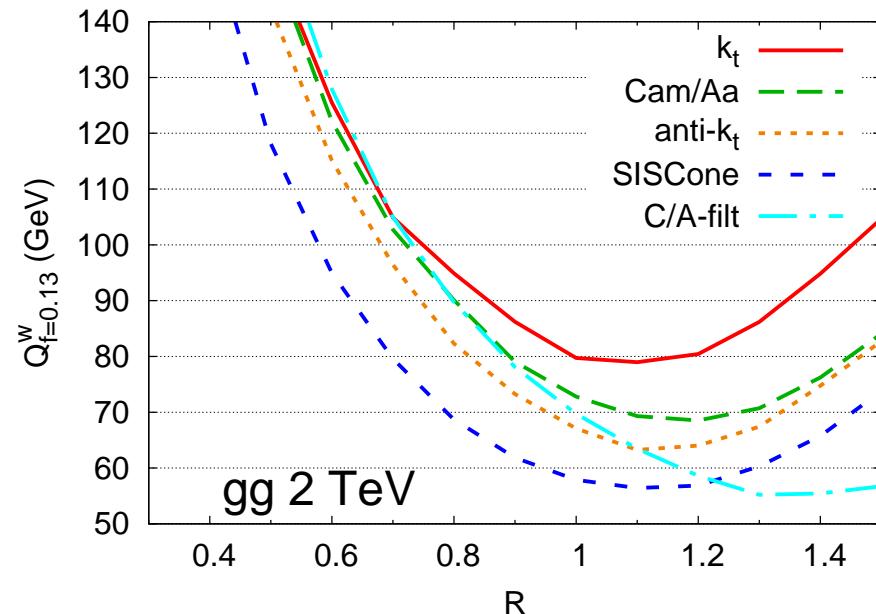
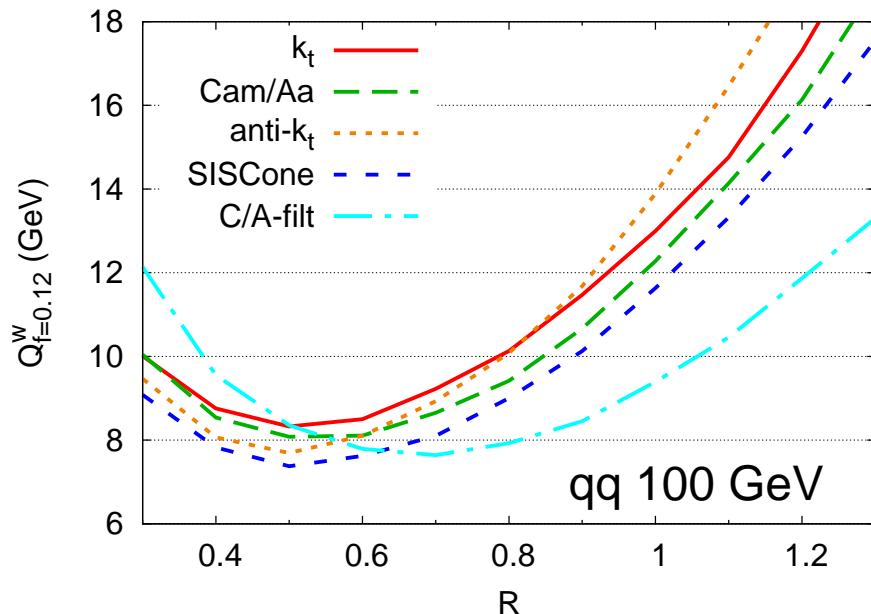
minmimal $Q \equiv$ better signal-to-background ratio

- we can associate an effective luminosity ratio

$$\rho_{\mathcal{L}}(\text{JD}_2/\text{JD}_1) = \frac{\mathcal{L} \text{ needed with JD}_1}{\mathcal{L} \text{ needed with JD}_2} = \left[\frac{\Sigma(\text{JD}_1)}{\Sigma(\text{JD}_2)} \right]^2$$

e.g. $\rho_{\mathcal{L}} = 2 \equiv \text{JD}_1 \text{ has } \sqrt{2} \text{ the significance of JD}_2$
 $\equiv \text{JD}_2 \text{ requires 2 times the integrated luminosity}$
 $\text{to achieve the same discriminative power.}$

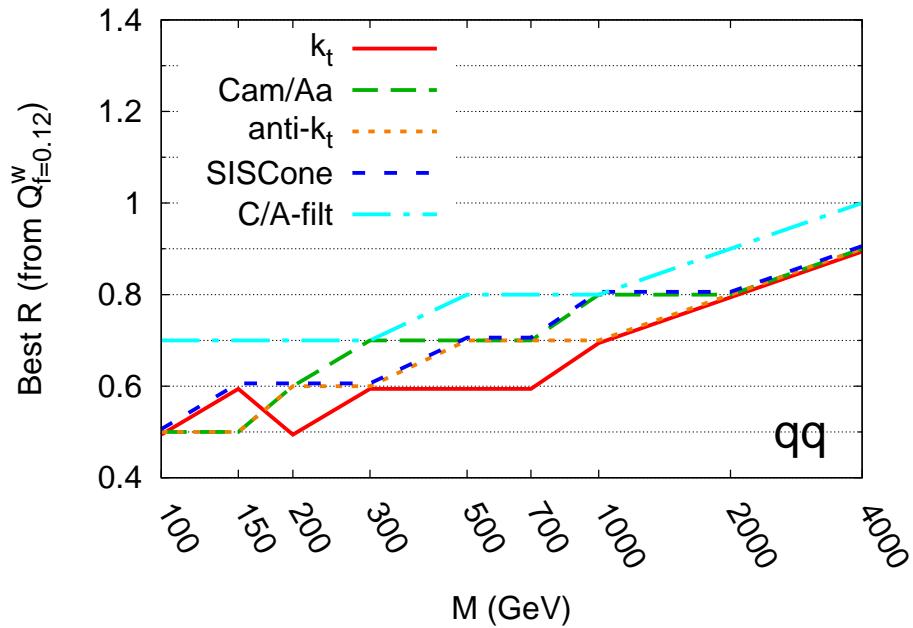
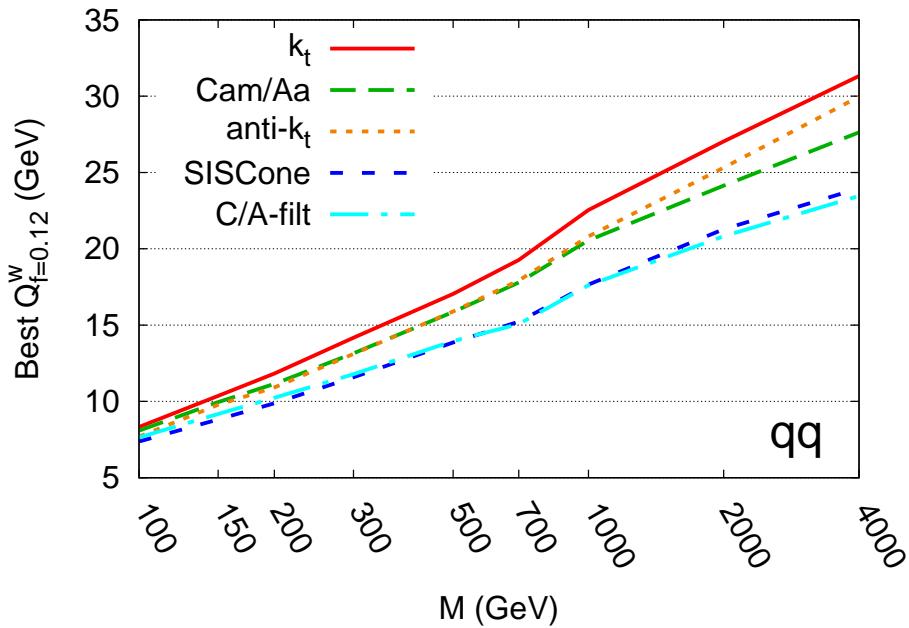
Examples: best quality measures



Allows to

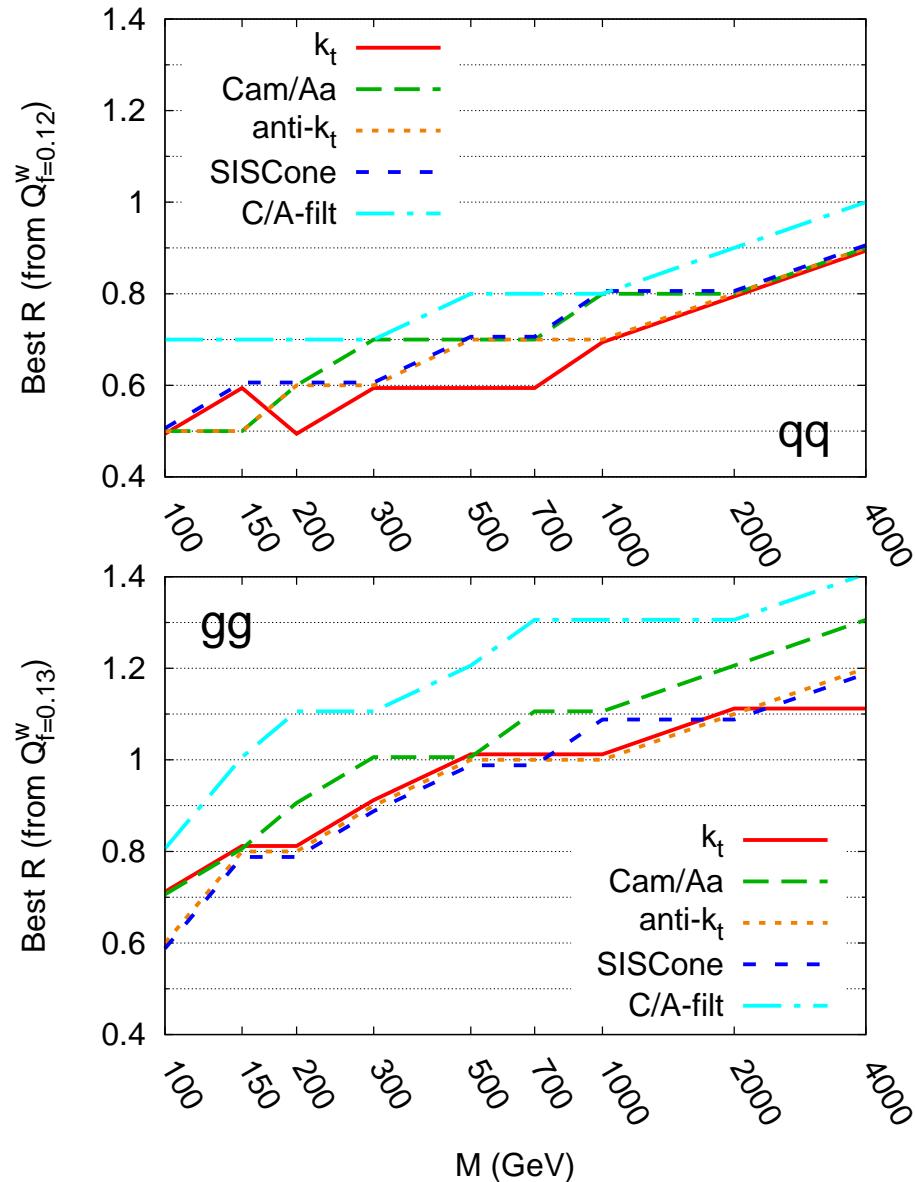
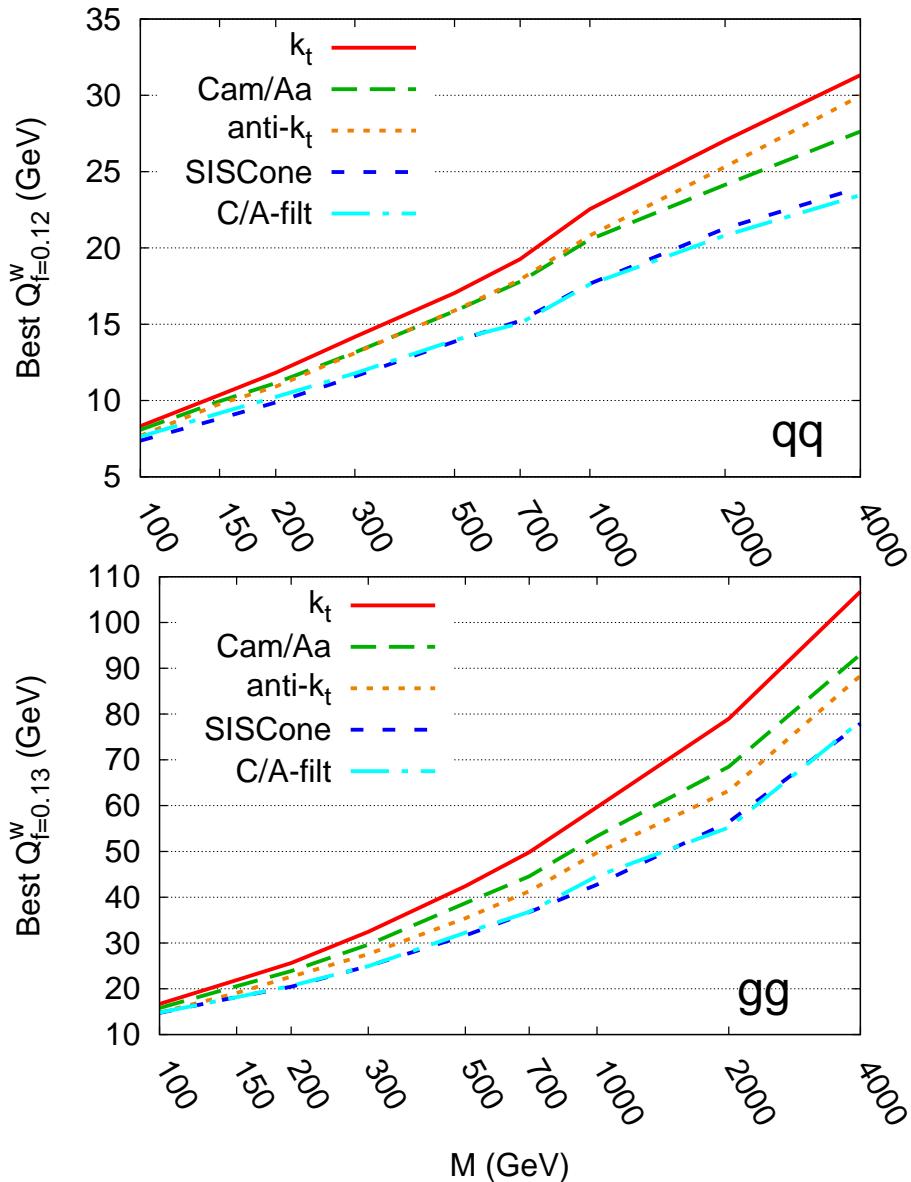
- extract the best radius R_{best}
- compare the different algorithm

Best choices



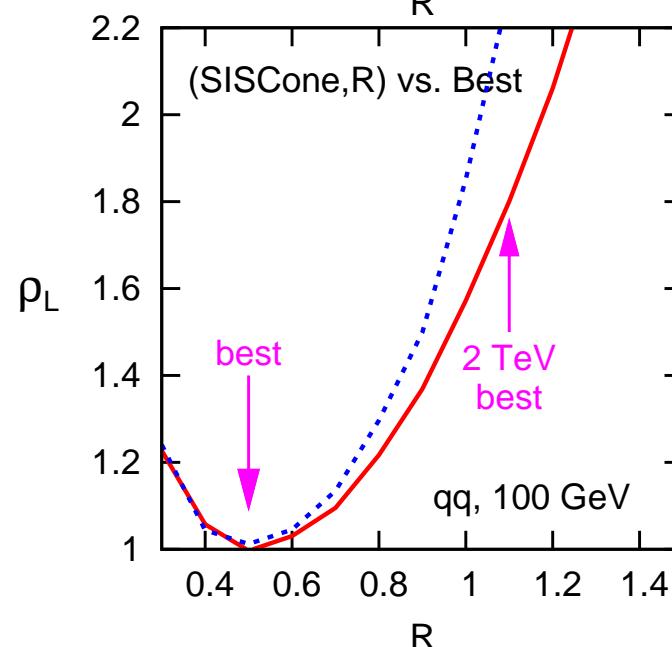
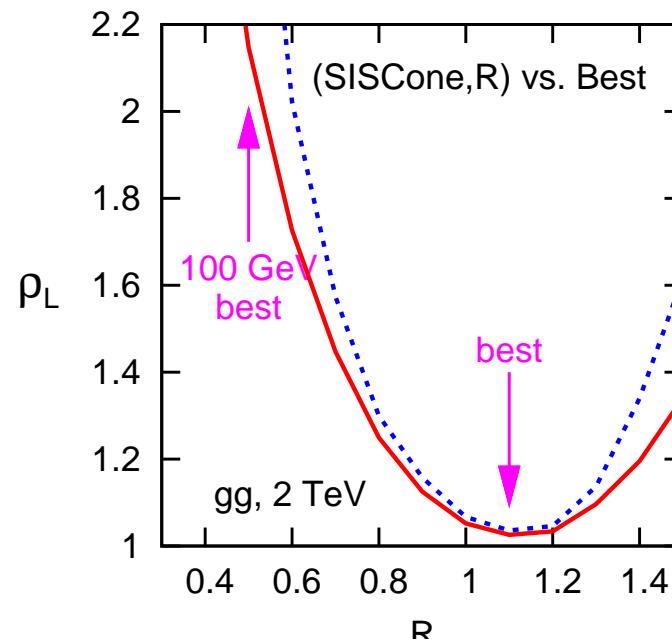
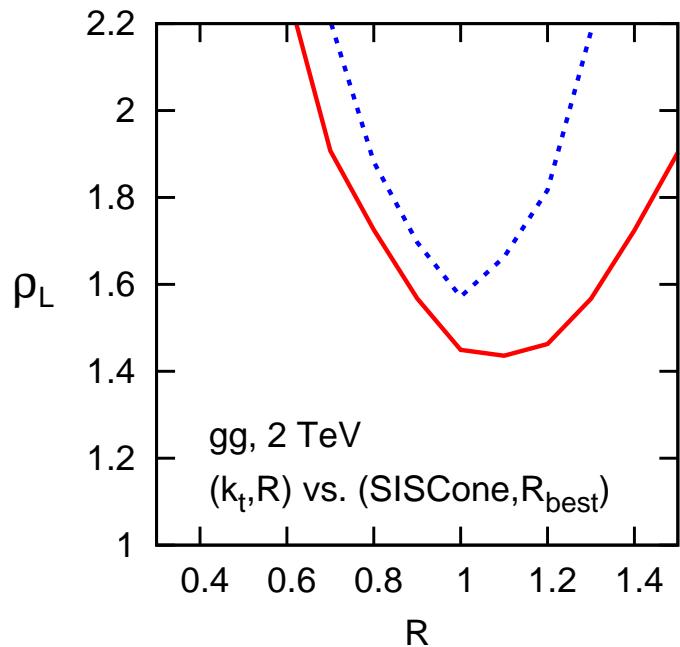
- SIScone and Cam+filtering perform better
- R_{best} strongly depends on the mass

Quarks vs. gluons



Same conclusions for gluon jets with slightly larger R

Luminosity ratios



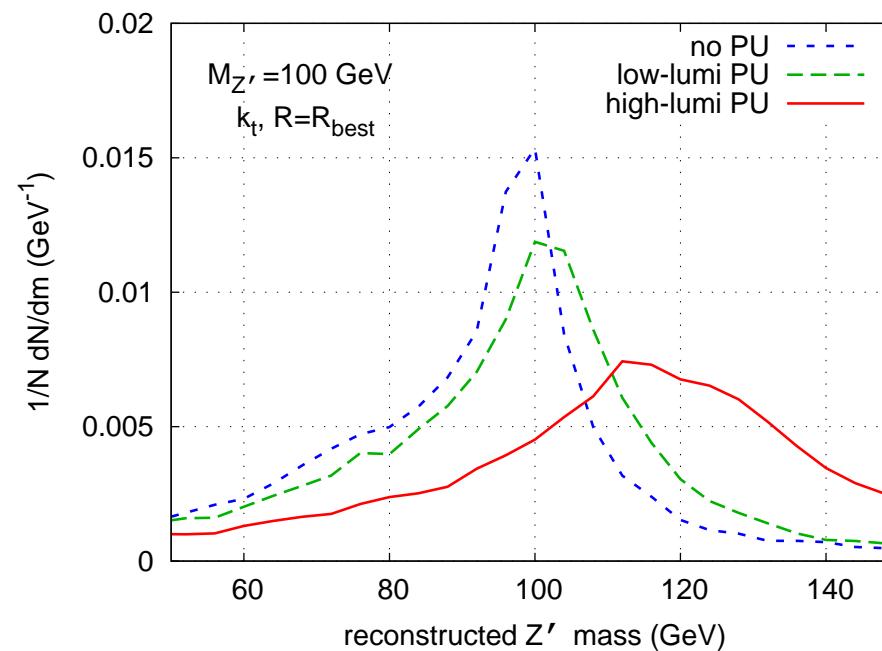
Mandatory at the LHC:
Not choosing the best alg.
AND R can be very costly
for new discoveries

Note: typical choice, $R \sim 0.5$

Part 2
Jets in pp collisions
(b) pileup effect (jet areas & subtraction)

Need for subtraction

Pileup \approx uniform soft background that shifts jets to higher p_t



... that needs to be subtracted!

⇒ Using jet areas!

Pileup subtraction

Basic idea: [M.Cacciari, G.Salam, 08]

$$p_{t,\text{subtracted}} = p_{t,\text{jet}} - \rho_{\text{pileup}} \times \text{Area}_{\text{jet}}$$

Pileup subtraction

Basic idea: [M.Cacciari, G.Salam, 08]

$$p_{t,\text{subtracted}} = p_{t,\text{jet}} - \rho_{\text{pileup}} \times \text{Area}_{\text{jet}}$$

• Jet area: [M.Cacciari, G.Salam, G.S., 08]

- region where the jet catches infinitely soft particles (active/passive)
- tractable analytically in pQCD

Example: area corrections from QCD radiation

$$\langle \mathcal{A}(p_{t,1}, R) \rangle = \mathcal{A}_{1 \text{ parton}}(R) + \frac{C_{F,A}}{\pi b_0} \log \left(\frac{\alpha_s(Q_0)}{\alpha_s(Rp_t)} \right) \pi R^2 d$$

- area $\neq \pi R^2$
- area scaling violations

| d | passive | active |
|-------------|----------|--------|
| k_t | 0.5638 | 0.519 |
| Cam | 0.07918 | 0.0865 |
| SISCone | -0.06378 | 0.1246 |
| anti- k_t | 0 | 0 |

Pileup subtraction

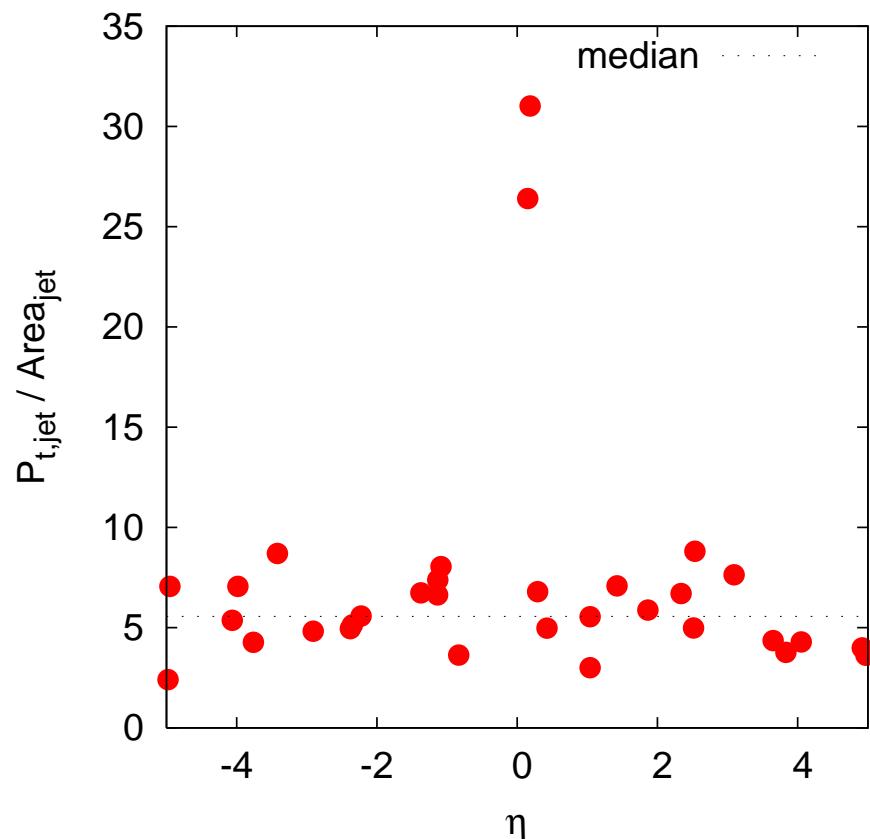
Basic idea: [M.Cacciari, G.Salam, 08]

$$p_{t,\text{subtracted}} = p_{t,\text{jet}} - \rho_{\text{pileup}} \times \text{Area}_{\text{jet}}$$

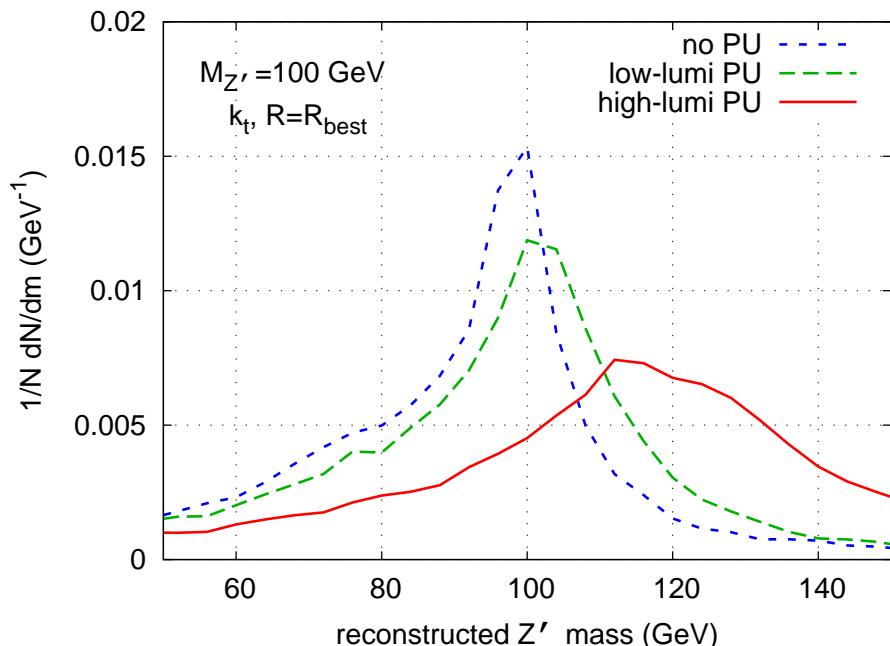
- Jet area: [M.Cacciari, G.Salam, G.S., 08]
 - region where the jet catches infinitely soft particles (active/passive)
 - tractable analytically in pQCD
- Pileup density per unit area: ρ_{pileup}

e.g. estimated from the median
of $p_{t,\text{jet}} / \text{Area}_{\text{jet}}$

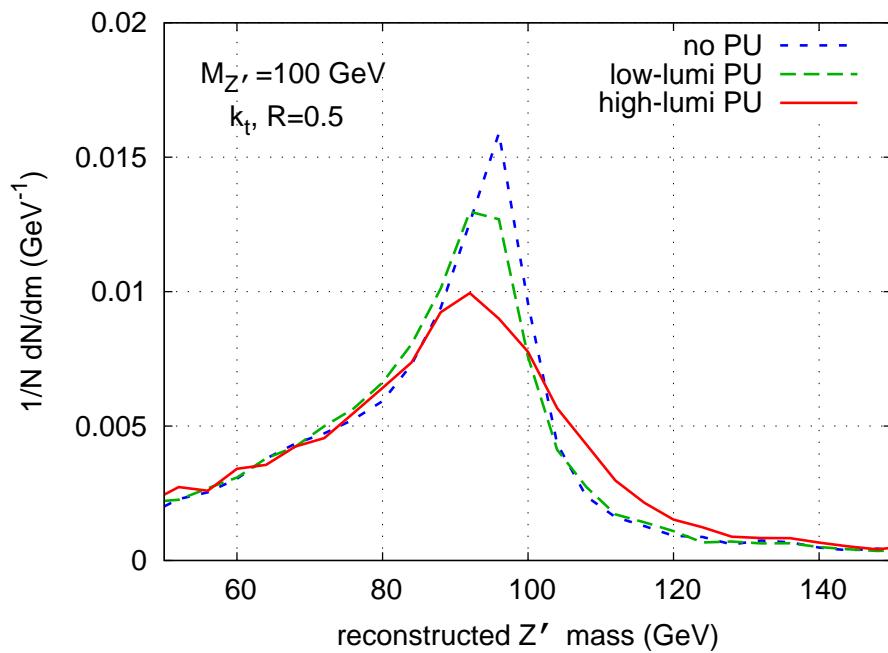
implemented in FastJet
on an event-by-event basis



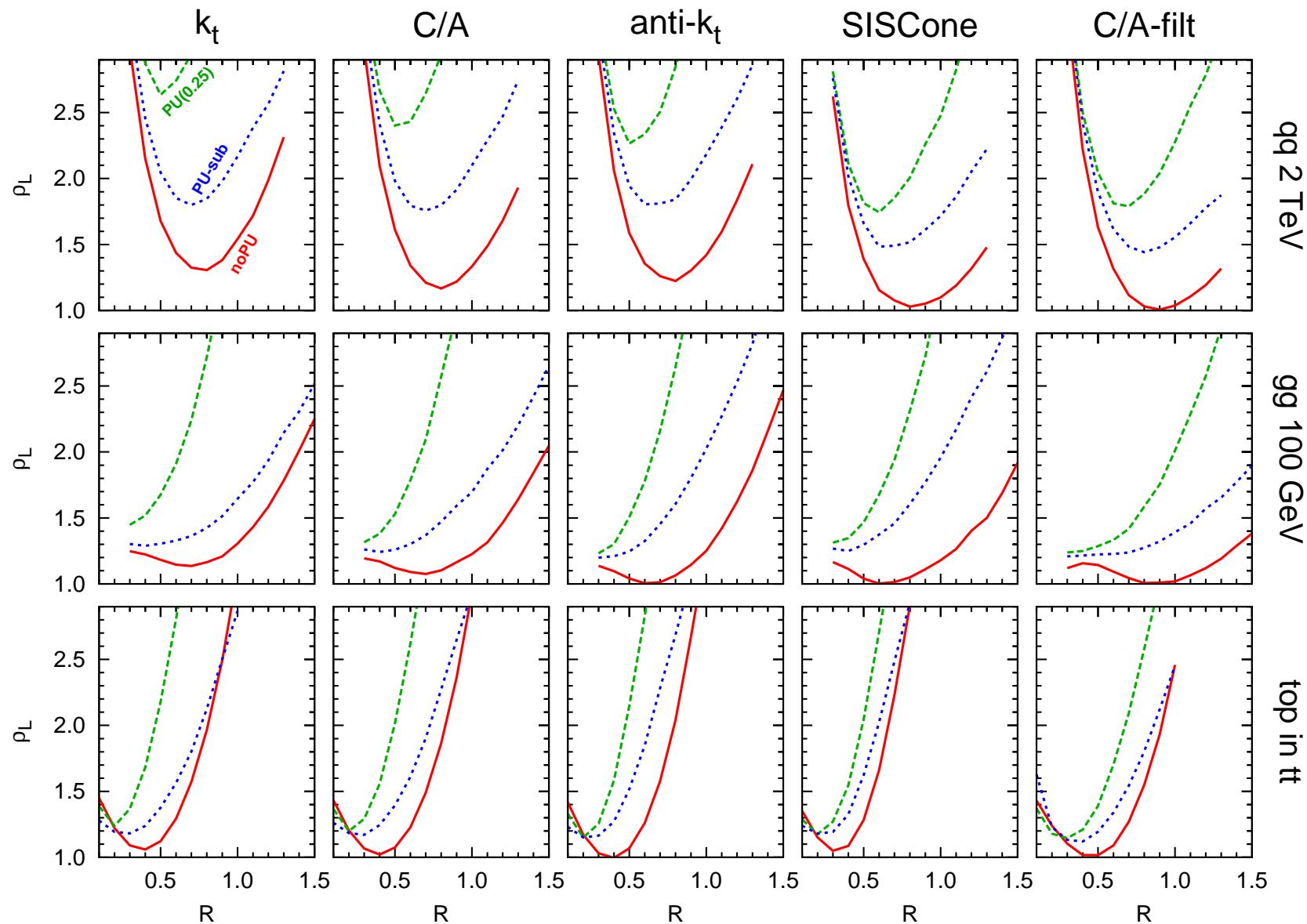
Subtraction at work



subtraction



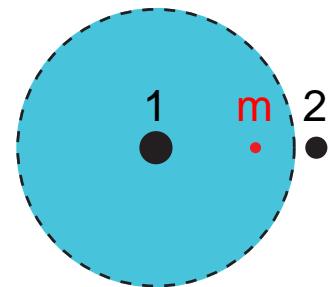
PU effects summary



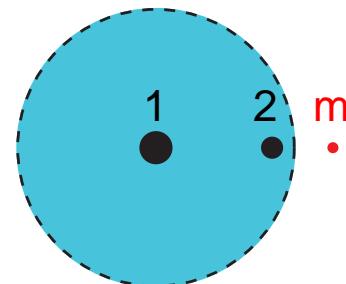
Subtraction \Rightarrow (i) large improvement, (ii) $R_{best} \sim$ unchanged

Additional soft background has 2 effects:

- Throw soft particles in the hard jet: dealt with by subtraction
- Modify the hard scattering (back-reaction)
 - can be pointlike or diffuse
 - gain: p_2 gained when adding p_m

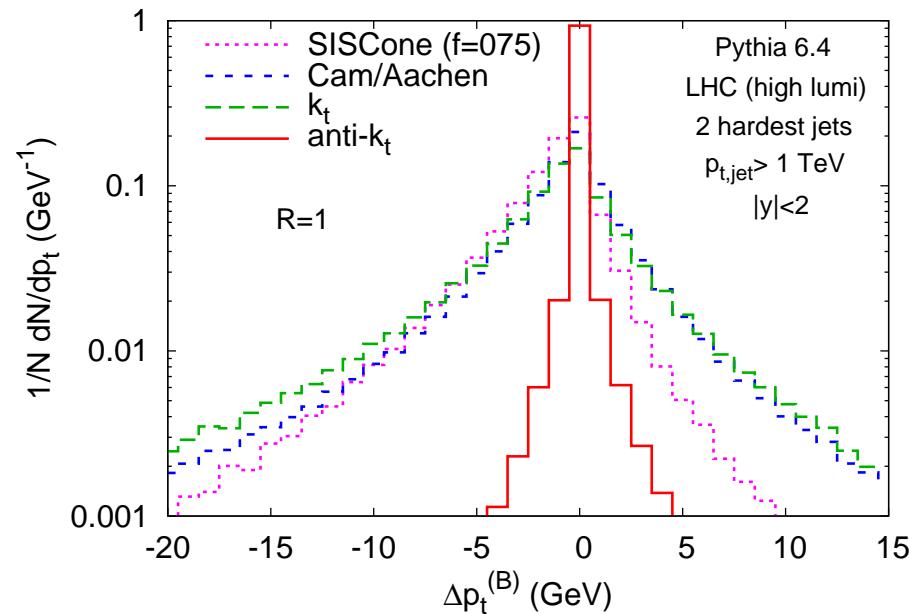


- loss: p_2 lost when adding p_m



Additional soft background has 2 effects:

- Throw soft particles in the hard jet: dealt with by subtraction
- Modify the hard scattering (back-reaction)
 - can be pointlike or diffuse
 - tractable analytically (similar to areas)
 - $k_t \gtrsim$ Cambridge > SISCone \gg anti- k_t



Message 1: IRC safety is mandatory

Midpoint and the iterative cone IR or Collinear unsafe (at $\mathcal{O}(\alpha_s^4)$)

| Observable | 1st miss cones at | Last meaningful order |
|-----------------------------|-------------------|-----------------------|
| Inclusive jet cross section | NNLO | NLO |
| 3 jet cross section | NLO | LO (NLO in NLOJet) |
| $W/Z/H + 2$ jet cross sect. | NLO | LO (NLO in MCFM) |
| jet masses in 3 jets | LO | none (LO in NLOJet) |

+ We do not want the theoretical efforts to be wasted

- Note: 1 order worse for JetClu of the ATLAS Cone!
- All IRC-safe algorithms available from FastJet (<http://www.fastjet.fr>)

Message 2: flexibility in jet finding at the LHC

- Optimal jet definition (see also <http://quality.fastjet.fr>)
 - $R_{\text{best}} \sim 0.5$ at 100 GeV, $R_{\text{best}} \sim 1$ at 1 TeV
 - important to choose R_{best} , SISCone and Cam+filt. slightly better
 - same for quark and gluon jets, larger R_{best} for gluons
 - TODO: understand this analytically/ improve clustering (e.g. filtering)
- Pileup subtraction using jet areas
 - Jet areas: clearly defined, analytic control
 - Simple systematic pileup subtraction
 - Same conclusions as without pileup
 - TODO: deal with fluctuating background (e.g. heavy ions)